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EXPONENTIAL GROWTH IN THE RATIONAL HOMOLOGY OF FREE LOOP SPACES AND IN TORSION HOMOTOPY GROUPS

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Abstract. — Using integral methods we recover and generalize some results by Félix, Halperin and Thomas on the growth of the rational homology groups of free loop spaces, and obtain a new family of spaces whose p -torsion in homotopy groups grows exponentially and satisfies Moore’s Conjecture for all but finitely many primes. In view of the results, we conjecture that there should be a strong connection between exponential growth in the rational homotopy groups and the p -torsion homotopy groups for any prime p .

Résumé. — En utilisant des méthodes intégrales, nous retrouvons et généralisons certains résultats de Félix, Halperin et Thomas sur la croissance des groupes d’homologie rationnelle des espaces des lacets libres, et obtenons une nouvelle famille d’espaces dont la p -torsion dans les groupes d’homotopie croît exponentiellement et satisfait la conjecture de Moore pour tous les nombres premiers, sauf un nombre fini. Au vu des résultats, nous conjecturons qu’il devrait y avoir un lien étroit entre la croissance exponentielle dans les groupes d’homotopie rationnelle et les groupes d’homotopie de p -torsion pour tout nombre premier p .

1. Introduction

Let X be a simply-connected space. Its *free loop space* LX is the space $\text{map}(S^1, X)$ of continuous maps from S^1 to X and its *based loop space* ΩX is the space $\text{map}(S^1, X)$ of pointed continuous maps from S^1 to X . They are related via a fibration $\Omega X \rightarrow LX \xrightarrow{e} X$ where e evaluates a loop at the basepoint. This paper is concerned with growth in the rational homology of LX and growth in the homotopy groups of ΩX .

Keywords: Exponential growth, free loop space, homotopy exponent, Moore’s conjecture.
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Gromov [13] conjectured that when X is a closed manifold then $H(LX; \mathbb{Q})$ almost always grows exponentially. This has an important consequence in Riemannian geometry due to a theorem of Gromov, improved by Ballman and Ziller [2, 13], which shows that the rate of growth in the dimension of $H(LM; \mathbb{Q})$ can be used to give a lower bound on the number of geometrically distinct closed geodesics on a simply-connected closed Riemannian manifold M .

Vigué-Poirrier made a more general conjecture. A finite type space X of finite Lusternik–Schnirrelman category is *rationally elliptic* if the dimension of $H(X; \mathbb{Q})$ is finite and is *rationally hyperbolic* otherwise. Vigué-Poirrier [25] conjectured that if X is rationally hyperbolic then $H(LX; \mathbb{Q})$ grows exponentially. This conjecture has been proved for a finite wedge of spheres [25], for a non-trivial connected sum of closed manifolds [18] and in the case when X is coformal [19].

To be precise, a graded vector space $V = \{V_i\}_{i>0}$ of finite type *grows exponentially* if there exist constants $1 < C_1 < C_2 < \infty$ such that for some K

$$C_1^k \leq r_k \leq C_2^k, \quad k > K,$$

where $r_k = \sum_{i \leq k} \dim V_i$. The *log index* of V is defined by

$$\log \text{index}(V) = \limsup_i \frac{\log(\dim V_i)}{i}.$$

Notice that when V grows exponentially $0 < \log \text{index}(V) < \infty$. For a topological space X , let $\log \text{index}(H(X)) = \log \text{index}(H_{>2}(X; \mathbb{Q}))$. In particular, if X is rationally elliptic then $\log \text{index}(H(X)) = -\infty$ and if X is rationally hyperbolic then $\log \text{index}(H(X)) > 0$.

Félix, Halperin and Thomas [11] introduced a much stronger version of exponential growth.

Definition 1.1. — *A graded vector space $V = \{V_i\}_{i>0}$ of finite type has controlled exponential growth if $0 < \log \text{index}(V) < \infty$ and for each $\epsilon > 1$ there is an infinite sequence $n_1 < n_2 < \dots$ such that $n_{i+1} < \epsilon n_i$ for $i > 0$, and $\dim V_{n_i} = e^{\epsilon n_i}$ with $\epsilon > \log \text{index}(V)$.*

They then observed that for any simply-connected space X with rational homology of finite type

$$(1.1) \quad \log \text{index}(H(LX; \mathbb{Q})) \leq \log \text{index}(H(X)) = \log \text{index}(H(X; \mathbb{Q}))$$

which led to a further refinement of exponential growth.

Definition 1.2. — *Let X be a simply-connected space with rational homology of finite type such that $\log \text{index}(H(X; \mathbb{Q})) \in (0, \infty)$. Then*

LX has good exponential growth if $H(LX; \mathbb{Q})$ has controlled exponential growth and

$$\log \text{index}(H(LX; \mathbb{Q})) = \log \text{index}(H(X; \mathbb{Q})).$$

Félix, Halperin and Thomas [11, 12] went on to give conditions that guaranteed good exponential growth and provided several families of examples that have this property. This was done using a detailed analysis of the relevant Sullivan models.

In this paper we reformulate and generalize some of Félix, Halperin and Thomas' results using *integral* methods rather than rational ones, based on recent work in [3, 4, 24]. The integral approach also lets us produce results on the growth of torsion in $\pi_m(X)$ for all but finitely many primes, which relates to Moore's Conjecture.

Let p be a prime. The p -primary homotopy exponent of a space X is the least power of p that annihilates the p -torsion in $\pi_m(X)$. If this power is r write $\exp_p(X) = p^r$, and if no such power exists we say X has no homotopy exponent at p . Moore's Conjecture posits a deep relationship between homotopy exponents and the number of rational homotopy groups.

Conjecture 1.3 (Moore). — *Let X be a finite simply-connected CW-complex. Then the following are equivalent:*

- (a) X is rationally elliptic;
- (b) $\exp_p(X) < \infty$ for some prime p ;
- (c) $\exp_p(X) < \infty$ for all primes p .

Conjecture 1.3 is known to hold in a variety of special cases, including finite H -spaces [20, 23], H -spaces with finitely generated homology [6], odd primary Moore spaces [21], torsion-free suspensions [22], and generalized moment-angle complexes [15].

Moore's Conjecture asserts that rationally hyperbolic spaces have torsion homotopy groups of arbitrarily high order. But it says nothing about the rate of growth of the p -torsion in the homotopy groups. To address this the first author and Wu [17] introduced the notion of \mathbb{Z}/p^r -hyperbolicity in analogy with rational hyperbolicity.

Definition 1.4. — *A p -local space X is \mathbb{Z}/p^r -hyperbolic if the number of \mathbb{Z}/p^r -summands in $\pi_m(X)$ has exponential growth, that is,*

$$\liminf_n \frac{\log t_n}{n} > 0$$

where t_n is the number of \mathbb{Z}/p^r -summands in $\pi_m(X)$.

The following theorem summarizes our main results, stated in a less technical but slightly weakened form. The full statements can be found in Theorem 2.5, Theorem 4.1 and Corollary 5.3. For a CW-complex Z , the *rational homotopy Lie algebra* of Z is $L_Z := \pi_*(Z) \otimes \mathbb{Q}$, where the Lie algebra structure is induced by the Samelson product.

Theorem 1.5. — *Let $A \xrightarrow{f} Y \xrightarrow{h} Z$ be a homotopy cofibration of simply-connected finite CW-complexes such that A and Z are not rationally contractible and h has a right homotopy inverse. The following hold:*

- (a) *if $\log \text{index}(\pi_*(Z)) < \log \text{index}(\pi_*(Y))$ and $\log \text{index}(H(\pi_*(Y); \mathbb{Q})) < \log \text{index}(\pi_*(Z))$, then LY has good exponential growth;*
- (b) *if Z is rationally hyperbolic with a finitely generated rational homotopy Lie algebra L_Z , then LY has good exponential growth;*
- (c) *if $H(\pi_*(Y); Z)$ is p -torsion free for a prime p that is sufficiently large, then Y is rationally hyperbolic and Z/p^r -hyperbolic for all $r > 1$.*

It is worth noting that Félix, Halperin and Thomas studied good exponential growth via a fibration in [11, Theorem 2 and Theorem 3] while Theorem 1.5 starts instead from a cofibration.

Theorem 1.5 suggests that there may be a strong connection between rational hyperbolicity and Z/p^r -hyperbolicity. We therefore propose an amplification of part of Moore's conjecture.

Conjecture 1.6. — *Let X be a finite simply-connected CW-complex. If X is rationally hyperbolic then it is Z/p^r -hyperbolic for all primes p and positive integers r .*

The paper is organized as follows. In Section 3, we prove Theorem 2.5 as a generalization of [11, Theorem 4] characterizing good exponential growth via a cofibration instead of a fibration. In Section 3, we show that the manifolds considered in [3, Section 2] have good exponential growth. Section 4 is devoted to proving Theorem 4.1, using the analytic condition considered in [12] to generalize [12, Theorem 1.3]. We also provide an example, the so-called general connected sum, that partially generalizes [18, Theorem 3] and [12, Theorem 1.4]. In Section 5, we turn to exponential growth in torsion homotopy groups and prove Corollary 5.3.

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2. Exponential growth in $H(LY; \mathbb{Q})$: Case I

We will need two preliminary results from [11] and one from [4].

Theorem 2.1 ([11, Theorem 1]). — *Let X be a simply-connected wedge of spheres of finite type such that $\log \text{index}(H(X; \mathbb{Q})) > 0$. Then LX has good exponential growth.*

As context, note that a simply-connected space that is rationally of finite type need not have the property that $\log \text{index}(H(X; \mathbb{Q})) > 0$. For example, as e^{e^x} grows faster than exponentially, if X is the wedge of spheres $X = \bigvee_{n=2} X_n$ where X_n is a wedge of e^{e^n} copies of S^n , then X is rationally of finite type but $H(X; \mathbb{Q})$ has faster than exponential growth and so does $H(LX; \mathbb{Q})$.

Theorem 2.2 ([11, Theorem 3]). — *Let $F \rightarrow Y \rightarrow Z$ be a fibration between simply-connected spaces with rational homology of finite type. If $\log \text{index}(H(Z)) < \log \text{index}(H(Y))$, then LY has good exponential growth if and only if LF does. In this case $H(LY; \mathbb{Q})$ and $H(LF; \mathbb{Q})$ have the same log index.*

Theorem 2.3 ([4, Proposition 3.5]). — *Suppose that $A \xrightarrow{f} Y \xrightarrow{h} Z$ is a homotopy cofibration of simply-connected spaces and h has a right homotopy inverse. Then there is a homotopy fibration*

$$(Z \rightarrow A) \rightarrow A \rightarrow Y \rightarrow Z$$

which splits after looping to give a homotopy equivalence

$$Y \rightarrow Z \times ((Z \rightarrow A) \rightarrow A).$$

It will be useful to record a growth result related to Theorem 2.3.

Lemma 2.4. — *If A and Z are simply-connected spaces that are not rationally contractible then $\log \text{index}(H((Z \rightarrow A) \rightarrow A)) > 0$.*

Proof. — As a suspension is rationally a wedge of spheres, $(Z \rightarrow A) \rightarrow A$ is rationally a wedge of spheres. Since A is not rationally contractible, it has at least one sphere as a wedge summand. Since Z is not rationally contractible and simply-connected, Z is not rationally contractible, and therefore $Z \rightarrow A$ also has at least one sphere as a wedge summand. Thus $(Z \rightarrow A) \rightarrow A$ is rationally a wedge of at least two spheres, implying that it is rationally hyperbolic, and therefore $\log \text{index}((Z \rightarrow A) \rightarrow A) > 0$. Then, by (1.1), $\log \text{index}(H((Z \rightarrow A) \rightarrow A); \mathbb{Q}) > 0$.

We introduce two variations of an inert map. Given a homotopy cofibration $A \xrightarrow{f} Y \xrightarrow{h} Z$ of simply-connected spaces, the map f is inert if h is surjective in rational homotopy. This generalizes the classical notion of an inert map defined by Félix, Halperin and Thomas [8], who considered the case when $A = S^k$ for some $k > 1$ and f is a cell attachment. As spaces are simply-connected, the surjectivity of h in rational homotopy implies that h is also surjective in rational homotopy, so as loop spaces split rationally as products of Eilenberg Mac Lane spaces [9, Chapter 16 (c)], h has a right homotopy inverse. Thus f is inert in our sense if and only if h has a right homotopy inverse rationally. Moreover, if f is inert it follows that $\log \text{index}(H^*(Z; \mathbb{Q})) \geq \log \text{index}(H^*(Y; \mathbb{Q}))$. We call f strongly inert if it is inert and $\log \text{index}(H^*(Z; \mathbb{Q})) < \log \text{index}(H^*(Y; \mathbb{Q}))$. The following theorem is a stronger form of Theorem 1.5(a).

Theorem 2.5. Let $A \xrightarrow{f} Y \xrightarrow{h} Z$ be a homotopy cofibration of simply-connected spaces that are rationally of finite type, and suppose that $\log \text{index}(H^*(Y; \mathbb{Q})) \geq 2(0; 1)$. If f is strongly inert then LY has good exponential growth.

Proof. By definition, f being strongly inert means that

$$\log \text{index}(H^*(Y; \mathbb{Q})) > \log \text{index}(H^*(Z; \mathbb{Q})).$$

In particular, this implies that A is not rationally contractible. There are two cases depending on whether Z is rationally contractible.

If Z is rationally contractible, then $Y \vee A$ is rationally a simply-connected wedge of spheres of finite type. By hypothesis,

$$\log \text{index}(H^*(Y; \mathbb{Q})) \geq 2(0; 1);$$

so Theorem 2.1 implies that LY has good exponential growth.

If Z is not rationally contractible, then the hypothesis that f is inert implies that h has a right homotopy inverse rationally. Theorem 2.3 then implies that there is a rational homotopy cofibration

$$(2.1) \quad (Z \wedge A) \rightarrow A \xrightarrow{f} Y \xrightarrow{h} Z$$

that splits after looping to give a homotopy equivalence

$$Y \vee Z \simeq ((Z \wedge A) \rightarrow A):$$

For convenience, let $W = (Z \wedge A) \rightarrow A$. With respect to (2.1), f being strongly inert means that $\log \text{index}(H^*(Y; \mathbb{Q})) > \log \text{index}(H^*(Z; \mathbb{Q}))$. Theorem 2.2 therefore implies that LY has good exponential growth if and only if LW does. Since A and Z are both not rationally contractible, Lemma 2.4

implies that $\log \text{index}(H(\cdot; W; \mathbb{Q})) > 0$. On the other hand, since W is a retract of Y and $\log \text{index}(H(\cdot; Y; \mathbb{Q})) < 1$ by hypothesis, we obtain $\log \text{index}(H(\cdot; W; \mathbb{Q})) < 1$. Hence W is a wedge of simply-connected spheres with $\log \text{index}(H(\cdot; W; \mathbb{Q})) \geq 2(0; 1)$, so Theorem 2.1 implies that LW has good exponential growth.

Proof of Theorem 1.5(a). By hypothesis, h has a right homotopy inverse, $\log \text{index}(H(\cdot; Z)) < \log \text{index}(H(\cdot; Y))$, and $\log \text{index}(H(\cdot; Y; \mathbb{Q})) \geq 2(0; 1)$. The first hypothesis is the condition defining f as inert and it combines with the second as the conditions defining f as strongly inert. Thus Theorem 2.5 applies to show that LY has good exponential growth.

In Theorem 2.5 the condition that $\log \text{index}(H(\cdot; Y; \mathbb{Q})) \geq 2(0; 1)$ holds for a wide range of spaces. Let Y be a simply-connected CW-complex satisfying the following three conditions

$$\begin{aligned} \log \text{index}(H(\cdot; Y; \mathbb{Q})) &< 1, \\ \log \text{index}(H(\cdot; Y)) &> 1, \end{aligned}$$

the rational Lusternik Schnirelmann category of Y is finite.

Then by [10, Theorem 4], $\log \text{index}(H(\cdot; Y; \mathbb{Q})) \geq 2(0; 1)$. In particular, the condition is satisfied for any simply-connected rationally hyperbolic finite CW-complex.

Theorem 2.5 should be compared to [11, Theorem 4]. When A is a sphere Theorem 2.5 is analogous to [11, Theorem 4] but replaces the finiteness condition on a quotient of the homotopy Lie algebra $(Y) \otimes \mathbb{Q}$ with a finiteness condition on the log index of $H(\cdot; Y; \mathbb{Q})$. As an improvement, it generalizes [11, Theorem 4] from A being a sphere to it being any suspension.

Example 2.6. As in [11, Section 4], let Z be a closed simply-connected manifold of dimension $k+2$ whose rational cohomology algebra is not generated by a single class. If $\mathbb{R}^f = \mathbb{R}^h = Z$ then there is a homotopy contraction $S^{k+1} \xrightarrow{f} Y \xrightarrow{h} Z$. By [14] and [11, Example 1], f is strongly inert. Therefore, if $\log \text{index}(H(\cdot; Y; \mathbb{Q})) \geq 2(0; 1)$ then LY has good exponential growth by Theorem 2.5. Again, compared to [11, Example 1], the finiteness condition on a quotient of the homotopy Lie algebra $(Y) \otimes \mathbb{Q}$ is replaced by one on the log index of $H(\cdot; Y; \mathbb{Q})$.

3. Exponential growth in $H(LY; \mathbb{Q})$: Case II

We consider the rational counterparts of spaces considered in [3, Section 2]. Let m and n be integers such that $1 < m \leq n - m$. Suppose that

Y is rationally a finite n -dimensional $(m - 1)$ -connected CW-complex with rational homology

$$H(Y; \mathbb{Q}) = \mathbb{Q}\langle a_1, \dots, a_j, z \rangle;$$

where

$$1 < m = |a_j| \leq |a_j| = n - m < |z| = n;$$

Let Y_{n-1} be the $(n - 1)$ -skeleton of Y and let $i : Y_{n-1} \rightarrow Y$ be the inclusion. Define \mathcal{Y} as the collection of all such spaces Y which also satisfy the following two properties:

- (1) there is a rational homotopy equivalence $Y_{n-1} \simeq J \wedge (S^m \wedge S^{n-m})$ for some space J that is not rationally contractible;
- (2) if Z is the homotopy cofiber of the composite $J \rightarrow Y_{n-1} \xrightarrow{i} Y$ then there is a ring isomorphism $H(Z; \mathbb{Q}) = H(S^m \wedge S^{n-m}; \mathbb{Q})$.

It is worth emphasizing that any space $Y \in \mathcal{Y}$ is rationally a simply-connected finite CW-complex, and therefore the space J is also rationally a simply-connected finite CW-complex.

The following preliminary result is needed; its proof in [10] using the Sullivan and Adams Hilton models suggests the statement may have been well known beforehand.

Proposition 3.1 ([10, Proposition 2]). Let X be a simply-connected CW-complex that is rationally of finite type. Then $\log \text{index}(H(X; \mathbb{Q})) < 1$ if and only if $\log \text{index}(H(X; \mathbb{Q})) < 1$.

Corollary 3.2. Let X be a simply-connected finite CW-complex. Then $\log \text{index}(H(X; \mathbb{Q})) < 1$.

Proof. Since X is a finite CW-complex, $\log \text{index}(H(X; \mathbb{Q})) < 1$, so Proposition 3.1 implies that $\log \text{index}(H(X; \mathbb{Q})) < 1$. By (1.1), this implies that $\log \text{index}(H(X; \mathbb{Q})) < 1$.

Theorem 3.3. For any $Y \in \mathcal{Y}$, LY has good exponential growth.

Proof. Consider the homotopy cofibration $J \xrightarrow{f} Y \xrightarrow{h} Z$ that defines Z . Note that as J and Y are rationally simply-connected finite CW-complexes, so is Z . By [3, Section 2], h has a rational right homotopy inverse and $Z \simeq (S^m \wedge S^{n-m})$ rationally. Arguing as in [3], or alternatively by Theorem 2.3, there is a rational homotopy cofibration

$$((S^m \wedge S^{n-m}) \wedge J) \rightarrow J \xrightarrow{f} Y \xrightarrow{h} Z$$

and a rational homotopy equivalence

$$(3.1) \quad Y \simeq (S^m \vee S^{n-m}) \wedge ((S^m \vee S^{n-m}) \wedge J) \vee J$$

Since $Z \simeq (S^m \vee S^{n-1})$, the space Z is rationally elliptic and therefore $\log \text{index}(Z) = 1$. On the other hand, $((S^m \vee S^{n-m}) \wedge J) \vee J$ is rationally a wedge of at least two spheres since J is not rationally contractible, so $\log \text{index}(((S^m \vee S^{n-m}) \wedge J) \vee J) > 0$. The homotopy equivalence in (3.1) then implies that $\log \text{index}(Y) > 0$. Thus the map f is strongly inert. Further, by (1.1), $\log \text{index}(Y) > 0$ implies that $\log \text{index}(H(Y; Q)) > 0$. On the other hand, since Y is a simply-connected finite CW-complex, by Corollary 3.2, $\log \text{index}(H(Y; Q)) < 1$. Therefore all the hypotheses of Theorem 2.5 hold, implying that LY has good exponential growth.

A finite CW-complex M is a Poincaré Duality complex if $H(M)$ satisfies Poincaré Duality. A closed orientable manifold is an example of a Poincaré Duality complex, but many non-manifold examples also exist.

Example 3.4. Let M be an orientable closed Poincaré Duality complex of dimension n and connectivity $m-1$ whose $(n-1)$ -skeleton M_{n-1} has the property that it is rationally homotopy equivalent to a wedge of spheres, say

$$M_{n-1} \simeq \bigvee_{k=1}^{\ell} S^{n_k}$$

For $\ell > 3$ there is a rational homotopy equivalence $M_{n-1} \simeq J \vee S^m \vee S^{n-m}$, where J is rationally nontrivial. Poincaré Duality implies that the spheres S^m and S^{n-m} may be chosen to have a nontrivial cup product (implying that $M \neq Y$) provided that not all cup products are squares. So we exclude the cases when $2 \nmid \ell$; $8 \nmid \ell$; $16 \nmid \ell$ and all of $n_1; \dots; n_k$ equal $\frac{n}{2}$. Then LM has good exponential growth by Theorem 3.3.

As a concrete example, suppose that M is an $(n-1)$ -connected closed smooth $2n$ -dimensional manifold. These manifolds were deeply studied by Wall [26] in geometric topology. As $M_{2n-1} \simeq \bigvee_{i=1}^k S^{n_i}$, when $k > 3$ it follows that LM has good exponential growth provided that not all cup products are squares in $H(M; Q)$.

On the other hand, if $k = 2$, Theorem 3.3 does not hold. For example, let $M = S^m \vee S^{n-m}$. In this case, J is contractible and $L(S^m \vee S^{n-m})$ is rationally elliptic because the standard evaluation $\text{bration} (S^m \vee S^{n-m}) \rightarrow L(S^m \vee S^{n-1}) \rightarrow S^m \vee S^{n-m}$ induces split exact sequences in homotopy groups and spheres are elliptic. Hence, the assumption in Theorem 3.3 that J is not rationally contractible is necessary.

4. Exponential growth in $H(LY; Q)$: Case III

In [12], Félix, Halperin and Thomas studied exponential growth with the analytic information of Hilbert series. For a graded vector space $V = \sum_{i \geq 0} V_i$, its formal Hilbert series is defined by

$$V(z) = \sum_{i \geq 0} \dim V_i z^i$$

The radius of convergence ρ_V of $V(z)$ is defined by $\rho_V = e^{-\log \text{index}(V)}$. Accordingly if V has exponential growth then $0 < \rho_V < 1$. If X is a topological space let $X(z)$ be the Hilbert series of $H(X; Q)$ and let ρ_X be its radius of convergence. Let

$$X(\rho_X) = \lim_{z \rightarrow \rho_X} X(z)$$

As noted in [12, Page 2522], a key condition in their work is the assumption that $X(\rho_X) = 1$; this is satisfied by all known examples of rationally hyperbolic, finite, simply-connected CW-complexes. The following theorem is a stronger form of part (b) of Theorem 1.5.

Theorem 4.1. Let $A \xrightarrow{f} Y \xrightarrow{h} Z$ be a homotopy cofibration of simply-connected spaces that are rationally of finite type. Suppose that A is not rationally contractible, $\log \text{index}(H(Y; Q)) < 1$, f is inert and $Z(\rho_Z) = 1$. Then f is strongly inert and LY has good exponential growth.

Proof. Since f is inert, h has a right rational homotopy inverse, so by Theorem 2.3 there is a rational homotopy cofibration

$$(Z \wedge A) \rightarrow A \rightarrow Y \rightarrow Z$$

that splits after looping to give a homotopy equivalence

$$Y \rightarrow Z \rightarrow ((Z \wedge A) \rightarrow A):$$

By hypothesis, A is not rationally contractible. As $Z(\rho_Z) = 1$, Z is also not rationally contractible. Therefore Lemma 2.4 implies that

$$\log \text{index}(H((Z \wedge A) \rightarrow A); Q) > 0:$$

As $((Z \wedge A) \rightarrow A)$ retracts onto Y , this implies that

$$\log \text{index}(H(Y; Q)) > 0:$$

By hypothesis, this log index is also < 1 , so we obtain

$$\log \text{index}(H(Y; Q)) \in (0, 1):$$

Thus, if f is strongly inert then the hypotheses of Theorem 2.5 are satisfied, implying that LY has good exponential growth.

It remains to show that f is strongly inert. Rationally A is a wedge of spheres, and since A is not rationally contractible, this wedge has at least one sphere as a summand. Suppose that $A \simeq \bigvee S^n$. Then

$$Z \wedge A \simeq S^n \wedge Z$$

For any z we have $H((S^n \wedge Z); \mathbb{Q}) = TV$, the tensor algebra on the graded vector space V such that $V_i = H_{i-n+1}(Z; \mathbb{Q})$. Thus

$$(S^n \wedge Z)(z) = \frac{1}{1 - z^{n-1} Z(z)}$$

By assumption, $Z(z) = 1$, so it follows that for sufficiently small $|z| > 0$, the absolute value of $|z|^{n-1} Z(z)$ is greater than 1 for any $|z| > z^{-n}$. However, as the function $f(t) = \frac{1}{1-t}$ has radius of convergence equal to 1, we see that $(S^n \wedge Z)(z) = \frac{1}{1-|z|^{n-1}}$ diverges for any $|z| > z^{-n}$. Thus $(S^n \wedge Z) < z$. Hence

$$(4.1) \quad ((Z \wedge A) \wedge A) \leq (Z \wedge A) \leq (S^n \wedge Z) < z$$

By definition $X = e^{\log \text{index}(H(X; \mathbb{Q}))}$, so (4.1) implies that

$$\log \text{index}(H(Z; \mathbb{Q})) < \log \text{index}(H((Z \wedge A) \wedge A));$$

Since $((Z \wedge A) \wedge A)$ is a retract of Y , we obtain

$$\log \text{index}(H(Z; \mathbb{Q})) < \log \text{index}(H(Y; \mathbb{Q}));$$

By (1.1), this implies that $\log \text{index}(Z) < \log \text{index}(Y)$, and therefore f is strongly inert.

Proof of Theorem 1.5(b). We aim to apply Theorem 4.1. By hypothesis, A is not rationally contractible. By hypothesis, Y is a simply-connected finite CW-complex so Corollary 3.2 implies that $\log \text{index}(H(Y; \mathbb{Q})) < 1$. The hypothesis that h has a right homotopy inverse is, by definition, the same as f being inert. By hypothesis, Z is a simply-connected rationally hyperbolic finite CW-complex with a finitely generated homotopy Lie algebra, so by [12, Proposition 2.1] or [1] it follows that $Z(z) = 1$. Hence the hypotheses of Theorem 4.1 hold, implying that LY has good exponential growth.

Theorem 4.1 is a generalization of [12, Theorem 1.3]. As a slight improvement, [12] uses the condition that $(L_Z) < (\frac{L_Z}{[L_Z; L_Z]})$, where L_Z is the homotopy Lie algebra of Z , in order to show that $Z(z) = 1$ whereas

we start directly from the putatively weaker condition. As a larger improvement, [12, Theorem 1.3] holds only for the case when A is a sphere, whereas in our case any suspension will do.

To give examples of Theorem 4.1 an additional result of Félix, Halperin and Thomas is needed, which was established within the proof of [12, Theorem 1.4].

Lemma 4.2. Let M and N be simply-connected CW-complexes of finite type that are not rationally contractible. If $N \wedge M$ and $N(N) = 1$ then $(M \wedge N) < N$ and $(M \wedge N)(M \wedge N) = 1$.

Notice, incidentally, that Lemma 4.2 can be strengthened by Theorem 4.1 if M is a suspension and $H((M \wedge N); Q)$ has exponential growth.

Lemma 4.3. Let M and N be simply-connected CW-complexes of finite type that are not rationally contractible. Assuming that $\log \text{index}(H((M \wedge N); Q)) < 1$ and $N(N) = 1$, then $L(M \wedge N)$ has good exponential growth and $(M \wedge N) < N$.

Proof. Consider the homotopy co-bration $M \xrightarrow{i_1} M \wedge N \xrightarrow{q_2} N$, where i_1 is the inclusion of the first factor and q_2 is the projection onto the second factor. Then i_1 is inert because q_2 has a right homotopy inverse. By hypothesis, $\log \text{index}(H((M \wedge N); Q)) < 1$ and $N(N) = 1$, so Theorem 4.1 implies that i_1 is strongly inert and $L(M \wedge N)$ has good exponential growth.

Since i_1 is strongly inert, $\log \text{index}(N) < \log \text{index}(M \wedge N)$. By (1.1), this is equivalent to

$$\log \text{index}(H(N; Q)) < \log \text{index}(H((M \wedge N); Q)):$$

By definition, $\chi = e^{\log \text{index}(H(X; Q))}$, so we obtain

$$(M \wedge N) < N:$$

Suppose that there are homotopy co-brations $A \xrightarrow{f} X \xrightarrow{i} M$ and $A \xrightarrow{g} Y \xrightarrow{k} N$. The generalized connected sum $M \#_A N$ over A , introduced in [24, Section 8], is defined by the homotopy co-bration

$$A \xrightarrow{f+g} X \wedge Y \xrightarrow{i} M \#_A N:$$

Theorem 4.4. Let $M \#_A N$ be the generalized connected sum of simply-connected CW-complexes of finite type such that

$$\log \text{index}(H((M \#_A N); Q)) < 1:$$

Suppose that f and g are inert, and A is not rationally contractible. If $N \hat{\circ} M$ and $N(N) = \emptyset$ then $L(M \#_A N)$ has good exponential growth.

Proof. — By definition, $f + g$ is the composite $A \xrightarrow{f} X \xrightarrow{g} Y$ where \circlearrowleft is the comultiplication. From the composition we obtain a homotopy cofibration diagram

$$\begin{array}{ccccc}
 A & \longrightarrow & A & \xrightarrow{f+g} & A \\
 \parallel & & \downarrow f+g & & \downarrow f \\
 A & \xrightarrow{f+g} & X & \longrightarrow & Y \\
 & & \downarrow j+k & & \downarrow q \\
 & & M \#_A N & \xlongequal{\quad} & M \#_A N
 \end{array}$$

that defines the maps f and q . Intuitively, f maps to the “collar” in the connected sum and q collapses it. Since f and g are both inert, j and k have right rational homotopy inverses. Arguing as in [4, Proposition 6.1] then implies that q has a right homotopy inverse. (The argument in [4] had A a sphere, but it works equally well in this more general setting.) Thus f is inert. Since $N \hat{\circ} M$ and $N(N) = \emptyset$, Lemma 4.2 implies that $(M \#_A N)(M \#_A N) = \emptyset$. By hypothesis, A is not rationally contractible and $\log \text{index}(H^*(M \#_A N; \mathbb{Q})) < \infty$. Therefore all the hypotheses of Theorem 4.1 are satisfied when applied to the homotopy cofibration $A \xrightarrow{f} M \#_A N \xrightarrow{q} M \#_A N$, implying that $L(M \#_A N)$ has good exponential growth.

Theorem 4.4 partially generalizes a result of Lambrechts [18, Theorem 3] and its improvement by Félix–Halperin–Thomas [12, Theorem 1.4]. They both considered the connected sum $M \# N$ of two n -dimensional closed simply-connected manifolds. Lambrechts showed that if the rational cohomology of M or N is not generated by a single class then $L(M \# N)$ has exponential growth. Félix–Thomas–Halperin improved on this by showing that if the rational cohomology of M is not generated by a single class while N is not rationally a sphere, then $L(M \# N)$ has good exponential growth. By comparison, in the special case of $A = S^{n-1}$ and M and N being n -dimensional closed simply-connected manifolds, the generalized connected sum $M \#_{S^{n-1}} N$ is the usual connected sum $M \# N$. If both the rational cohomology algebras of M and N are not generated by a single class then the attaching maps f and g are inert by [14, Theorem 5.1].

Since $M\#N$ is a simply-connected finite CW-complex, Corollary 3.2 implies that $\log \text{index}(H(\mathbb{Z}(M\#N); \mathbb{Q})) < \infty$. Therefore Theorem 4.4 implies $L(M\#N)$ has good exponential growth.

5. Torsion growth in homotopy groups

This section turns from growth in the rational homology of free loop spaces to growth of torsion in homotopy groups. This involves homotopy exponents, mod- p^r hyperbolicity and Moore’s Conjecture.

Of particular relevance in our case is a wedge $S^m \vee S^n$ of spheres. By the Hilton–Milnor Theorem $(S^m \vee S^n)$ is homotopy equivalent to an infinite product of loops on spheres of arbitrarily large dimension. It is known that the exponent of a sphere increases with the dimension. Consequently, $(S^m \vee S^n)$ is rationally hyperbolic and has no exponent at any prime p , and therefore satisfies Moore’s Conjecture. Boyde [5] went further by showing that $(S^m \vee S^n)$ is mod- p^r hyperbolic for all primes p and all $r > 1$.

In Theorem 5.2 we will give a new class of spaces that satisfies Moore’s Conjecture for all but finitely many primes and, for those primes, is mod- p^r hyperbolic. This requires a preliminary lemma that is a p -local approximation to the statement that any suspension is rationally a wedge of spheres; it is a special case of Dwyer’s tame homotopy theory [7], although it is proved independently.

Lemma 5.1. — *Let X be a path-connected finite CW-complex of dimension d and connectivity s . Let p be a prime such that $p > \frac{1}{2}(d - s + 1)$ and $H(X; \mathbb{Z})$ is p -torsion free. Then X is p -locally homotopy equivalent to a wedge of spheres.*

Proof. — Take homology with integer coefficients. Since X is simply-connected and of dimension $d + 1$ it has a homology decomposition, which as in [16, Chapter 4.H] is a sequence of homotopy cofibrations

$$M_t \xrightarrow{f_t} (X)_{t-1} \rightarrow (X)_t$$

for $2 \leq t \leq d + 1$ with $(X)_1$ equal to the basepoint, $(X)_{d+1} = X$, M_t is a wedge of $t - 1$ dimensional spheres and t dimensional Moore spaces, and the attaching map f_t has the property that it induces the zero map in homology. Notice that as $(f_t)_* = 0$ there is an isomorphism $H((X)_t) = H((X)_{t-1}) \oplus H(M_t)$, which iteratively implies that $H((X)_t)$ is a direct summand of $H(X)$. The assumption that $H(X; \mathbb{Z})$ is p -torsion free therefore implies that $H((X)_t)$ is p -torsion free, and therefore $H(M_t)$ is also p -torsion free. Hence $H(M_t)$ is p -torsion free. Thus the p -localization

of each M_t is a wedge of spheres, say $M_t = \bigvee_{i=1}^{k_t} S^{t-1}$. Therefore, p -locally, the homotopy cofibrations in the homology decomposition of X are of the form

$$\bigvee_{i=1}^{k_t} S^{t-1} \xrightarrow{f_t} (X)_{t-1} \rightarrow (X)_t,$$

which is the usual skeletal filtration of X , but with the extra property that $(f_t) = 0$ for each t .

Localize at p . As X is s -connected, X is $(s + 1)$ -connected, so each of $(X)_1, \dots, (X)_{s+1}$ is contractible and $(X)_{s+2}$ is homotopy equivalent to a wedge of spheres. Suppose inductively that there is a p -local homotopy equivalence $(X)_{t-1} \simeq \bigvee_{i=1}^{k_t} S^k$ for $s + 2 \leq k \leq t - 1$. Rationally, any suspension is homotopy equivalent to a wedge of spheres. Thus f_t is rationally trivial. This implies that the obstructions to f_t being p -locally null homotopic are: (i) instances where f_t has degree p^r for some $r > 0$ on an S^{t-1} summand, and (ii) torsion homotopy classes in $\pi_{t-1}((X)_{t-1}) = \pi_{t-1}(\bigvee_{i=1}^{k_t} S^k)$. Since $(f_t) = 0$, (i) cannot occur. For (ii), the least nontrivial p -torsion class in (S^n) occurs in dimension $n + 2p - 3$. As this number increases with n , the Hilton–Milnor Theorem implies that the least nontrivial p -torsion class in $(\bigvee_{i=1}^{k_t} S^k)$ occurs in dimension $s + 2p - 1$. Thus if $t - 1 < s + 2p - 1$ then there is no p -torsion in $\pi_{t-1}(\bigvee_{i=1}^{k_t} S^k)$. Consequently, f_t is null homotopic, implying that $(X)_t$ is p -locally homotopy equivalent to a wedge of spheres. By induction, X will be p -locally homotopy equivalent to a wedge of spheres provided that $d < s + 2p - 1$, or equivalently, provided that $p > \frac{1}{2}(d - s + 1)$.

If X is a path-connected finite CW-complex of dimension d and connectivity s , let $P(X)$ be the set of primes q such that $q \leq \frac{1}{2}(d - s + 1)$ or $H(X; Z)$ has q -torsion. Note that the finiteness condition on X implies that $P(X)$ is a finite set of primes. Lemma 5.1 implies that if we localize away from $P(X)$ then X is homotopy equivalent to a wedge of spheres.

Theorem 5.2. — *Let $A \xrightarrow{f} Y \xrightarrow{h} Z$ be a homotopy cofibration of simply-connected finite CW-complexes such that A and Z are not rationally contractible. If h has a right homotopy inverse, then localized away from $P = P(A) \cup P(Z)$ there is a retraction of $(S^m \rightarrow S^n) \circ Y$ for some $m, n > 2$.*

Proof. — Since h has a right homotopy inverse, by Theorem 2.3 there is a homotopy fibration

$$(Z \rightarrow A) \rightarrow A \rightarrow Y \xrightarrow{h} Z$$

and a homotopy equivalence

$$(5.1) \quad Y \simeq Z \times ((Z/A) \vee A).$$

Localize away from P . By Lemma 5.1, A is homotopy equivalent to a wedge of spheres. Since A is not rationally contractible it has at least one sphere as a wedge summand. Let S^m be a sphere of least dimension in this wedge decomposition. Notice that $m > 2$ since A is simply-connected. At this point we have S^m retracting off A and Z/S^m retracting off Z/A .

Now consider the map

$$Z/S^m \xrightarrow{m} Z \xrightarrow{m-1 \text{ ev}} S^{m-1} Z$$

where ev is the canonical evaluation. As $m > 2$, this map makes sense and $S^{m-1} Z$ is a suspension. As we are localized away from P , by Lemma 5.1 the space $S^{m-1} Z$ is homotopy equivalent to a wedge of spheres. Since Z is not rationally contractible it has at least one sphere as a wedge summand. Let S^n be a sphere of least dimension in this wedge decomposition. We claim that this sphere also retracts off Z/S^m . If so then S^n/S^m retracts off $(Z/A) \vee A$ and hence (5.1) implies that (S^m/S^n) retracts off Y .

It remains to show that S^n retracts off Z/S^m . Take homology with $Z_{(\frac{1}{p})}$ -coefficients. Note that n is the least degree for which $S^{m-1} Z$ has nontrivial homology. Since Z is simply-connected, the Serre exact sequence applied to the homotopy fibration $Z \rightarrow Z/S^m \xrightarrow{\text{ev}} Z$ implies that ev induces an isomorphism in the least nontrivial degree in homology. Therefore $S^{m-1} \text{ev}$ induces an isomorphism in degree n . On the other hand, the Hurewicz Theorem implies that, for some finite number $r > 1$, there is a map $g: \bigvee_{i=1}^r S^n \rightarrow Z/S^m$ that induces an isomorphism in degree n homology. Thus $(S^{m-1} \text{ev}) \circ g$ induces an isomorphism in degree n homology. Since $S^{m-1} Z$ is homotopy equivalent to a wedge of spheres, this implies that $(S^{m-1} \text{ev}) \circ g$ has a left homotopy inverse. Hence there is a retraction of S^n off Z/S^m .

Corollary 5.3. — *With hypotheses as in Theorem 5.2, the space Y has the following properties:*

- (a) Y is rationally hyperbolic;
- (b) Y has no homotopy exponent at any prime $p \neq P$;
- (c) Y is mod- p^r hyperbolic for all primes $p \neq P$ and all $r > 1$.

Consequently, for all but finitely many primes, Y satisfies Moore's Conjecture and is mod- p^r hyperbolic.

Proof. — Parts (a) to (c) follow because they are satisfied by $S^m \times S^n$ and because $(S^m \times S^n)$ retracts onto Y .

Proof of Theorem 1.5(c). — Suppose that Y has dimension d and connectivity s . By hypothesis, $H(Y; Z)$ is p -torsion free, so if $p > \frac{1}{2}(d - s + 1)$ then $p \notin P(Y)$. Therefore Corollary 5.3 implies that Y is rationally hyperbolic and Z/p^r -hyperbolic for all $r > 1$.

Example 5.4. — We revisit the class Y in Section 3. Let $Y = Y$ and consider the associated homotopy cofibration $J \xrightarrow{f} Y \xrightarrow{h} Z$. By the hypotheses on Y , the space J is not rationally contractible, and Z has the rational homology of $S^m \times S^{n-m}$. By [3], h has a right rational homotopy inverse. By Lemma 5.1, J is homotopy equivalent to a wedge of spheres after localization away from $P(J)$. Now Theorem 5.2 applies and so Corollary 5.3 implies that Y is hyperbolic, has no exponent at any prime $p \notin P(J) \cup P(Z)$, and for any such prime Y is mod- p^r hyperbolic for all $r > 1$.

Finally, observe that as well as Moore’s Conjecture, Theorem 5.2 is closely linked to the Vigué-Poirrier Conjecture. The hypothesis that h has a right homotopy inverse implies that f is inert. Since Y is a simply-connected finite CW-complex, by Corollary 3.2, $\log \text{index}(H(Y; \mathbb{Q})) < \infty$. Therefore, if either f is strongly inert or $Z(\mathbb{Z}) = \mathbb{Z}$, then Theorems 2.5 or 4.1 respectively implies that LY has good exponential growth.

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