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## STRATIFICATION THEORY FROM THE NEWTON POLYHEDRON POINT OF VIEW

#### by OULD M. ABDERRAHMANE\*

A stratification of a variety V is an expression of V as the disjoint union of a locally finite set of connected analytic manifolds, called strata, such that the frontier of each stratum is the union of a set of lowerdimensional strata. The most important notion in stratification theory is the regularity condition between strata. The notion of (w)-regularity introduced by Verdier in [15] plays a very important role in the study of algebraic and analytic varieties. Moreover, he showed that the (w)regularity condition implies the Whitney (b)-regularity condition. The (c)-regularity, defined by K. Bekka in [2], is weaker than the Whitney (b)-regularity, and he showed that the (c)-regularity condition implies topological triviality. In this paper, we will investigate these regularity conditions relative to a Newton filtration in terms of the defining equations of the strata. The article is organized as follows. In Section 1 we present a characterization for Bekka's (c)-regularity condition. Next we give a criterion for regularity conditions in terms of the defining equations of the strata, following [1] we introduce a pseudo-metric adapted to the Newton polyhedron in Section 2. Using this construction we obtain versions relative to the Newton filtration of the Fukui-Paunescu Theorem (Theorem 4 below). In this approach it is possible to consider a version relative to a Newton filtration of the (w)-regularity condition. We show that this

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condition implies the (c)-regularity condition. In Section 3, using the criterion of the regularity condition given in Section 2, we prove that the J. Damon and T. Gaffney condition in ([5], Theorem 1) implies the (w)-regularity condition related to the Newton polyhedron.

Since complex varieties can be considered as real varieties, we shall only consider the real case.

Notation. — To simplify the notation, we will adopt the following conventions: for a function g(x,t), we denote by  $\partial g$  the gradient of gand by  $\partial_x g$  the gradient of g with respect to the variables x. For a non zero vector v of  $\mathbb{R}^n$ , we denote by L(v) the line spanned by v. Also, let  $\mathbb{R}^n_+ = \{(x_1, \ldots, x_n) \in \mathbb{R}^n, \text{ each } x_i \ge 0, i = 1, \ldots, n\}$  and  $\mathbb{Q}^n_+ = \mathbb{Q}^n \cap \mathbb{R}^n_+,$  $\mathbb{Z}^n_+ = \mathbb{Z}^n \cap \mathbb{Q}^n_+.$ 

Let  $\varphi, \psi: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0)$  be two functions. We say that  $|\varphi(x)| \leq |\psi(x)|$  if there exists a constant C such that  $|\varphi(x)| \leq C |\psi(x)|$ . We write  $|\varphi| \sim |\psi|$  if  $|\varphi(x)| \leq |\psi(x)|$  and  $|\psi(x)| \leq |\varphi(x)|$ . Finally,  $|\varphi(x)| \ll |\psi(x)|$  when x tends to  $x_0$  means  $\lim_{x \to x_0} \frac{\varphi(x)}{\psi(x)} = 0$ .

#### 1. Stratification.

In this section, we recall some definitions about stratification. The stratification theory has been introduced by H. Whitney [16] and R. Thom [13].

Let M be a smooth manifold, and let X, Y be smooth submanifolds of M such that  $Y \subseteq \overline{X}$  and  $X \cap Y = \emptyset$ .

(i) (Whitney (a)-regularity)

(X, Y) is (a)-regular at  $y_0 \in Y$  if:

for each sequence of points  $\{x_i\}$  which tends to  $y_0$  such that the sequence of tangent spaces  $\{T_{x_i}X\}$  tends in the Grassman space of (dim X)-planes to some plane  $\tau$ , then  $T_{y_0}Y \subset \tau$ . We say (X,Y) is (a)-regular if it is (a)-regular at any point  $y_0 \in Y$ .

(ii) (Bekka (c)-regularity)

Let  $\rho$  be a smooth non-negative function such that  $\rho^{-1}(0) = Y$ . (X, Y) is (c)-regular at  $y_0 \in Y$  for the control function  $\rho$  if:

for each sequence of points  $\{x_i\}$  which tends to  $y_0$  such that the sequence of tangent spaces  $\{\text{Ker}d\rho(x_i)\cap T_{x_i}X\}$  tends in the Grassman space of (dim X-1)-planes to some plane  $\tau$ , then  $T_{y_0}Y \subset \tau$ . (X,Y)

is (c)-regular at  $y_0$  if it is (c)-regular for some control function  $\rho$ . We say (X, Y) is (c)-regular if it is (c)-regular at any point  $y_0 \in Y$ .

#### **1.1.** A criterion for (c)-regularity.

We suppose now that  $M = \mathbb{R}^{n+m}$  and  $0 \in Y \subset \overline{X} - X$  (the regularity conditions are defined locally). Modulo an analytic transformation of  $\mathbb{R}^{n+m}$ near 0, if necessary, we may assume that Y coincides with its tangent space  $T_0Y$ . Let  $(x,t) = (x_1, \ldots, x_n, t_1, \ldots, t_m)$  denote a system of coordinates of  $\mathbb{R}^{n+m}$ . For notational convenience we also use  $x_{n+s} = t_s$ . We assume that

$$Y = \{ (x, t) \in \mathbb{R}^{n+m} \mid x_1 = \dots = x_n = 0 \}.$$

Then we can characterize (c)-regularity as follows:

THEOREM 1. — The pair (X, Y) is (c)-regular at 0 for the control function  $\rho$  if and only if (X, Y) is (a)-regular at 0 and  $|\partial_t(\rho|_X)_{(x,t)}| \ll |\text{grad } (\rho|_X)_{(x,t)}| \text{ as } (x,t) \in X \text{ and } (x,t) \to 0.$ 

The following proof is inspired by the proof of Bekka-Koike ([3], Theorem 2.4)

*Proof.*— At first, we have the following equality:

$$T_{(x,t)}X = (\operatorname{Ker} d\rho(x,t) \cap T_{(x,t)}X) \oplus K_{(x,t)},$$

where  $K_{(x,t)} = (\operatorname{Ker} d\rho(x,t) \cap T_{(x,t)}X)^{\perp} \cap T_{(x,t)}X = L(\partial(\rho_{|_X})_{(x,t)})$  i.e., a line spanned by the gradient of the function  $\rho_{|_X}$ .

(⇒) Let  $(x_i, t_i)$  be a sequence of points X which tends to 0 such that  $T_{(x_i,t_i)}X$  tends to some (dim X)-dimensional space  $\tau$ . Taking a subsequence if necessary we can suppose that Ker  $d\rho(x_i, t_i) \cap T_{(x_i,t_i)}X$ tends to some (dim X − 1)-dimensional space  $\tau'$  and  $K_{(x_i,t_i)}$  tends to some one-dimensional space L. By Bekka (c)-regularity  $\{0\} \times \mathbb{R}^m \subset \tau'$ . Since Ker  $d\rho(x_i, t_i) \cap T_{(x_i,t_i)}X \subset T_{(x_i,t_i)}X$  and  $K_{(x_i,t_i)}$  is orthogonal to Ker  $d\rho(x_i, t_i) \cap T_{(x_i,t_i)}X$ , we have  $\{0\} \times \mathbb{R}^m \subset \tau$  and L is orthogonal to  $\{0\} \times \mathbb{R}^m$  which means (X, Y) is (a)-regular at 0 and  $|\partial_t(\rho|_X)_{(x_i,t_i)}| \ll$  $|\partial(\rho|_X)_{(x_i,t_i)}|$ .

( $\Leftarrow$ ) Let  $(x_i, t_i)$  be a sequence of points X which tends to 0 such that Ker  $d\rho(x_i, t_i) \cap T_{(x_i, t_i)}X$  tends to some (dim X - 1)-dimensional space  $\tau$ .

When passing to a subsequence one can suppose that all the  $T_{(x_i,t_i)}X$  have the same dimension (dim X), and that this sequence of space converges to some space  $\tau'$  and  $K_{(x_i,t_i)}$  tends to some one-dimensional space L. By the Whitney (a)-regularity  $\{0\} \times \mathbb{R}^m \subset \tau'$ . Since  $|\partial_t(\rho|_X)_{(x_i,t_i)}| \ll$  $|\partial(\rho|_X)_{(x_i,t_i)}|$ , which implies  $L \subset \mathbb{R}^n \times \{0\}$ , L is orthogonal to  $\{0\} \times \mathbb{R}^m$ . Hence we have  $\{0\} \times \mathbb{R}^m \subset \tau$ .

This completes the proof of the theorem.

#### **1.2.** Ratio test conditions and (w)-regularity.

For X, Y as above, we say X is (r)-regular (resp. (w)-regular) over Y at 0, if for any unit vector v tangent to Y

$$|\pi_p(v)| |(x,t)| \ll |x|$$
 as  $p = (x,t) \in X$  and  $(x,t) \to 0$ 

(resp.  $|\pi_p(v)| \leq |x|$  when  $p = (x,t) \in X$  near 0) where  $\pi_p$  denotes the orthogonal projection of  $\mathbb{R}^{n+m}$  to the normal space of X at  $p \in X$ . We can find a lot of information about this in [6, 8, 14].

Let  $F: (\mathbb{R}^n \times \mathbb{R}^m, \{0\} \times \mathbb{R}^m) \to (\mathbb{R}^p, 0)$  be an analytic map-germ. We denote by  $V_F$  the variety of the zero locus of F. One can note that  $\Sigma(V_F) = \{F^{-1}(0) - \{0\} \times \mathbb{R}^m, \{0\} \times \mathbb{R}^m\}$  gives a stratification of  $V_F$ around  $\{0\} \times \mathbb{R}^m$ . Hereafter, we will assume that

$$X = F^{-1}(0) - \{0\} \times \mathbb{R}^m$$
 and  $Y = \{0\} \times \mathbb{R}^m$ .

Setting  $F := (F_1, \ldots, F_p)$ , assume that the Jacobi matrix of F has rank k on X near 0, where  $k \leq p$  is the codimension of X in  $\mathbb{R}^{n+m}$ . We note that the normal space to X is generated by the gradient of the functions  $F_j$   $(j = 1, \ldots, p)$  at each  $P \in X$  near 0. Let us recall some definitions and notations, used by Fukui and Paunescu in [6].

Let  $j_1 \dots, j_k$  be integers with  $1 \leq j_1 < \dots < j_k \leq p$ . We set  $J = \{j_1, \dots, j_k\}, F_J = (F_{j_1}, \dots, F_{j_k})$  and

$$dF_J = dF_{j_1} \wedge \dots \wedge dF_{j_k}, \text{ where } dF_j = \sum_{i=1}^{n+m} \frac{\partial F_j}{\partial x_i} dx_i,$$
$$d_x F_J = d_x F_{j_1} \wedge \dots \wedge d_x F_{j_k}, \text{ where } d_x F_j = \sum_{i=1}^n \frac{\partial F_j}{\partial x_i} dx_i,$$

and we define  $d^x F_J$  by  $dF_J = d_x F + d^x F_J$ .

For  $I \subset \{1, \ldots, n\}$ ,  $S \subset \{1, \ldots, m\}$ ,  $J \subset \{1, \ldots, p\}$  with #I + #S = #J = k, we set  $\frac{\partial F_J}{\partial (x_I, t_S)}$  to be the Jacobian of  $F_J$  with respect to the variables  $x_i \ (i \in I)$ , and  $t_s \ (s \in S)$ . When  $S = \emptyset$ , we simply denote it by  $\frac{\partial F_J}{\partial x_I}$ . We then define  $\|dF\|$ ,  $\|d_xF\|$  and  $\|d^xF\|$  by the following formulae:

$$\|dF\|^{2} = \sum_{J} \|dF_{J}\|^{2} \quad \text{where } \|dF_{J}\|^{2} = \sum_{I,S} \left|\frac{\partial F_{J}}{\partial(x_{I},t_{S})}\right|^{2},$$
$$\|d_{x}F\|^{2} = \sum_{J} \|d_{x}F_{J}\|^{2} \quad \text{where } \|d_{x}F_{J}\|^{2} = \sum_{I} \left|\frac{\partial F_{J}}{\partial x_{I}}\right|^{2},$$
$$\|d^{x}F\|^{2} = \sum_{J} \|d^{x}F_{J}\|^{2} \quad \text{where } \|d^{x}F_{J}\|^{2} = \sum_{I,S:S \neq \emptyset} \left|\frac{\partial F_{J}}{\partial(x_{I},t_{S})}\right|^{2}$$

For a matrix M we denote by |M| the absolute value of its determinant.

Then we have a simple criterion for the regularity conditions of  $\Sigma(V_F)$  as follows:

THEOREM 2. — For X, Y as above, we have the following equivalences

- (i) (X, Y) is (a)-regular at 0 if and only if  $||d^x F|| \ll ||dF||$  when  $(x, t) \to 0$  on X.
- (ii) (X,Y) is (r)-regular at 0 if and only if  $||d^xF|| |(x,t)| \ll |x| ||d_xF||$ when  $(x,t) \to 0$  on X.
- (iii) (X, Y) is (w)-regular at 0 if and only if  $||d^x F|| \leq |x| ||d_x F||$  holds on X near 0.
- (iv) (X, Y) is (c)-regular at 0 for the function  $\rho$  if and only if  $||d^x F|| \ll ||dF||$  and  $|\partial_t \rho|_X| \ll \frac{||dF \wedge d\rho||}{||dF||}$  as  $(x,t) \in X$ ,  $(x,t) \to 0$ .

Here,  $||dF \wedge d\rho||^2 = \sum_J ||dF_J \wedge d\rho||^2$ .

*Proof.* — Since (i), (ii) and (iii) have already been obtained in [6], we only have to prove (iv). Indeed, following ([6], lemma 1.4), one get that the orthogonal projection  $\pi$  of  $v \in T_{(x,t)}M$  to the tangent space  $T_{(x,t)}X$  is expressed by the following form:

(1.1) 
$$\pi(v) = \sum_{i=1}^{n+m} \frac{\sum_{J} \langle dF_J \wedge dx_i, dF_J \wedge v \rangle}{\|dF\|^2} \frac{\partial}{\partial x_i}$$

Since  $\partial \rho|_X = \pi(\partial \rho)$ , we can easily see that  $\langle \partial \rho|_X, \partial \rho \rangle = \frac{\|dF \wedge d\rho\|^2}{\|dF\|^2}$ , but  $\partial \rho = \partial \rho|_X + \partial \rho|_N$  (where N denotes the normal space to X), which implies

(1.2) 
$$|\partial \rho|_{X}|^{2} = \langle \partial \rho|_{X}, \partial \rho \rangle = \frac{\|dF \wedge d\rho\|^{2}}{\|dF\|^{2}}.$$

Hence, we can deduce from Theorem 1 that (iv) holds.

We next state one sufficient condition for (c)-regularity.

COROLLARY 3. — Suppose that  $\partial_t \rho = 0$ , then X is (c)-regular over Y at 0, if

(1.3) 
$$\|d^x F\| \ll \frac{\|dF \wedge d\rho\|}{|\partial\rho|} \quad \text{as } (x,t) \in X, \ (x,t) \to 0.$$

Note that when p = k = 1, this inequality is a necessary condition for (c)-regularity.

*Proof.* — It is trivial that (1.3) implies (X, Y) is (a)-regular at 0. We first remark, by (1.1) the following equality:

$$\partial_{t_{j}}\rho_{|x} = \frac{\sum_{J} \langle dF_{J} \wedge dt_{j}, dF_{J} \wedge d\rho \rangle}{\|dF\|^{2}} \frac{\partial}{\partial t_{j}}$$
$$= \frac{\sum_{i=1}^{n} \frac{\partial\rho}{\partial x_{i}} \sum_{J} \langle dF_{J} \wedge dt_{j}, dF_{J} \wedge dx_{i} \rangle}{\|dF\|^{2}} \frac{\partial}{\partial t_{j}}.$$

Then, by Cauchy-Schwartz inequality, we have

$$|\partial_{t_j}\rho|_X| \lesssim \frac{|\partial\rho| \|d^x F\|}{\|dF\|} \quad for \ j=1,\ldots,m.$$

We now assume (1.3). We then have  $|\partial_t \rho|_X \ll \frac{\|dF \wedge d\rho\|}{\|dF\|}$  as  $(x,t) \in X$ ,  $(x,t) \to 0$ . It follows from the equivalence in (iv) of Theorem 2 that (X,Y) is (c)-regular at 0.

## 2. (w)-regularity and (c)-regularity relative to the Newton filtration.

Let us recall some basic definitions and properties of the Newton filtration (see [1, 5, 7] for details). Let  $\mathcal{A} \subset \mathbb{Q}^n_+$ . A Newton polyhedron

 $\Gamma_{+}(\mathcal{A}) \subset \mathbb{R}^{n}$  is defined by {the convex closure of  $\mathcal{A} + \mathbb{R}^{n}_{+}$ }. The Newton boundary of  $\mathcal{A}$ ,  $\Gamma(\mathcal{A})$  is the union of the compact faces of  $\Gamma_{+}(\mathcal{A})$ . We let  $\mathcal{F}(\mathcal{A})$  denote the union of the top dimensional faces of  $\Gamma(\mathcal{A})$ . The Newton vertex Ver( $\mathcal{A}$ ) is defined by { $\alpha : \alpha$  is vertex of  $\Gamma(\mathcal{A})$ }.  $\mathcal{A}$  is called convenient if the intersection of  $\Gamma_{+}(\mathcal{A})$  with each coordinate axis is nonempty. Throughout, we suppose that  $\mathcal{A}$  is convenient.

From the Newton polyhedron, we construct the Newton filtration. We first observe that by the convenience assumption on  $\mathcal{A}$ , any face  $F \in \mathcal{F}(\mathcal{A})$ , dim F = n - 1. So let  $w^F$  be the unique vector of  $\mathbb{Q}^n_+$  such that  $F = \{b \in \Gamma_+(\mathcal{A}) : \langle b, w^F \rangle = 1\}$ . We can suppose that the vertices of  $\mathcal{A}$  are sufficiently close to the origin so that all the  $w^F \in \mathbb{Z}^n_+$ . We will suppose henceforth that  $\mathcal{A}$  satisfies this property. Then, we construct the following map  $\phi: \mathbb{R}^n_+ \to \mathbb{R}_+$ . The restriction of  $\phi$  to each cone C(F) (where C(F) denotes the cone of half-rays emanating from 0 and passing through F) is defined as follows:

$$\phi_{|_{C(F)}}(\alpha) = \langle \alpha \,, \, w^F \rangle, \quad \text{ for all } \alpha \in C(F).$$

We extend this map to  $\mathbb{R}^n_+$  as follows:

(2.1) 
$$\phi(\alpha) = \min\left\{ \langle \alpha, w^F \rangle : F \in \mathcal{F}(\mathcal{A}) \right\}, \quad \text{for all } \alpha \in \mathbb{R}^n_+.$$

The map  $\phi$  is linear on each cone C(F) (where  $F \in \mathcal{F}(\mathcal{A})$ ), and the value of  $\phi$  along each point over  $\Gamma(\mathcal{A})$  is equal to 1 and  $\phi(\mathbb{Z}_{+}^{n}) \subset \mathbb{Z}_{+}$ . This is called the Newton filtration induced by  $\mathcal{A}$ .

For any monomial  $x^{\alpha}$ , we define  $\operatorname{fil}(x^{\alpha}) = \phi(\alpha)$ . This extends to a filtration on the ring  $\mathcal{C}_n$  of analytic function germs :  $(\mathbb{R}^n, 0) \to (\mathbb{R}, 0)$  (via Taylor expansion) by defining

(2.2) 
$$\operatorname{fil}\left(\sum c_{\alpha}x^{\alpha}\right) = \min\{\phi(\alpha) : c_{\alpha} \neq 0\}$$

We denote the set of g with  $\operatorname{fil}(g) \ge l$  in  $\mathcal{C}_n$  by  $\mathcal{A}_l$ . The number  $\operatorname{fil}(g)$  will be also called the level of g with respect to  $\mathcal{A}$ .

Now we introduce the control functions associated to  $\mathcal{A}$  as follows:

(2.3) 
$$\rho(x) = \left(\sum_{\alpha \in \operatorname{Ver}(\mathcal{A})} x^{2p\alpha}\right)^{\frac{1}{2p}} \text{ and } \overline{\rho}(x) = \sum_{\alpha \in \operatorname{Ver}(\mathcal{A})} x^{2p\alpha},$$

where p a positive integer. Moreover if p is big enough (it suffices, for example, that  $p\alpha \in \mathbb{Z}_{+}^{n}$ ),  $\overline{\rho}$  will be  $C^{w}$ .

Note that for an element  $g = \sum c_{\alpha} x^{\alpha} \in C_n$ , the support of g is  $\operatorname{supp}(g) = \{\alpha : c_{\alpha} \neq 0\}$ ; it is clear that  $g \in \mathcal{A}_l$  if and only if  $\operatorname{supp}(g) \subset \Gamma_+(l\mathcal{A})$  which is also equivalent to  $|g| \leq \rho^l$  (see [1, 5] for details). Thus  $\mathcal{A}_l$  can be written as

(2.4) 
$$\mathcal{A}_l = \{ g \in \mathcal{C}_n : \operatorname{supp}(g) \subset \Gamma_+(l\mathcal{A}) \} = \{ g \in \mathcal{C}_n : |g| \lesssim \rho^l \}.$$

We say that an analytic function germ  $g \in C_n$  is an  $\mathcal{A}$ -form of degree d if  $\operatorname{supp}(g) \subset \Gamma(d\mathcal{A})$  (i.e.,  $g \in \mathcal{A}_d \setminus \mathcal{A}_{d+1}$ ). Furthermore, for  $f \in C_n$ , we denote the Taylor expansion of f(x) at the origin by  $\sum_{\nu} c_{\nu} x^{\nu}$ . Setting

$$H_j(x) = \sum_{\nu \in \Gamma(j\mathcal{A})} c_{\nu} x^{\nu}, \quad j \in \mathbb{Z}_+,$$

we can write  $f(x) = \sum_{j} H_{j}(x)$  (Newton filtration), where  $H_{j}$  is  $\mathcal{A}$ -form of degree j. Also if  $\#\mathcal{F}(\mathcal{A}) = 1$ , we can replace the Newton filtration associated with  $\mathcal{A}$  by the weighted filtration associated to  $w^{F}$ . Moreover, if  $w^{F} = (1, \ldots, 1)$ , this Newton filtration coincides with the usual filtration.

#### 2.1. Compensation factor.

Let  $\rho_i: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0)$  be a continuous function. We say that  $\rho_i$  is the *i*th compensation factor associated with  $\mathcal{A}$  if for each  $g \in \mathcal{C}_n$ , we have that  $|\rho_i \partial_{x_i} g| \leq \rho^{\operatorname{fil}(g)}$ . Next we give some examples of compensation factors associated with  $\mathcal{A}$ .

(i) Here, we have the trivial example for the compensation factors, given by

$$\rho_i(x) = x_i \quad \text{for} \quad i = 1, \dots, n.$$

(ii) Let  $L_j = L(x_j)$  denote the  $x_j$ -axis. We then put  $\alpha^j = L_j \cap \Gamma(\mathcal{A})$ for  $j = 1, \ldots, n$  (the axial vertices of  $\Gamma(\mathcal{A})$ ). We define the weight of the variable  $x_i, \mathcal{A}(i) = \mathcal{A}(x_i) = \max\{w_i^F : F \in \mathcal{F}(\mathcal{A})\}$ . We may introduce the compensation factors as follows:

$$\rho_i(x) = \left(x_i^{\frac{2p}{\mathcal{A}(i)}} + \sum_{\alpha \in \operatorname{Ver}(\mathcal{A}) \setminus \{\alpha^i\}} x^{2p\,\alpha}\right)^{\frac{\mathcal{A}(i)}{2p}}, \quad i = 1, \dots, n.$$

It is easy to check that these functions  $\rho_i$  are compensation factors associated with  $\mathcal{A}$  (see [1, 11] for details).

(iii) The following compensation factors are inspired by the work of Damon-Gaffney in [5]. For all integers  $l \ge 0$ , we let

$$R_{l,i} = \{ \alpha \in \mathbb{Q}_+^n : \langle \alpha, w^F \rangle \ge l + w_i^F, \ \forall F \in \mathcal{F}(\mathcal{A}) \} \quad \text{ for } i = 1, \dots, n.$$

We may introduce the compensation factors as follows:

$$\rho_{l,i}(x) = \left(\sum_{\alpha \in Ver(R_{l,i})} \frac{x^{2\alpha}}{\rho^{2l}}\right)^{\frac{1}{2}}, \quad i = 1, \dots, n.$$

It is easy to see that for any integers  $l \ge 0$ , we have that  $\rho_{l,i}(x) \lesssim \rho^{m_i}(x)$ , where  $m_i = \min_{F \in \mathcal{F}(\mathcal{A})} \{w_i^F\}$ , which implies that  $\rho_{l,i}$  is continuous at the origin. On the other hand, by the construction of  $\rho_{l,i}$  we can deduce that  $|\rho_{l,i}\partial_{x_i}g| \le \rho^{\mathrm{fil}(g)}$  for all  $g \in \mathcal{C}_n$ . Hence, we get that these functions  $\rho_{l,i}$  are compensation factors associated with  $\mathcal{A}$ .

Observation. — We should note that in the case where  $\#\mathcal{F}(\mathcal{A}) = 1$ (i.e., weighted filtration associated with  $w = (w_1, \ldots, w_n)$ ), the natural choice of compensation factor is that given by L. Paunescu in [10] as follows:

$$\rho_i = \rho^{w_i} \text{ for } i = 1, ..., n.$$

Moreover, for any other compensation factors  $\xi_1, \ldots, \xi_n$  associated with the weighted filtration, we have that  $\xi_i \leq \rho^{w_i}$ ,  $i = 1, \ldots, n$ . Unfortunately, in the general case we have not succeeded in finding the best compensation factors  $\rho_1, \ldots, \rho_n$  such that for any other compensation factors  $\xi_1, \ldots, \xi_n$ , we have that  $\xi_i \leq \rho_i$ . However, for each  $\gamma \in \mathbb{Q}^n_+$  such that the monomial  $x^{\gamma}$  is *i*th compensation factor, we have  $|x^{\gamma}| \leq \rho_{l,i}$ , where  $\rho_{l,i}$  are the compensation factors defined in (iii).

Now we fix the compensation factors  $\rho_i$  for i = 1, ..., n relative to the Newton filtration, and consider the singular metric of  $M = \mathbb{R}^{n+m}$  defined by

$$\begin{split} \langle \rho_i(x) \frac{\partial}{\partial x_i} , \, \rho_j(x) \frac{\partial}{\partial x_j} \rangle &= \delta_{i,j} := \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases} , \\ \langle \frac{\partial}{\partial x_i} , \frac{\partial}{\partial t_j} \rangle &= 0 \text{ and } \langle \frac{\partial}{\partial t_i} , \frac{\partial}{\partial t_j} \rangle = \delta_{i,j}. \end{split}$$

Here,  $(x,t) = (x_1, \ldots, x_n, t_1, \ldots, t_p)$  denotes a system of coordinates of  $\mathbb{R}^{n+m}$ . By elementary calculation we have

(2.5) 
$$\langle dx_{i_1} \wedge \cdots \wedge dx_{i_k}, dx_{i_1} \wedge \cdots \wedge dx_{i_k} \rangle = \rho_I := \rho_{i_1} \cdots \rho_{i_k}.$$

#### **2.2.** (w)-regularity associated with $\mathcal{A}$ .

Let  $F\!:\!(\mathbb{R}^n\times\mathbb{R}^m,\{0\}\times\mathbb{R}^m)\to(\mathbb{R}^p,0)$  be analytic. We next assume that

(2.6) 
$$Y = \{(x,t) \in \mathbb{R}^n \times \mathbb{R}^m : x_1 = \cdots x_n = 0\}$$
 and  $X = F^{-1}(0) - Y$ .

Setting  $F := (F_1, \ldots, F_p)$ , assume that the Jacobi matrix of F has rank k on X near 0, where  $k \leq p$  is the codimension of X in  $\mathbb{R}^{n+m}$ . We note that the normal space of X is generated by the gradient of the functions  $F_j$   $(j = 1, \ldots, p)$  at each  $P \in X$  near 0. Following [6], we define  $\|dF\|_{\mathcal{A}}, \|d_xF\|_{\mathcal{A}}, \|d^xF\|_{\mathcal{A}}$  and  $D_{\mathcal{A}}(\ell)$  by the following formulae:

$$\|dF\|_{\mathcal{A}}^{2} = \sum_{J} \|dF_{J}\|_{\mathcal{A}}^{2} \quad \text{where } \|dF_{J}\|_{\mathcal{A}}^{2} = \sum_{I,S} \left(\rho_{I} \left| \frac{\partial F_{J}}{\partial(x_{I}, t_{S})} \right| \right)^{2},$$
$$\|d_{x}F\|_{\mathcal{A}}^{2} = \sum_{J} \|d_{x}F_{J}\|_{\mathcal{A}}^{2} \quad \text{where } \|d_{x}F_{J}\|_{\mathcal{A}}^{2} = \sum_{I} \left(\rho_{I} \left| \frac{\partial F_{J}}{\partial x_{I}} \right| \right)^{2},$$
$$\|d^{x}F\|_{\mathcal{A}}^{2} = \sum_{J} \|d^{x}F_{J}\|_{\mathcal{A}}^{2} \quad \text{where } \|d^{x}F_{J}\|_{\mathcal{A}}^{2} = \sum_{I,S:S \neq \emptyset} \left(\rho_{I} \left| \frac{\partial F_{J}}{\partial(x_{I}, t_{S})} \right| \right)^{2}$$

0

and

(2.8) 
$$D_{\mathcal{A}}(\ell) = \sum_{J} \sum_{I,S: \#S=\ell} \left( \rho_I \left| \frac{\partial F_J}{\partial (x_I, t_S)} \right| \right)^2.$$
 Here  $\rho_I = \prod_{i \in I} \rho_i$ 

We first remark that  $\langle dF, dF \rangle = ||dF||_{\mathcal{A}}^2$  and  $\langle d_xF, d_xF \rangle = ||d_xF||_{\mathcal{A}}^2$ .

Now using the above construction, we state the version relative to the Newton filtration of the Fukui-Paunescu Theorem ([6], Theorem 2.1).

THEOREM 4.— The following conditions are equivalent

- (i)  $D_{\mathcal{A}}(m) \leq D_{\mathcal{A}}(m-1) \leq \cdots \leq D_{\mathcal{A}}(1) \leq D_{\mathcal{A}}(0)$  holds on X near 0.
- (ii)  $||d^x F||_{\mathcal{A}} \lesssim ||d_x F||_{\mathcal{A}}$  holds on X near 0.

(iii) For any C1-functions  $\varphi_j$  (j = 1, ..., p) near 0, and s = 1, ..., m,

$$\left|\sum_{j=1}^p \varphi_j \frac{\partial F_j}{\partial t_s}\right| \lesssim \sum_{i=1}^n \rho_i \left|\sum_{j=1}^p \varphi_j \frac{\partial F_j}{\partial x_i}\right| \quad \text{holds on $X$ near 0.}$$

(iv) For  $J \subset \{1, \ldots, p\}$ ,  $I = \{i_1, \ldots, i_{k-1}\} \subset \{1, \ldots, n\}$  with  $1 \leq i_1 < \cdots < i_{k-1} \leq n, s = 1, \ldots, m$ ,

$$\left. 
ho_I \left| rac{\partial F_J}{\partial (x_I, t_s)} \right| \lesssim \| d_x F \|_{\mathcal{A}} \quad ext{ holds on } X ext{ near } 0.$$

(v) For  $J \subset \{1, ..., p\}, i = 1, ..., n, s = 1, ..., m$ ,

$$|\langle dF_J \wedge dx_i, dF_J \wedge dt_s \rangle| \lesssim 
ho_i ||d_x F||_{\mathcal{A}}^2$$
 holds on X near 0.

(vi) For some positive C1-functions  $\phi_J$  on X with  $J \subset \{1, \ldots, p\}$ ,  $i = 1, \ldots, n, s = 1, \ldots, m$ ,

$$\left|\sum_{J} \phi_{J} \langle dF_{J} \wedge dx_{i}, \, dF_{J} \wedge dt_{s} \rangle \right| \lesssim \rho_{i} \sum_{J} \phi_{J} \|d_{x}F\|_{\mathcal{A}}^{2} \quad \text{holds on } X \text{ near } 0.$$

*Proof.* — The proof is similar to that of Fukui-Paunescu in [6]; it is enough to replace the  $||x||_{w}^{w_i}$  (resp.  $||x||_{w}^{w_I}$ ) in the proof of Theorem 2.1 [6] by the  $\rho_i$  (resp.  $\rho_I$ ).

We say that X is (w)-regular over Y at 0 with respect to  $\mathcal{A}$  (or  $w^{\mathcal{A}}$ -regular), if one of the above equivalent conditions holds. When  $\#\mathcal{F}(\mathcal{A}) = 1$ , we find that  $\rho_i(x) = \rho^{w_i^F}(x)$  for  $i = 1, \ldots, n$ , hence our  $(w^{\mathcal{A}})$ -regularity reduces to the weighted (w)-regularity (see [6]). Moreover, if  $w^F = (1, \cdots, 1)$ , these coincide with the usual (w)-regularity (Verdier's regularity).

We shall prove the following theorem.

THEOREM 5. — For X, Y as above, if (X, Y) is  $(w^{\mathcal{A}})$ -regular, then (X, Y) is (c)-regular for the control function  $\overline{\rho}$  (we recall that  $\overline{\rho}(x) = \sum_{\alpha \in \operatorname{Ver}(\mathcal{A})} x^{2p\alpha}$ ).

REMARK 6. — The converse of the theorem is false in general: (Kuo's example [8])

$$F(x, y, t) = y^2 - tx^2 - x^5, \quad X = \{y^2 = tx^2 + x^5\} - \{0\} \times \mathbb{R} \text{ and } Y = \{0\} \times \mathbb{R}.$$

We consider the usual filtration  $(\mathcal{A} = \{(1,0); (0,1)\})$ . It is easy to see that (X,Y) is (c)-regular at 0 for the control function  $\overline{\rho}(x,y) = x^2 + y^2$ , but that (X,Y) is not Verdier (w)-regular at 0 (see [14] for details).

As an immediate corollary we have

COROLLARY 7. — Let  $f_t: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0), t \in \mathbb{R}^m$  be a family of weighted homogeneous polynomials defining an isolated singularity at the origin. We set  $F(x,t) = f_t(x)$ , then the stratification  $\Sigma(V_F)$  is (c)-regular.

(we again recall that  $\Sigma(V_F) = \{F^{-1}(0) - \{0\} \times \mathbb{R}^m, \{0\} \times \mathbb{R}^m\}$ )

Proof. — Let us put  $X = F^{-1}(0) - \{0\} \times \mathbb{R}^m$  and  $Y = \{0\} \times \mathbb{R}^m$ . Consider the weighted filtration associated with  $\mathcal{A} = \{(\frac{1}{w_1}, 0, \dots, 0), \dots, (0, \dots, 0, \frac{1}{w_n})\}$  such that  $f_t$  is a weighted homogeneous polynomial with the weight  $w = (w_1, \dots, w_n) \in \mathbb{Z}_+^n$ . Now from the Theorem 5, it is enough to show that (X, Y) is  $(w^{\mathcal{A}})$ -regular, that is,

(2.9) 
$$|\partial_t F| \lesssim ||d_x F||_{\mathcal{A}}$$
 holds on X near Y.

Since  $f_t$  defines an isolated singularity at the origin, we can see that  $||d_x F||^2_{\mathcal{A}} = \sum_{i=1}^n (\rho^{w_i} \frac{\partial F}{\partial x_i})^2$  is not zero outside the origin, and this implies our inequality.

COROLLARY 8. — Let  $f_t: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0), t \in \mathbb{R}^m$  be a real analytic family non-degenerate (in the sense of Kouchnirenko [7]) and  $\Gamma(f_t) = \Gamma(f_0)$ , then the stratification  $\Sigma(V_F)$  is (c)-regular.

Proof.-- By standard argument, based on the curve selection lemma, we can see that

$$|\partial_t F| \lesssim \sum_{\alpha \in \operatorname{Ver}(\Gamma(f_0))} |x^{\alpha}| \lesssim \sum_{i=1}^n |x_i \frac{\partial F}{\partial x_i}|.$$

Therefore, (X, Y) is  $(w^{\mathcal{A}})$ -regular for any Newton filtration. In particular, (X, Y) is usual (w)-regular (Verdier's regular).

Before starting the proofs of the above results, we will first illustrate these results with several examples.

EXAMPLE 9 (Briançon-Speder family [4]). — Let  $f_t: (\mathbb{R}^3, 0) \to (\mathbb{R}, 0)$ ,  $t \in J = [-1, 1]$ , be a family of weighted homogeneous polynomials defined by

$$f_t(x, y, z) = z^5 + t y^6 z + x y^7 + x^{15}$$

We set  $F(x,t) = f_t(x)$ ,  $Y = \{0\} \times J$  and  $X = F^{-1}(0) - Y$ . It is easy to check that  $|\partial_t F| \leq ||d_x F||_{\mathcal{A}}$  holds on X near 0, where  $\mathcal{A} =$ 

 $\{(1,0,0), (0,\frac{1}{2},0), (0,0,\frac{1}{3})\}$ . Thus, by Theorem 5, we have that (X,Y) is (c)-regular for the function  $\overline{\rho}(x,y,z) = x^{12} + y^6 + z^4$ . (It is well known that  $f_t$  is not Whitney regular and not usual (w)-regular).

EXAMPLE 10 (Oka family [9]). — Let  $f_t: (\mathbb{R}^3, 0) \to (\mathbb{R}, 0), t \in J = [-1, 1]$ , be a family of polynomial functions defined by

$$f_t(x, y, z) = x^8 + y^{16} + z^{16} + t \, x^5 z^2 + x^3 y \, z^3.$$

We set  $F(x,t) = f_t(x), Y = \{0\} \times J$ ,  $X = F^{-1}(0) - Y$  and

$$\mathcal{A} = \left\{ \left(\frac{1}{2}, 0, 0\right), \ (0, 1, 0), \ (0, 0, 1), \ \left(\frac{5}{16}, 0, \frac{1}{8}\right) \right\}.$$

It is not hard to see that the inequality  $|\partial_t F|^2 \leq ||d_x F||^2_{\mathcal{A}} = \sum_{i=1}^n (\rho_i \frac{\partial F}{\partial x_i})^2$ holds on X near Y, where  $\rho_i$  denotes the *i*th compensation factor of type (ii) as defined in 2.1. It follows from Theorem 5 that (X, Y) is (c)-regular for the control function  $\overline{\rho}(x, y, z) = x^{16} + y^{32} + z^{32} + x^{10}z^4$ .

#### 2.3. Proof of Theorem 5.

In order to show this theorem we need the following lemma.

Lemma 11.

(1)  $\|d\overline{\rho}\|_{\mathcal{A}} \lesssim \overline{\rho}(x), x \text{ near } 0,$ (2)  $\overline{\rho} \ll \frac{\|dF \wedge d\overline{\rho}\|}{\|dF\|}$  when  $(x,t) \to 0$  on X.

Proof. — We first recall that:

$$\|d\overline{\rho}\|_{\mathcal{A}}^{2} = \sum_{i=1}^{n} \left(\rho_{i} \frac{\partial\overline{\rho}}{\partial x_{i}}(x)\right)^{2}.$$

Therefore, (1) is a simple consequence of the construction of the compensation factors and the control functions.

Let us observe that, by (1.2) we have  $|\partial \overline{\rho}|_X| = \frac{\|dF \wedge d\overline{\rho}\|}{\|dF\|}$ . On the other hand,  $\partial \overline{\rho} = \partial \overline{\rho}|_X + \partial \overline{\rho}|_N$  (where N denotes the normal space to X). Since N is generated by the gradients of  $F_j$   $(j = 1, \ldots, p)$ , we have that  $\partial \overline{\rho}|_X = \partial \overline{\rho} + \eta_1 \partial F_1 \cdots + \eta_p \partial F_p$ . After this, (2) in the lemma, follows from the following more general proposition.

PROPOSITION 12. — Let  $f: (\mathbb{R}^n, 0) \to (\mathbb{R}^r, 0), g: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0)$  be two germs of analytic maps, setting  $f := (f_1, \ldots, f_r)$ . Then there exists a real constant C such that for  $p \in f^{-1}(0)$ , and sufficiently close to the origin,

$$(2.10) \quad |g(p)| \leq C \mid p \mid \inf_{(\eta_1, \dots, \eta_r) \in \mathbb{R}^r} |\eta_1 \partial f_1(p) + \dots + \eta_r \partial f_r(p) + \partial g(p)|.$$

We note that if r = 1, one finds Theorem 1.1 of Adam Parusiński [12]. Moreover, the proof of this proposition is similar to that of Theorem 1.1 in [12] (we omit the details).

Now we are ready to prove Theorem 5. We assume that (X, Y) is  $(w^{\mathcal{A}})$ -regular at 0. By inequality (iii) in Theorem 4, we have

(2.11) 
$$\left| \frac{\partial F_J}{\partial (x_I, t_S)} \right| \lesssim \sum_{i=1}^n \rho_i \left| \frac{\partial F_J}{\partial (x_I, t_{\hat{S}}, x_i)} \right|$$
 on X near 0,

where  $\hat{S} \subset S$  such that  $\#\hat{S} = \#S - 1$ . Thus we obtain  $||d^xF|| \ll ||dF||$ when  $(x,t) \to 0$  on X (i.e., (X,Y) is (a)-regular at 0), and so by Theorem 2, we only have to prove that:

(2.12) 
$$|\partial_t \overline{\rho}|_X | \ll \frac{\|dF \wedge d\overline{\rho}\|}{\|dF\|} \text{ as } (x,t) \in X, \ (x,t) \to 0.$$

We first remark, by (1.1) the following equality:

$$|\partial_{t_{\eta}}\overline{\rho}_{|_{X}}| = \left|\sum_{J}\sum_{I,S}\frac{\frac{\partial(F_{J},t_{\eta})}{\partial(x_{I},t_{S},t_{\eta})}\frac{\partial(F_{J},\overline{\rho})}{\partial(x_{I},t_{S},t_{\eta})}}{\|dF\|^{2}}\right|,$$

and hence

(2.13) 
$$|\partial_{t_{\eta}}\overline{\rho}|_{X}| \lesssim \left|\sum_{J}\sum_{I,S}\frac{\frac{\partial(F_{J},\overline{\rho})}{\partial(x_{I},t_{S},t_{\eta})}}{\|dF\|}\right|.$$

According to the inequality in (iii) of Theorem 4, we have

$$\left|\frac{\partial(F_J,\overline{\rho})}{\partial(x_I,t_S,t_\eta)}\right| \lesssim \sum_{i=1}^n \rho_i \left( \left|\frac{\partial(F_J,\overline{\rho})}{\partial(x_I,t_S,x_i)}\right| + \left|\frac{\partial\overline{\rho}}{\partial x_i}\right| \left|\frac{\partial F_J}{\partial(x_I,t_S,)}\right| \right).$$

Thus, we obtain

$$\left|\frac{\partial(F_J,\overline{\rho})}{\partial(x_I,t_S,t_\eta)}\right| \lesssim \|d\overline{\rho}\|_{\mathcal{A}} \|dF\| + \sum_{i=1}^n \rho_i \|dF \wedge d\overline{\rho}\|$$

and, using (2.13), we obtain

(2.14) 
$$|\partial_t \overline{\rho}|_X| \lesssim ||d\overline{\rho}||_{\mathcal{A}} + \sum_{i=1}^n \rho_i \frac{||dF \wedge d\overline{\rho}||}{||dF||} \quad \text{on } X \text{ near } 0.$$

It follows from Lemma 11 that (2.12) holds. This completes the proof of Theorem 5.

#### **3.** The Damon-Gaffney condition and (c)-regularity.

In this section we describe some definitions and notations used by Damon-Gaffney in [5].

Given a Newton filtration  $\mathcal{A}$  as above. We extend this filtration on the ring  $\mathcal{C}_{x,t}$  of formal power series in the variables  $x_1, \ldots, x_n$ ;  $t_1, \ldots, t_m$ around the origin by defining

(3.1) 
$$\operatorname{fil}(\sum_{\nu} c_{\nu}(t)x^{\nu}) = \min\{\phi(\nu) : c_{\nu}(t) \neq 0\}.$$

Let  $g = \sum_{\nu} c_{\nu}(t) x^{\nu}$  be a series in  $\mathcal{C}_{x,t}$ , the support of g, denoted by  $\operatorname{supp}(g)$ , is the set of points  $\nu \in \mathbb{Z}^n_+$  such that  $c_{\nu}(t) \neq 0$ . We denote the set of g with  $\operatorname{fil}(g) \geq l$  in  $\mathcal{C}_{x,t}$  by  $\mathcal{A}_{l,x,t}$ . It is not difficult to see the following equality:

$$(3.2) \quad \mathcal{A}_{l,x,t} = \{ g \in \mathcal{C}_{x,t} : \operatorname{supp}(g) \subset \Gamma_+(l\mathcal{A}) \} = \{ g \in \mathcal{C}_{x,t} : |g| \lesssim \rho^l \}.$$

We say that level  $\mathcal{A}_l$  of the Newton filtration is fit if all the vertices of  $\phi^{-1}(l)$  are lattice points of  $\mathbb{R}^n_+$ . This says that  $l \operatorname{Ver}(\mathcal{A}) = \operatorname{Ver}(l\mathcal{A}) \in \mathbb{Z}^n_+$ (because of the linearity of the Newton filtration on cones). For  $\mathcal{A}_l$  which is fit, we let

(3.3) 
$$\operatorname{ver}(A_l) = \{ x^{\beta} : \beta \text{ is a vertex of } \phi^{-1}(l) \} = \{ x^{l\alpha} : \alpha \in \operatorname{Ver}(\mathcal{A}) \}.$$

We also let

(3.4) 
$$\mathcal{V}_{l,x,t} = \left\{ \zeta \in \mathcal{A}_{l+1,x,t} \{ \partial / \partial x_i \} : \zeta(\mathcal{A}_{k,x,t}) \subset \mathcal{A}_{l+k,x,t} \right\},$$

with  $\mathcal{A}_{l+1,x,t}\{\partial/\partial x_i\}$  denoting the  $\mathcal{A}_{l+1,x,t}$ -module generated by the  $\partial/\partial x_i$ ,  $i = 1, \ldots, n$ . Finally, for an element  $g \in \mathcal{C}_{x,t}$ , we let  $\mathcal{V}_{l,x,t}(g) = \{\zeta(g) : \zeta \in \mathcal{V}_{l,x,t}\}$ .

Now we can announce the Damon-Gaffney Theorem.

THEOREM 13 (Damon-Gaffney [5]). — Let  $f: (\mathbb{R}^{n+m}, 0) \to (\mathbb{R}, 0)$  be an analytic deformation of a germ  $f_0: (\mathbb{R}^n, 0) \to (\mathbb{R}, 0)$  (i.e.,  $f \in \mathcal{C}_{x,t}$ ). Then a sufficient condition that f be a topologically trivial deformation is that there exists a fit  $\mathcal{A}_l$  so that

(3.5) 
$$\operatorname{ver}(\mathcal{A}_l) \cdot \frac{\partial f}{\partial t_j} \subset \mathcal{V}_{l,x,t}(f), \ j = 1, \dots, m.$$

We will call condition (3.5) the Damon-Gaffney condition. Next, our principal goal will be to show that this condition implies a (w)-regularity condition relative to the Newton filtration, hence, these deformations will, in fact, satisfy the Bekka condition.

Given an analytic function  $f \in \mathcal{C}_{x,t}$ , we define

$$\Sigma_f(\mathbb{R}^n \times \mathbb{R}^m) = \{ \mathbb{R}^n \times \mathbb{R}^m - f^{-1}(0), \ f^{-1}(0) - \{0\} \times \mathbb{R}^m, \ \{0\} \times \mathbb{R}^m \},\$$

which gives a stratification of  $\mathbb{R}^n \times \mathbb{R}^m$  around  $\{0\} \times \mathbb{R}^m$ . Then, we have

THEOREM 14. — For  $f \in \mathcal{C}_{x,t}$ , if there is a positive integer l such that

$$\operatorname{ver}(\mathcal{A}_l) \cdot \frac{\partial f}{\partial t_j} \subset \mathcal{V}_{l,x,t}(f), \ j = 1, \dots, m \ (\text{The Damon-Gaffney condition}),$$

then the stratification  $\Sigma_f(\mathbb{R}^n \times \mathbb{R}^m)$  is (c)-regular.

*Proof.*— Let us put  $ver(A_l) = \{x^{\alpha}\}$  then we get the following expression:

$$x^{\alpha} \frac{\partial f}{\partial t_{j}} = \sum_{i=1}^{n} \xi_{ij}^{(\alpha)} \frac{\partial f}{\partial x_{i}} = \xi_{j}^{(\alpha)}(f),$$

and summing over  $x^{\alpha} \in \operatorname{ver}(\mathcal{A}_l)$  we obtain

(3.6) 
$$\left(\sum_{\alpha \in \operatorname{Ver}(l\mathcal{A})} |x^{\alpha}|\right) \left|\frac{\partial f}{\partial t_{j}}\right| \lesssim \sum_{i=1}^{n} \left(\sum_{\alpha \in \operatorname{Ver}(l\mathcal{A})} |\xi_{ij}^{(\alpha)}|\right) \left|\frac{\partial f}{\partial x_{i}}\right|.$$

Since  $\operatorname{Ver}(l \mathcal{A}) = l \operatorname{Ver}(\mathcal{A})$ , which means  $\rho^l \sim \sum_{\alpha \in \operatorname{Ver}(l \mathcal{A})} |x^{\alpha}|$ . Then we let

$$\xi_i' = \sum_{j=1}^m \sum_{\alpha \in \operatorname{Ver}(l\mathcal{A})} \rho^{-l} |\xi_{ij}^{(\alpha)}| \text{ for } i = 1, \dots, n.$$

It follows from (3.6) that  $|\partial_t f|^2 \lesssim \sum_{i=1}^n (\xi'_i \frac{\partial f}{\partial x_i})^2$ , and so by Theorem 5, it is sufficient to show that these  $\xi'_i$  are compensation factors associated with  $\mathcal{A}$ . Indeed, for any  $g \in \mathcal{C}_n$ , we have from the filtration properties of the  $\xi_{ij}^{(\alpha)}$  that

$$\operatorname{fil}(\xi_{ij}^{(\alpha)}(g)) = \operatorname{fil}(\xi_{ij}^{(\alpha)}\partial_{x_i}g) \ge \operatorname{fil}(g) + l$$

which means

$$|\xi_{ij}^{(lpha)}\partial_{x_i}g)|\lesssim 
ho^{l+{
m fil}(g)}.$$

Therefore, for  $i = 1, \ldots, n$ ,

$$|\xi_i'\partial_{x_i}g| \lesssim \rho^{\mathrm{fil}(g)}.$$

This completes the proof of the Theorem

REMARK 15. — We observe that  $\zeta = \sum_{i=1}^{n} \xi_i \frac{\partial}{\partial x_i} \in \mathcal{V}_{l,x,t}$  if and only if  $supp(\xi_i) \subset R_{l,i}$  (we recall that  $R_{l,i} = \{\alpha \in \mathbb{Q}_+^n : \langle \alpha, w^F \rangle \ge l + w_i^F, \forall F \in \mathcal{F}(\mathcal{A})\}$ ) which is also equivalent to  $|\xi_i| \lesssim \sum_{\alpha \in Ver(R_{l,i})} |x^{\alpha}|$ . Hence, the Damon-Gaffney condition implies a  $(w^{\mathcal{A}})$ -regularity condition with  $\rho_{l,i}$  as compensation factors, where  $\rho_{l,i}$  denotes the *i*th compensation factor of type (iii) as defined in 2.1.

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