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PROPER QUASI-HOMOGENEOUS DOMAINS IN FLAG MANIFOLDS AND GEOMETRIC STRUCTURES

by Andrew M. ZIMMER (*)

ABSTRACT. — In this paper we study domains in flag manifolds which are bounded in an affine chart and whose projective automorphism group acts cocompactly. In contrast to the many examples in real projective space, we will show that no examples exist in many flag manifolds. Moreover, in the cases where such domains can exist, we show that they satisfy a natural convexity condition and have an invariant metric which generalizes the Hilbert metric. As an application we give some restrictions on the developing map for certain (G, X)-structures.

RÉSUMÉ. — On étudie dans cet article les domaines dans les variétés de drapeaux dont l'image dans une carte affine est bornée et dont l'action du groupe des automorphismes projectifs est co-compacte. Par contraste avec les nombreux exemples existant dans l'espace projectif réel, on démontre que de nombreuses variétés de drapeaux ne contiennent pas de tels domaines. On établit en outre que dans les cas où l'existence de tels domaines n'est pas exclue, ils sont soumis à une condition de convexité naturelle et possèdent une métrique invariante qui généralise la métrique de Hilbert. Une application de nos résultats fournit des restrictions sur l'application développante de certaines (G, X)-structures.

1. Introduction

Suppose G is a connected semisimple Lie group with trivial center and without compact factors. If $P \leq G$ is a parabolic subgroup, then G acts by diffeomorphisms on the compact manifold G/P. Given an open set $\Omega \subset G/P$ we define the *automorphism group of* Ω to be

$$\operatorname{Aut}(\Omega) = \{ g \in G : g\Omega = \Omega \}.$$

Keywords: Real projective structures, (G, X)-structure, Kobayashi metric, Carathéodory metric, Hilbert metric, projective automorphism group.

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An open set $\Omega \subset G/P$ is called quasi-homogeneous if $\operatorname{Aut}(\Omega) \cdot K = \Omega$ for some compact subset $K \subset \Omega$. This paper is concerned with the geometry and classification of quasi-homogeneous domains.

1.1. Uniformization

One motivation for studying quasi-homogeneous domains comes from the well known uniformization of Riemann surfaces: any Riemann surface Σ can be identified with a quotient $\Gamma \setminus \Omega$ where Ω is a domain in the complex projective plane $\mathbb{P}(\mathbb{C}^2)$ and $\Gamma \leq \mathrm{PGL}_2(\mathbb{C})$ is a discrete group which acts freely and properly discontinuously on Ω .

It seems natural to ask what happens in higher dimensions. In particular given a closed manifold M, can we identify M with a quotient $\Gamma \setminus \Omega$ where Ω is a domain in some flag manifold G/P and $\Gamma \leq \operatorname{Aut}(\Omega)$? Since M is compact, in this case the domain Ω will be quasi-homogeneous.

1.2. (G, X)-structures

Another (related) motivation for studying quasi-homogeneous domains comes from the theory of geometric structures on compact manifolds.

Suppose G is a Lie group acting transitively on a manifold X. A (G, X)structure on a manifold M is an open cover $M = \bigcup_{\alpha} U_{\alpha}$ along with coordinate charts $\varphi_{\alpha} : U_{\alpha} \to X$ such that the transition functions $\varphi_{\alpha} \circ \varphi_{\beta}^{-1}$ coincide locally with the restriction of an element in G on $\varphi_{\beta}(U_{\beta} \cap U_{\alpha})$.

Given a (G, X)-structure on a manifold M, one can "unfold" the structure to obtain a local diffeomorphism dev : $\widetilde{M} \to X$ from the universal cover \widetilde{M} of M to X called the *developing map* and a homomorphism hol : $\pi_1(M, m) \to G$ called the *holonomy map*. The map dev will be holequivariant and when dev is a diffeomorphism onto its image we can identify M with $\Gamma \setminus \Omega$ where $\Gamma = \operatorname{hol}(\pi_1(M, m))$ and $\Omega = \operatorname{dev}(\widetilde{M})$. See e.g. [11] for more details.

When M is closed, the group $hol(\pi_1(M, m))$ acts co-compactly on dev(M)and thus $dev(\widetilde{M})$ is a quasi-homogeneous domain in X. Our results about quasi-homogeneous domains will imply the following.

THEOREM 1.1. — Suppose M is a closed manifold, G is a connected non-compact simple Lie group with trivial center, and $P \leq G$ is a nonmaximal parabolic subgroup. If $\{(U_{\alpha}, \varphi_{\alpha})\}_{\alpha \in \mathcal{A}}$ is a (G, G/P)-structure on M, then the image of the developing map cannot be bounded in an affine chart. Theorem 1.1 is an immediate consequence of Theorem 1.5 below.

THEOREM 1.2. — Suppose M is a closed manifold, G is a connected semi-simple Lie group with trivial center and no compact factors, and $P \leq G$ is a parabolic subgroup. If $\{(U_{\alpha}, \varphi_{\alpha})\}_{\alpha \in \mathcal{A}}$ is a (G, G/P)-structure on Mand the image of the developing map is bounded in an affine chart, then $\operatorname{dev} : \widetilde{M} \to \operatorname{dev}(\widetilde{M})$ is a covering map.

In Proposition 7.1 below, we will show that when Ω is bounded in an affine chart the group Aut(Ω) acts properly on Ω . Using this fact it is straightforward to establish Theorem 1.2, see Section 8 for details.

1.3. Proper domains

In this paper we will restrict our attention to a particular class of domains in flag manifolds:

DEFINITION 1.3. — An open set $\Omega \subset G/P$ is called a proper domain if it is connected and bounded in an affine chart of G/P.

There are (at least) three reasons for restricting our attention to these domains:

- (1) As mentioned above, every Riemann surface can be identified with a quotient $\Gamma \setminus \Omega$ where $\Omega \subset \mathbb{P}(\mathbb{C}^2)$ and $\Gamma \leq \operatorname{Aut}(\Omega)$ is a discrete group. In fact, we can always assume that Ω is either $\mathbb{P}(\mathbb{C}^2)$, an affine chart in $\mathbb{P}(\mathbb{C}^2)$, or the unit disk in an affine chart of $\mathbb{P}(\mathbb{C}^2)$. Each of these three cases lead to very different classes of surfaces, so when seeking higher dimensional analogues of uniformization it makes sense to try and specialize to one of the three cases.
- (2) There is a rich theory of convex divisible domains in real projective space. A proper convex set $\Omega \subset \mathbb{P}(\mathbb{R}^{d+1})$ is called *divisible* when there exists a discrete group $\Gamma \leq \operatorname{Aut}(\Omega)$ which acts co-compactly, freely, and properly on Ω . The symmetric domain $\mathcal{B}_{d,1}$ defined in Example 1.4 below is the fundamental example of a convex divisible domain but there are many non-homogeneous examples, see the survey papers by Benoist [5], Marquis [27], and Quint [31]. It seems very natural to attempt to extend this theory to other flag manifolds and a key feature of these domains is the fact that they are bounded in affine charts.
- (3) The fact that we restrict our attention to domains which are bounded in affine charts also allows us to adapt some techniques

used in several complex variables to study the bi-holomorphism group of bounded domains in complex Euclidean space (see the survey paper [18]).

As the next example shows certain symmetric spaces give rise to homogeneous proper domains in certain Grassmanians:

Example 1.4. — Let \mathbb{K} be either the real numbers \mathbb{R} , the complex numbers \mathbb{C} , or the quaternions \mathbb{H} . If $G = \operatorname{PGL}_d(\mathbb{K})$ and $P \leq \operatorname{PGL}_d(\mathbb{K})$ is a parabolic subgroup, then P is the stabilizer of some \mathbb{K} -flag and G/P can be identified with a flag manifold. In the particular case when P is a maximal parabolic subgroup of G, then P is the stabilizer some p-plane in \mathbb{K}^d . Let q = d - p. Then we can identify G/P with $\operatorname{Gr}_p(\mathbb{K}^{p+q})$ the Grassmanian of p-planes in \mathbb{K}^{p+q} . Let $M_{p+q,p}(\mathbb{K})$ be the space of (p+q)-by-p matrices with entries in \mathbb{K} . We can then identify the quotient manifold

$$\left\{A \in M_{(p+q),p}(\mathbb{K}) : \operatorname{rank} A = p\right\} / \operatorname{GL}_p(\mathbb{K})$$

with $\operatorname{Gr}_p(\mathbb{K}^{p+q})$ via $A \to \operatorname{Im}(A)$. Then

$$\mathbb{A} := \left\{ \begin{bmatrix} \mathrm{Id}_p \\ X \end{bmatrix} : X \in M_{q,p}(\mathbb{K}) \right\} \subset \mathrm{Gr}_p(\mathbb{K}^{p+q})$$

is an affine chart of $\operatorname{Gr}_p(\mathbb{K}^{p+q})$ and so the open set

$$\mathcal{B}_{p,q} := \left\{ \begin{bmatrix} \mathrm{Id}_p \\ X \end{bmatrix} : \|X\| < 1 \right\} \subset \mathrm{Gr}_p(\mathbb{K}^{p+q})$$

is a proper domain. Next let $U_{\mathbb{K}}(p,q) \leq \operatorname{GL}_d(\mathbb{K})$ be the group which preserves the form

$$\overline{x}_1y_1 + \dots + \overline{x}_py_p - \overline{x}_{p+1}y_{p+1} - \dots - \overline{x}_{p+q}y_{p+q}.$$

Then $\operatorname{Aut}(\mathcal{B}_{p,q})$ coincides with $\operatorname{PU}_{\mathbb{K}}(p,q)$, the image of $\operatorname{U}_{\mathbb{K}}(p,q)$ in $\operatorname{PGL}_{d}(\mathbb{K})$. Moreover $\operatorname{Aut}(\mathcal{B}_{p,q})$ acts transitively on $\mathcal{B}_{p,q}$ and the stabilizer of $\begin{bmatrix}\operatorname{Id}_{p} & 0\end{bmatrix}^{t}$ is the group $\operatorname{P}(\operatorname{U}_{\mathbb{K}}(p) \times \operatorname{U}_{\mathbb{K}}(q))$, so we can identify

$$\mathcal{B}_{p,q} \cong \mathrm{PU}_{\mathbb{K}}(p,q) / \mathrm{P}(\mathrm{U}_{\mathbb{K}}(p) \times \mathrm{U}_{\mathbb{K}}(q)).$$

In particular, $\mathcal{B}_{p,q}$ is a geometric model of the symmetric space associated to $\mathrm{PU}_{\mathbb{K}}(p,q)$. When $\mathbb{K} = \mathbb{R}$ and q = 1, this is the Klein–Beltrami model of real hyperbolic *p*-space.

1.4. Non-maximal parabolic subgroups

In contrast to the above examples, our main rigidity result shows that many flag manifolds have no quasi-homogenous proper domains: THEOREM 1.5 (see Section 6 below). — Suppose G is a connected noncompact simple Lie group with trivial center and $P \leq G$ is a non-maximal parabolic subgroup. If $\Omega \subset G/P$ is a proper domain, then Aut(Ω) cannot act co-compactly on Ω .

Remark 1.6.

(1) The theorem fails for general semi-simple groups. Take for instance $G = \operatorname{PGL}_{p+q}(\mathbb{R}) \times \operatorname{PGL}_{p+q}(\mathbb{R})$ and let $P \leq \operatorname{PGL}_{p+q}(\mathbb{R})$ be the stabilizer of some *p*-plane. Then we can identify $(G \times G)/(P \times P)$ with $\operatorname{Gr}_p(\mathbb{R}^{p+q}) \times \operatorname{Gr}_p(\mathbb{R}^{p+q})$ and

$$\mathcal{B}_{p,q} \times \mathcal{B}_{p,q} \subset \operatorname{Gr}_p(\mathbb{R}^{p+q}) \times \operatorname{Gr}_p(\mathbb{R}^{p+q})$$

is a proper quasi-homogeneous domain. Notice that the parabolic subgroup $P \times P$ is non-maximal: it is contained in $\operatorname{PGL}_{p+q}(\mathbb{R}) \times P$ and $P \times \operatorname{PGL}_{p+q}(\mathbb{R})$. The semisimple case will be explored in more detail in Theorem 1.7 below.

- (2) There are examples of non-proper quasi-homogeneous domains: suppose $G = \operatorname{PGL}_{p+q}(\mathbb{R})$ and $P \leq G$ is the stabilizer of a *p*-plane in \mathbb{K}^{p+q} . Then, by Example 1.4, there exists a proper homogeneous domain $\Omega \subset G/P$. Now consider a parabolic subgroup $P' \lneq P$ and the natural projection map $\pi : G/P' \to G/P$. Then $\pi^{-1}(\Omega)$ is a homogeneous domain in G/P' which is not proper (see Proposition 4.5 below).
- (3) We should also mention recent constructions [14, 15, 16, 22, 21, 23] of open domains Ω in certain flag manifolds where there exists a discrete group $\Gamma \leq \operatorname{Aut}(\Omega)$ which acts properly, freely, and cocompactly on Ω . These constructions use the theory of Anosov representations and (to the best of our knowledge) never produce proper domains.

1.5. The general semisimple case

Suppose G is a connected semisimple Lie group with trivial center and no compact factors. Then there exists G_1, \ldots, G_r non-compact simple Lie groups each with trivial centers such that

$$G \cong \prod_{i=1}^r G_i.$$

Now if $P \leq G$ is a parabolic subgroup we can find subgroups $P_i \leq G_i$ such that $P \cong \prod_{i=1}^r P_i$. Moreover, either $P_i = G_i$ or $P_i \leq G_i$ is a parabolic

subgroup. Since we are interested in the flag manifold G/P, we further assume that $P_i \neq G_i$ for all $1 \leq i \leq r$.

THEOREM 1.7 (see Section 7 below). — With the notation above, suppose $\Omega \subset G/P$ is a proper quasi-homogeneous domain. Then for $1 \leq i \leq r$ there exists a proper quasi-homogeneous domain $\Omega_i \subset G_i/P_i$ such that

$$\Omega = \prod_{i=1}^{\prime} \Omega_i.$$

In particular, each P_i is a maximal parabolic subgroup of G_i .

This theorem reduces the study of quasi-homogeneous domains to the case when G is simple.

1.6. The geometry of quasi-homogeneous domains

Kobayashi proved the following theorem connecting symmetry with convexity for domains in real projective space.

THEOREM 1.8 ([24]). — If $\Omega \subset \mathbb{P}(\mathbb{R}^{d+1})$ is a quasi-homogeneous proper domain, then Ω is convex.

In real projective space, one usually defines convexity using projective lines: a domain is convex if its intersection with every projective line is connected. However there is a dual definition: a connected open set Ω is convex if and only if for every $x \in \partial \Omega$ there exists a hyperplane H such that $x \in H$ and $H \cap \Omega = \emptyset$.

This dual definition generalizes in a natural way to flag manifolds. Suppose G is a connected non-compact simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. Fix a parabolic subgroup Q opposite to P. Then for $\xi = hQ \in G/Q$ define the subset $Z_{\xi} \subset G/P$ by

$$Z_{\xi} := \{ gP \in G/P : gPg^{-1} \text{ is not transverse to } hQh^{-1} \}.$$

Remark 1.9.

- (1) This definition does not depend on the choice of Q: if $Q_1, Q_2 \leq G$ are parabolic subgroups both opposite to a parabolic subgroup $P \leq G$, then Q_1 and Q_2 are conjugate. In particular, for any $\xi_1 \in G/Q_1$ there exists $\xi_2 \in G/Q_2$ such that $Z_{\xi_1} = Z_{\xi_2}$.
- (2) Notice that $G/P Z_{\xi}$ is an affine chart of G/P, see Subsection 4.2.

Example 1.10. — Again let \mathbb{K} be either the real numbers \mathbb{R} , the complex numbers \mathbb{C} , or the quaternions \mathbb{H} . Let e_1, \ldots, e_d be the standard basis of \mathbb{K}^d and let $P \leq \operatorname{PGL}_d(\mathbb{K})$ be the stabilizer of the line $\mathbb{K} e_1$. Then $P \leq \operatorname{PGL}_d(\mathbb{R})$ is parabolic and G/P can be identified with $\mathbb{P}(\mathbb{K}^d)$. If $Q \leq \operatorname{PGL}_d(\mathbb{R})$ is the stabilizer of $\operatorname{Span}_{\mathbb{K}}(e_2, \ldots, e_d)$, then Q is a parabolic subgroup opposite to P. Moreover we can identify G/Q with $\operatorname{Gr}_{d-1}(\mathbb{K}^d)$. With these identifications, if $\xi \in \operatorname{Gr}_{d-1}(\mathbb{K}^d)$ then Z_{ξ} is the image of the hypersurface ξ in $\mathbb{P}(\mathbb{K}^d)$.

Motivated by the hyperplane definition of convexity in real projective space we make the following definition.

DEFINITION 1.11. — Suppose G is a connected non-compact simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. An open connected set $\Omega \subset G/P$ is called dual convex if for each $x \in \partial\Omega$ there exists a parabolic subgroup Q opposite to P and some $\xi \in G/Q$ such that $x \in Z_{\xi}$ and $Z_{\xi} \cap \Omega = \emptyset$.

We will then prove the following generalization of Kobayashi's theorem.

THEOREM 1.12 (see Corollary 9.3 below). — Suppose G is a connected non-compact simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. If $\Omega \subset G/P$ is a quasi-homogeneous proper domain, then Ω is dual convex.

Remark 1.13. — Example 1.10 shows that for open connected sets in real projective space, dual convexity is equivalent to the standard definition of convexity. Dual convexity also generalizes a notion of convexity from several complex variables. In particular, an open set $\Omega \subset \mathbb{C}^d$ is often called *weakly linearly convex* if for each point $x \in \partial\Omega$ there exists a complex hyperplane H such that $x \in H$ and $H \cap \Omega = \emptyset$. Surprisingly, this weak form of convexity has strong analytic implications. See [1, 17] for more details.

1.7. An invariant metric

Every proper convex set $\Omega \subset \mathbb{P}(\mathbb{R}^{d+1})$ has a metric H_{Ω} called the *Hilbert* metric which is complete, geodesic, and Aut(Ω)-invariant. This metric is a useful tool understanding the geometry of domains with large projective symmetry groups.

We will show that a proper dual convex domain in a flag manifold always has an complete $\operatorname{Aut}(\Omega)$ -invariant metric which is a natural analogue of the Hilbert metric. THEOREM 1.14. — Suppose G is a connected non-compact simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. If $\Omega \subset G/P$ is a proper dual convex domain, then there exists an explicit Aut (Ω) -invariant complete metric C_{Ω} which generates the standard topology on Ω .

Remark 1.15.

- (1) The metric C_{Ω} can also be seen as a natural analogue of the Carathéodory metric from several complex variables.
- (2) For "linearly convex" domains in complex projective space, C_{Ω} was introduced by Dubois [8] and used in [35] to provide several characterizations of the unit ball.

2. Examples and rigidity of proper quasi-homogeneous domains

In this section we describe some examples of proper quasi-homogeneous domains.

2.1. The symmetric case

The Borel embedding shows that every non-compact Hermitian symmetric space X embeds as a domain Ω_X into a flag manifold G/P (and this flag manifold can be identified with the compact dual of X) such that $\operatorname{Aut}(\Omega_X) = \operatorname{Isom}_0(X)$. The image of this embedding is a proper domain.

More generally, Nagano [29, Theorem 6.1] has characterized all the noncompact symmetric spaces X whose compact dual X^* can be identified with a flag manifold G/P and X embeds as a domain Ω_X into G/P such that $\operatorname{Aut}(\Omega_X) = \operatorname{Isom}_0(X)$. In all these examples the images are proper domains [29, Theorem 6.2]

There also exists examples of symmetric spaces which embed into real projective space as a proper domain. In particular, the symmetric spaces associated to $\mathrm{SL}_d(\mathbb{R})$, $\mathrm{SL}_d(\mathbb{C})$, $\mathrm{SL}_d(\mathbb{H})$, and $E_{6(-26)}$ can all be realized as a proper homogeneous domains in a real projective space. For instance, consider the convex set

 $\mathcal{P} = \{ [X] \in \mathbb{P}(S_{d,d}) : X \text{ is positive definite} \}$

where $S_{d,d}$ is the vector space of real symmetric *d*-by-*d* matrices. Then the group $SL_d(\mathbb{R})$ acts transitively on \mathcal{P} by $g \cdot [X] = [gXg^t]$ and the stabilizer

of a point is SO(d). So we have an identification

$$\mathcal{P} = \operatorname{SL}_d(\mathbb{R}) / \operatorname{SO}(d).$$

There does not appear to be a general classification of embeddings of symmetric spaces into flag manifolds.

PROBLEM. — Characterize the symmetric spaces X which embed as a proper domain Ω_X into a flag manifold where $\text{Isom}_0(X) = \text{Aut}(\Omega_X)$.

2.2. Real projective space

Beyond the examples mentioned in the subsection above, there are a rich class of proper domains $\Omega \subset \mathbb{P}(\mathbb{R}^d)$ where $\operatorname{Aut}(\Omega)$ contains a discrete group Γ which acts cocompactly on Ω . By a result of Kobayashi (Theorem 1.8 above) these examples will alway be convex and are often called *convex divisible domains*. Here are some examples:

- (1) Let $\mathcal{B} \subseteq \mathbb{P}(\mathbb{R}^{d+1})$ be the Klein–Beltrami model of $\mathbb{H}^d_{\mathbb{R}}$. Results of Johnson–Millson [19] and Koszul [26] imply that the domain \mathcal{B} can be deformed to a divisible convex domain Ω where Aut(Ω) is discrete (see [3, Section 1.3] for d > 2 and [12] for d = 2).
- (2) For every $d \ge 4$, Kapovich [20] has constructed divisible convex domains $\Omega \subset \mathbb{P}(\mathbb{R}^{d+1})$ such that $\operatorname{Aut}(\Omega)$ is discrete, Gromov hyperbolic, and not quasi-isometric to any symmetric space,.
- (3) Benoist [4] and Ballas, Danciger, and Lee [2] have constructed divisible convex domains Ω ⊂ ℙ(ℝ⁴) such that Aut(Ω) is discrete, not Gromov hyperbolic, and not quasi-isometric to any symmetric space.

More background can be found in the survey papers by Benoist [5], Marquis [27], and Quint [31].

2.3. Complex projective space

As Example 1.4 shows, there exists a proper homogeneous domain $\mathcal{B} \subset \mathbb{P}(\mathbb{C}^d)$ (which is a model of complex hyperbolic space, see e.g. [28, Chapter 19]).

In $\mathbb{P}(\mathbb{C}^2)$ there do exist non-homogeneous proper domains which admit a co-compact action by a discrete group in Aut(Ω). However if $\partial\Omega$ has weak regularity then a result of Bowen implies that Ω must be a symmetric domain:

THEOREM 2.1 ([6]). — Suppose $\Omega \subset \mathbb{P}(\mathbb{C}^2)$ is a proper domain and $\partial\Omega$ is a Jordan curve with Hausdorff dimension one. If there exists a discrete group $\Gamma \leq \operatorname{Aut}(\Omega)$ which acts co-compactly on Ω , then Ω is projectively isomorphic to \mathcal{B} .

In $\mathbb{P}(\mathbb{C}^3)$ the co-compact case is even more rigid and recent work of Cano and Seade implies the following:

THEOREM 2.2 ([7]). — Suppose $\Omega \subset \mathbb{P}(\mathbb{C}^3)$ is a proper domain and $\Gamma \leq \operatorname{Aut}(\Omega)$ is a discrete group which acts co-compactly on Ω . Then Ω is projectively isomorphic to \mathcal{B} .

It is worth noting that Cano and Seade's proof relies on Kobayashi and Ochiai's [25] classification of compact complex surfaces with a projective structure. In particular, it is unclear if Cano and Seade's result should extend to higher dimensions. However, it is known that every proper quasihomogeneous domain with C^1 boundary is symmetric.

THEOREM 2.3 ([35]). — Suppose $\Omega \subset \mathbb{P}(\mathbb{C}^{d+1})$ is a proper quasi-homogeneous domain. If $\partial\Omega$ is C^1 , then Ω is projectively isomorphic to \mathcal{B} .

2.4. Rigidity and convexity

The embeddings of symmetric spaces mentioned in Subsection 2.1 are always convex in some affine chart, see e.g. [29, Theorem 6.2]. Thus it seems natural to consider proper quasi-homogeneous domains which are convex in some affine chart. In particular, we say a domain $\Omega \subset G/P$ is a convex divisible domain if Ω is a bounded open convex set of some affine chart and there exists a discrete group $\Gamma \leq \operatorname{Aut}(\Omega)$ which acts properly discontinuously, freely, and co-compactly on Ω .

For some flag manifolds there are no non-homogeneous convex divisible domains. In particular, Frankel proved the following:

THEOREM 2.4 ([10]). — Suppose $\Omega \subset \mathbb{C}^d$ is a bounded convex open set and there exists a discrete group Γ of bi-holomorphic maps of Ω which acts properly discontinuously, freely, and co-compactly on Ω . Then Ω is a bounded symmetric domain.

Thus if G/P has a complex structure such that G acts on G/P homomorphically we see that the only convex divisible domains in G/P are homogeneous. Frankel's proof uses many techniques from several complex variables and does not extend to domains in a general flag manifold. However in the special case of $\operatorname{Gr}_p(\mathbb{R}^{2p})$ the following is known. THEOREM 2.5 ([33]). — Suppose p > 1 and $\Omega \subset \operatorname{Gr}_p(\mathbb{R}^{2p})$ is a convex divisible domain. Then Ω is projectively isomorphic to $\mathcal{B}_{p,p}$.

Based on these examples we conjecture (also see Conjecture 1.7 in [33]):

CONJECTURE 2.6. — Suppose G is a connected non-compact simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. If G/P is not isomorphic to a real projective space, then every convex divisible domain in G/P is homogeneous.

3. The automorphism group is closed

It will be useful in what follows to know the automorphism group of an open domain is always closed.

PROPOSITION 3.1. — Suppose G is a connected semisimple Lie group with finite center. If $P \leq G$ is a parabolic subgroup and $\Omega \subset G/P$ is an open set, then $\operatorname{Aut}(\Omega) \leq G$ is closed.

Proof. — Fix a distance d on G/P which is induced by a Riemannian metric. Suppose that a sequence $\varphi_n \in \operatorname{Aut}(\Omega)$ converges to some $\varphi \in G$. Now since

$$\{\varphi_n : n \in \mathbb{N}\} \subset G$$

is relatively compact, there exists some $K \ge 1$ such that

$$\frac{1}{K}d(x,y) \leqslant d(\varphi_n x, \varphi_n y) \leqslant K d(x,y)$$

for all $x, y \in G/P$ and $n \in \mathbb{N}$.

Next define the function $\delta_{\Omega} : \Omega \to \mathbb{R}_{>0}$ by

 $\delta_{\Omega}(x) = \inf\{d(x, y) : y \in G/P - \Omega\}.$

Now fix $x \in \Omega$, then $\delta_{\Omega}(\varphi_n x) \geq \frac{1}{K} \delta_{\Omega}(x)$ for all $n \in \mathbb{N}$. Since $d(\varphi x, \varphi_n x) < \frac{1}{K} \delta_{\Omega}(x)$ for n large, we see that $\varphi x \in \Omega$. Since $x \in \Omega$ was arbitrary we see that $\varphi(\Omega) \subset \Omega$. Applying the same argument to the sequence $\varphi_n^{-1} \to \varphi^{-1}$ we see that $\varphi^{-1}(\Omega) \subset \Omega$. Thus $\varphi(\Omega) = \Omega$ and so $\varphi \in \operatorname{Aut}(\Omega)$.

4. Parabolic subgroups

For the rest of this section suppose that G is a connected semisimple Lie group without compact factors and with trivial center. Let $K \leq G$

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be a maximal compact subgroup. Then the manifold X = G/K has a *G*-invariant non-positively curved Riemannian metric g and (X, g) is a symmetric space.

Let $X(\infty)$ be the ideal boundary of X. For a geodesic $\gamma : \mathbb{R} \to X$ let

$$\gamma(\infty) = \lim_{t \to \infty} \gamma(t) \in X(\infty)$$

and

$$\gamma(-\infty) = \lim_{t \to -\infty} \gamma(t) \in X(\infty).$$

DEFINITION 4.1.

- (1) A subgroup $P \leq G$ is called parabolic if P is the stabilizer in G of some point $x \in X(\infty)$.
- (2) Two parabolic subgroups $P, Q \leq G$ are said to be opposite if there exists a geodesic $\gamma : \mathbb{R} \to X$ such that P is the stabilizer of $\gamma(\infty)$ and Q is the stabilizer of $\gamma(-\infty)$.

In this section we recall the basic properties of parabolic subgroups. We will mostly rely on the exposition in Eberlein's book on symmetric spaces [9] and Warner's book on harmonic analysis on semisimple groups [34].

THEOREM 4.2. — With the notation above:

- (1) There are only finitely many conjugacy classes of parabolic subgroups.
- (2) Suppose $P, Q_1, Q_2 \leq G$ are parabolic subgroups. If Q_1, Q_2 are both opposite to P, then there exists some $p \in P$ such that $pQ_1p^{-1} = Q_2$.

Proof. — For the first assertion see Corollary 2.17.23 in [9]. The idea is: fix a maximal flat F in X, then given a geodesic $\gamma : X \to \mathbb{R}$ there exists $g \in G$ such that $g\gamma \subset F$. Then using the root space decomposition of \mathfrak{g} , the Lie algebra of G, associated to F one shows that only finitely many different groups arise as stabilizers of points $x \in F(\infty)$.

Next suppose that Q_1, Q_2 are both opposite to P. For $i \in \{1, 2\}$, let $\gamma_i : \mathbb{R} \to X$ be a geodesic where P is the stabilizer of $\gamma_i(\infty)$ and Q_i is the stabilizer of $\gamma_i(-\infty)$. Since P acts transitively on X (see Proposition 2.17.1 in [9]), there exists $p \in P$ such that $p\gamma_1(0) = \gamma_2(0)$. Then pQ_1p^{-1} is the stabilizer of $p\gamma_1(-\infty)$. Now since P is the stabilizer of $p\gamma_1(\infty)$ and $\gamma_2(\infty)$, Proposition 2.17.15 in [9] implies that $d(p)\gamma'_1(0)$ and $\gamma'_2(0)$ are in the same Weyl Chamber W in $S_{\gamma_2(0)}X$ (the unit tangent sphere of X above $\gamma_2(0)$). Finally, since $-W \subset S_{\gamma_2(0)}X$ is also a Weyl chamber we see that $-d(p)\gamma'_1(0)$ and $-\gamma'_2(0)$ are also in the same Weyl chamber. So appealing to Proposition 2.17.15 in [9] again, we see that $pQ_1p^{-1} = Q_2$.

COROLLARY 4.3. — Suppose $P, Q \leq G$ are opposite parabolic subgroups. Then gPg^{-1} and hQh^{-1} are opposite if and only if $g^{-1}h \in PQ$.

Proof. — Clearly gPg^{-1} and hQh^{-1} are opposite if and only if P and $(g^{-1}h)Q(g^{-1}h)^{-1}$ are opposite. But by Theorem 4.2 this happens if and only if $pg^{-1}hQ(pg^{-1}h)^{-1} = Q$ for some $p \in P$. But parabolic subgroups are their own normalizer, see Proposition 2.17.25 in [9], and so this happens if and only if $g^{-1}h \in PQ$.

Since $PQ \subset G$ is open and dense (see e.g. [34, Proposition 1.2.4.10]), we immediately see that:

COROLLARY 4.4. — Suppose $P,Q \leq G$ are opposite parabolic subgroups. Then the set

$$\mathcal{O} := \{(gP, hQ) : gPg^{-1} \text{ and } hQh^{-1} \text{ are opposite}\} \subset G/P \times G/Q$$

is open and dense.

PROPOSITION 4.5. — Suppose $P, Q \leq G$ are opposite parabolic subgroups. If $P_0 \geq P$ is a parabolic subgroup, then there exists a unique parabolic subgroup $Q_0 \geq Q$ such that P_0 and Q_0 are opposite. Moreover:

- (1) If gPg^{-1} and hQh^{-1} are opposite, then gP_0g^{-1} and hQ_0h^{-1} are opposite.
- (2) There exists $w \in P_0$ such that wPw^{-1} and Q are not opposite.

Proof. — Let $\gamma : \mathbb{R} \to X$ be a geodesic such that P is the stabilizer of $\gamma(\infty)$ and Q is the stabilizer of $\gamma(-\infty)$. Let F be a maximal flat containing γ . Pick $x \in X(\infty)$ such that P_0 is the stabilizer of $x \in X(\infty)$. Then $x \in F(\infty)$ by Proposition 3.6.26 in [9]. Now using Proposition 2.17.13 in [9] we see that there exists a parabolic subgroup $Q_0 \ge Q$ such that P_0 and Q_0 are opposite. Moreover, if $Q_1 \ge Q$ is a parabolic subgroup and Q_1 is the stabilizer of $y \in X(\infty)$ then, by Proposition 3.6.26 in [9] again, $y \in F(\infty)$. So using Proposition 2.17.13 in [9] again we see that Q_0 is unique.

By Corollary 4.3, if gPg^{-1} and hQh^{-1} are opposite, then $g^{-1}h \in PQ$, then $g^{-1}h \in P_0Q_0$, then gP_0g^{-1} and hQ_0h^{-1} are opposite.

As before let F be a maximal flat containing γ . Then $F(\infty)$ decomposes into Weyl chambers and if P_z is the stabilizer of $z \in F(\infty)$ then P_z is opposite to Q if and only if z and $\gamma(\infty)$ are in the same Weyl chamber (by Proposition 2.17.13 in [9]). Let K be the stabilizer of $\gamma(0)$ and let

$$W = \{k \in K : kF = F\}.$$

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Now by Lemma 1.2.4.6 in [34] there exists $w \in W \cap P_0$ such that $w\gamma(\infty)$ is not in the same Weyl chamber as $\gamma(\infty)$. Hence wPw^{-1} is not opposite to Q.

4.1. Representations

Using the theory of irreducible representations of reductive groups Guéritaud et al. [13, Lemma 4.5, Proposition 4.6] proved the following theorem.

THEOREM 4.6. — Suppose $P, Q \leq G$ are opposite parabolic subgroups. Then there exists an real vector space V, an irreducible representation $\tau : G \to PGL(V)$, a line $\ell \subset V$, and a hyperplane $H \subset V$ such that:

- (1) $\ell + H = V.$
- (2) The stabilizer of ℓ in G is P and the stabilizer of H in G is Q.
- (3) gPg^{-1} and hQh^{-1} are opposite if and only if $\tau(g)\ell$ and $\tau(g)H$ are transverse.

Now fix $P, Q \leq G$ opposite parabolic subgroups. Let $\tau : G \to \text{PGL}(V)$ be an irreducible representation, $\ell \subset V$ a line, and $H \subset V$ a hyperplane as in Theorem 4.6. Fix some $x_0 \in V$ such that $\mathbb{R} x_0 = \ell$ and fix some $f_0 \in V^*$ a functional with ker $f_0 = H$. Consider the dual representation $\tau^* : G \to$ $\text{PGL}(V^*)$. Now define the maps $\iota : G/P \to \mathbb{P}(V)$ and $\iota^* : G/Q \to \mathbb{P}(V^*)$ by

 $\iota(gP) = [\tau(g)x_0] \text{ and } \iota^*(gQ) = [\tau^*(g)f_0].$

It will be helpful to observe the following:

LEMMA 4.7. — With the notation above, if $\mathcal{O} \subset G/P$ is any open set, then there exists $x_1, \ldots, x_D \in \mathcal{O}$ such that

$$\iota(x_1)\oplus\cdots\oplus\iota(x_D)=V.$$

Analogously, if $\mathcal{O} \subset G/Q$ is any open set, then there exists $\xi_1, \ldots, \xi_D \in \mathcal{O}$ such that

$$\iota^*(\xi_1) \oplus \cdots \oplus \iota^*(\xi_D) = V^*.$$

Proof. — We can identify V with \mathbb{R}^D . Consider the map $\Phi : G^D \to \wedge^D \mathbb{R}^D$ given by

$$\Phi(g_1,\ldots,g_D) = (\tau(g_1)x_0) \wedge (\tau(g_2)x_0) \wedge \cdots \wedge (\tau(g_D)x_0).$$

Since τ is an irreducible representation there exists $(g_1, \ldots, g_D) \in G^D$ such that $\Phi(g_1, \ldots, g_D) \neq 0$. Then since Φ is real analytic for any open set $\mathcal{O} \subset G^D$ there exists $(h_1, \ldots, h_D) \in \mathcal{O}$ such that $\Phi(h_1, \ldots, h_D) \neq 0$. This

implies the first assertion of the Lemma. The second assertion has the exact same proof. $\hfill \Box$

LEMMA 4.8. — The maps $\iota : G/P \to \mathbb{P}(V)$ and $\iota^* : G/Q \to \mathbb{P}(V^*)$ are embeddings.

Proof. — The maps are smooth, injective, and have constant rank (due to the G action). Further G/P and G/Q are compact, so the maps must be embeddings.

4.2. Affine charts

DEFINITION 4.9. — Suppose that $P \leq G$ is a parabolic subgroup. Then a non-empty subset $\mathbb{A} \subset G/P$ is called an affine chart if there exists a parabolic subgroup $Q \leq G$ such that

$$\mathbb{A} = \{ x = gP \in G/P : gPg^{-1} \text{ is opposite to } Q \}.$$

Let $P, Q \leq G$ be opposite parabolic subgroups. Let $N \leq Q$ be the unipotent radical of Q, then QP = NP by Proposition 2.17.5 and 2.17.13 in [9]. Now suppose $\mathbb{A} \subset G/P$ is an affine chart and

$$\mathbb{A} = \{ x = gP \in G/P : gPg^{-1} \text{ is opposite to } Q' \}$$

for some parabolic subgroup $Q' \leq G$. Since \mathbb{A} is non-empty, $g_0 P \in \mathbb{A}$ for some $g_0 \in G$. So P is opposite to $g_0^{-1}Q'g_0$. Now by Theorem 4.2, $g_0^{-1}Q'g_0 = pQp^{-1}$ for some $p \in P$. Then

$$\mathbb{A} = (g_o p)^{-1} \{ gP \in G/P : gPg^{-1} \text{ is opposite to } Q \}$$
$$= (g_o p)^{-1} \{ gP \in G/P : g \in QP \}$$
$$= (g_0 p)^{-1} NP.$$

In particular, an affine chart in G/P is a translate of the big Bruhat cell.

5. A Carathéodory type metric

Suppose G is a non-compact connected simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. Fix a parabolic subgroup $Q \leq G$ opposite to P.

Given a set $\Omega \subset G/P$ define

 $\Omega^* := \{ \xi \in G/Q : \xi \text{ is opposite to every } x \in \Omega \}.$

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Also given $\xi \in G/Q$ define (as before)

 $Z_{\xi} := \{ x \in G/P : x \text{ is not opposite to } \xi \}.$

Then $\xi \in \Omega^*$ if and only if $Z_{\xi} \cap \Omega = \emptyset$.

Lemma 5.1.

- (1) If Ω is open, then Ω^* is compact.
- (2) Ω is bounded in an affine chart if and only Ω^* has non-empty interior.

Proof. — Suppose that Ω is open and ξ_n is a sequence in Ω^* converging to some $\xi \in G/Q$. Suppose for a contradiction that $\xi \notin \Omega^*$. Then there is some $x \in \Omega$ such that x is not opposite to ξ . Since $\xi_n \to \xi$, we can find $g_n \in G$ converging to id $\in G$ such that $g_n \xi = \xi_n$. Then ξ_n is not opposite to $g_n x$. But since $g_n \to \text{id}$ and Ω is open, we see that $g_n x \in \Omega$ for large n. So we have a contradiction.

Now suppose that Ω is bounded in some affine chart $\mathbb{A} \subset G/P$. Then $\mathbb{A} = G/P - Z_{\eta}$ for some $\eta \in G/Q$. Since Ω is bounded in \mathbb{A} ,

$$\overline{\Omega} \cap Z_{\eta} = \emptyset.$$

Suppose for a contradiction that η is not in the interior of Ω^* . Then there exists $\eta_n \in G/Q$ such that $\eta_n \to \eta$ and $x_n \in \Omega$ such that x_n is not opposite to η_n . By passing to a subsequence we can suppose that $x_n \to x \in \overline{\Omega}$. But then, by Corollary 4.4, we see that x is not opposite to η which is a contradiction.

Now suppose that η is in the interior of Ω^* . Since $\eta \in \Omega^*$ we see that Ω is contained in the affine chart $\mathbb{A} = G/P - Z_{\eta}$. Now fix an neighborhood U of the identity in G such that $U\eta \subset \Omega^*$. Then

$$\Omega \cap (U \cdot Z_n) = \emptyset$$

 \Box

and $U \cdot Z_{\eta}$ is a neighborhood of Z_{η} . Thus Ω is bounded in A.

Now define maps $\iota : G/P \to \mathbb{P}(V)$ and $\iota^* : G/Q \to \mathbb{P}(V^*)$ as in the discussion following Theorem 4.6.

Then for a proper domain $\Omega \subset G/P$ define the function

$$C_{\Omega}: \Omega \times \Omega \to \mathbb{R}_{\geq 0}$$

by

$$C_{\Omega}(x,y) = \sup_{\xi,\eta\in\Omega^{*}} \log \left| \frac{\iota^{*}(\xi) \left(\iota(x)\right) \iota^{*}(\eta) \left(\iota(y)\right)}{\iota^{*}(\xi) \left(\iota(y)\right) \iota^{*}(\eta) \left(\iota(x)\right)} \right|.$$

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THEOREM 5.2. — Suppose $\Omega \subset G/P$ is a proper domain. Then C_{Ω} is a Aut (Ω) -invariant metric on Ω which generates the standard topology.

Proof of Theorem 5.2. — Since $\operatorname{Aut}(\Omega)$ preserves Ω^* we see that C_{Ω} is $\operatorname{Aut}(\Omega)$ -invariant.

Fix $x, y \in \Omega$ distinct. We will show that $C_{\Omega}(x, y) > 0$. Since $\Omega^* \subset G/Q$ has non-empty interior, Lemma 4.7 implies that the set $\iota^*(\Omega^*) \subset \mathbb{P}(V^*)$ contains a basis of V^* . So we can find a basis $f_1, \ldots, f_D \in V^*$ such that $[f_1], \ldots, [f_D] \in \iota^*(\Omega^*)$. Now let e_1, \ldots, e_d be the basis of V dual to f_1, \ldots, f_D , that is

$$f_j(e_i) = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

Then $\iota(x) = [\sum x_i e_i]$ and $\iota(y) = [\sum y_i e_i]$ for some $x_i, y_i \in \mathbb{R}$. Since $\iota : G/P \to \mathbb{P}(V)$ is injective there exists i, j such that $x_i/x_j \neq y_i/y_j$. Then

$$C_{\Omega}(x,y) \ge \left| \log \left| \frac{f_i(\iota(x))f_j(\iota(y))}{f_i(\iota(y))f_j(\iota(x))} \right| \right| = \left| \log \left| \frac{x_i y_j}{x_j y_i} \right| \right| > 0.$$

Next fix $x, y, z \in \Omega$. Since Ω^* is compact there exists $\xi, \eta \in \Omega^*$ such that

$$C_{\Omega}(x,y) = \log \left| \frac{\iota^{*}(\xi) \left(\iota(x)\right) \iota^{*}(\eta) \left(\iota(y)\right)}{\iota^{*}(\xi) \left(\iota(y)\right) \iota^{*}(\eta) \left(\iota(x)\right)} \right|$$

Then

$$C_{\Omega}(x,y) = \log \left| \frac{\iota^{*}(\xi) \left(\iota(x)\right) \iota^{*}(\eta) \left(\iota(z)\right)}{\iota^{*}(\xi) \left(\iota(z)\right) \iota^{*}(\eta) \left(\iota(x)\right)} \right| + \log \left| \frac{\iota^{*}(\xi) \left(\iota(z)\right) \iota^{*}(\eta) \left(\iota(y)\right)}{\iota^{*}(\xi) \left(\iota(y)\right) \iota^{*}(\eta) \left(\iota(z)\right)} \right|.$$

$$\leq C_{\Omega}(x,z) + C_{\Omega}(z,y).$$

So C_{Ω} satisfies the triangle inequality and hence is a metric.

It remains to show that C_{Ω} generates the standard topology. Since Ω^* is compact, C_{Ω} is continuous with respect to the standard topology on Ω . Thus to show that C_{Ω} generates the standard topology it is enough to show: for any $x_0 \in \Omega$ and $U \subset \Omega$ an open neighborhood of x_0 there exists $\delta > 0$ such that

$$\{y \in \Omega : C_{\Omega}(x_0, y) < \delta\} \subset U.$$

As before fix a basis $f_1, \ldots, f_D \in V^*$ such that $[f_1], \ldots, [f_D] \in \iota^*(\Omega^*)$. Then let e_1, \ldots, e_D be the basis of V dual to f_1, \ldots, f_D . Since $[f_1] \in \Omega^*$, $\iota(x_0)$ has non-zero e_1 component. Then

$$\iota(x_0) = \left[e_1 + \sum_{i=2}^D x_i e_i\right]$$

for some $x_2, \ldots, x_D \in \mathbb{R}$. Since $[f_i] \in \Omega^*$, $x_i \neq 0$. Then since U is open and $\iota : G/P \to \mathbb{P}(V)$ is an embedding, see Lemma 4.8, there exists $\epsilon > 0$ such that

$$\left\{ \left[e_1 + \sum_{i=2}^D y_i e_i \right] : e^{-\epsilon} < |x_i/y_i| < e^{\epsilon} \right\} \cap \iota(G/P) \subset \iota(U).$$

Moreover, if $\iota(y) = \left[e_1 + \sum_{i=2}^{D} y_i e_i\right]$ then

$$C_{\Omega}(x,y) \ge \max_{2 \le i \le D} \left| \log \left| \frac{x_i}{y_i} \right| \right|.$$

So if $\delta = \epsilon$ we see that

$$\{y \in \Omega : C_{\Omega}(x_0, y) < \delta\} \subset U.$$

 \square

Thus C_{Ω} generates the standard topology.

The existence of an invariant metric implies the following:

COROLLARY 5.3. — Suppose $\Omega \subset G/P$ is a proper domain. Then the action of Aut (Ω) on Ω is proper.

The proof of Corollary 5.3 is essentially the proof of [35, Proposition 4.4] taken verbatim.

Proof. — This argument requires some care because C_{Ω} may not be a complete metric (see Theorem 9.1). Fix a compact set $K \subset \Omega$, we claim that

$$\{\varphi \in \operatorname{Aut}(\Omega) : \varphi K \cap K \neq \emptyset\} \subset \operatorname{Aut}(\Omega)$$

is compact. So suppose that $\varphi_n k_n \in K$ for some sequences $\varphi_n \in \operatorname{Aut}(\Omega)$ and $k_n \in K$. By passing to a subsequence we can suppose that $k_n \to k_\infty \in K$. Now since C_{Ω} is a locally compact metric (it generates the standard topology) and $K \subset \Omega$ is compact there exists some $\delta > 0$ such that the set

$$K_1 = \{q \in \Omega : C_{\Omega}(K,q) \leq 2\delta\}$$

is compact. Next let

$$K_2 = \{ q \in \Omega : C_{\Omega}(k_{\infty}, q) \leq \delta \}.$$

Then for large n we have $\varphi_n(K_2) \subset K_1$. Since φ_n preserves the metric C_{Ω} and $(K_1, C_{\Omega}|_{K_1})$ is a complete metric space we can pass to a subsequence

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and assume that $\varphi_n|_{K_2}$ converges uniformly to a function $f: K_2 \to K_1$. Moreover

$$C_{\Omega}(f(p_1), f(p_2)) = \lim_{n \to \infty} C_{\Omega}(\varphi_n p_1, \varphi_n p_2) = C_{\Omega}(p_1, p_2)$$

for all $p_1, p_2 \in K_2$. Since C_{Ω} is a metric generating the standard topology on Ω , we see that f induces a homeomorphism $K_2 \to f(K_2)$.

Since G is a simple Lie group with trivial center, the map $\tau : G \to \operatorname{PGL}(V)$ is proper. Now fix a norm on V and an associated operator norm on $\operatorname{GL}(V)$. Next let $T_n \in \operatorname{GL}(V)$ be a representative of $\tau(\varphi_n)$ with $||T_n|| =$ 1. Then pass to a subsequence such that $T_n \to T$ in $\operatorname{End}(V)$. Now let $Y = (\iota)^{-1}(\ker T)$. Notice: if $x \in K_2 \cap (\Omega \setminus Y)$ then

$$\iota(f(x)) = \lim_{n \to \infty} \iota(\varphi_n x) = \lim_{n \to \infty} \tau(\varphi_n)\iota(x) = T(\iota(x)).$$

Now by Lemma 4.7, $\Omega \setminus Y$ is open and dense in Ω . So $K_2 \cap (\Omega \setminus Y)$ has non-empty interior, so

$$f(K_2 \cap (\Omega \setminus Y))$$

has non-empty interior. But then by Lemma 4.7, the image of T contains a spanning set of V. Thus $T \in GL(V)$. Thus, since τ is a proper map, we can pass to a subsequence such that φ_n converges to some φ in G. Then since $\operatorname{Aut}(\Omega)$ is closed we see that $\varphi \in \operatorname{Aut}(\Omega)$.

Thus the set

$$\{\varphi \in \operatorname{Aut}(\Omega) : \varphi K \cap K \neq \emptyset\} \subset \operatorname{Aut}(\Omega)$$

is compact. So $\operatorname{Aut}(\Omega)$ acts properly on Ω .

5.1. The Hilbert metric

In this subsection we compare the metric C_{Ω} to the Hilbert metric.

DEFINITION 5.4. — An open set $\mathcal{C} \subset \mathbb{P}(\mathbb{R}^d)$ is called properly convex if for every projective line $\ell \subset \mathbb{P}(\mathbb{R}^d)$ the intersection $\ell \cap \mathcal{C}$ is connected and $\overline{\ell \cap \mathcal{C}} \neq \ell$.

Suppose C is properly convex. Given two points $x, y \in C$ let ℓ_{xy} be a projective line containing x and y. Then the *Hilbert distance* between them is defined to be

$$H_{\mathcal{C}}(x,y) = \log \frac{|y-a| |x-b|}{|x-a| |y-b|}$$

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where $\{a, b\} = \partial C \cap \ell_{xy}$ and we have the ordering a, x, y, b along ℓ_{xy} . Now define

$$\mathcal{C}^{dual} = \{ [f] \in \mathbb{P}(\mathbb{R}^{d*}) : f(x) \neq 0 \text{ for all } x \in \mathcal{C} \}.$$

By the supporting hyperplane definition of convexity, we see that every $a \in \partial C$ is contained in the kernel of some $f \in C^{dual}$. Then it is not hard to show that

$$H_{\mathcal{C}}(x,y) = \sup_{f,g \in \mathcal{C}^{dual}} \log \left| \frac{f(y)g(x)}{f(x)g(y)} \right|$$

.

Let e_1, \ldots, e_d be the standard basis of \mathbb{R}^d . Let $P \leq \operatorname{PGL}_d(\mathbb{R})$ be the stabilizer of the line $\mathbb{R} e_1$ and $Q \leq \operatorname{PGL}_d(\mathbb{R})$ the stabilizer of $\mathbb{R} e_2 + \cdots + \mathbb{R} e_d$. Then P, Q are opposite parabolic subgroups. We can take $\tau : \operatorname{PGL}_d(\mathbb{R}) \to$ $\operatorname{PGL}_d(\mathbb{R})$ to be the identity representation. Then ι identifies G/P with $\mathbb{P}(\mathbb{R}^d)$ and ι^* identifies G/Q with $\mathbb{P}(\mathbb{R}^{d*})$.

Suppose $\Omega \subset G/P$ is a proper dual convex domain. Then $\iota(\Omega) \subset \mathbb{P}(\mathbb{R}^d)$ is a properly convex set. Moreover,

$$\iota^*(\Omega^*) = \{ f \in \mathbb{P}(\mathbb{R}^*) : f(x) \neq 0 \text{ for all } x \in \iota(\Omega) \} = \iota(\Omega)^{dual}$$

Thus

$$C_{\Omega}(x,y) = H_{\iota(\Omega)}(\iota(x),\iota(y))$$

for all $x, y \in \Omega$.

5.2. The Carathéodory and Kobayashi metric

For domains $\mathcal{O} \subset \mathbb{P}(\mathbb{R}^d)$ which are not convex, Kobayashi [24] constructed two invariant metrics using projective maps to and from the unit interval. Let

$$I := \{ [1:x] : |x| < 1 \} \subset \mathbb{P}(\mathbb{R}^2).$$

For two open sets $\Omega_1 \subset \mathbb{R}(\mathbb{R}^{d_1+1})$ and $\Omega_2 \subset \mathbb{P}(\mathbb{R}^{d_2+1})$ let $\operatorname{Proj}(\Omega_1, \Omega_2)$ be the space of maps $f : \Omega_1 \to \Omega_2$ such that $f = T|_{\Omega_1}$ for some $T \in \mathbb{P}(\operatorname{Lin}(\mathbb{R}^{d_1+1}, \mathbb{R}^{d_2+1}))$ with ker $T \cap \Omega_1 = \emptyset$.

For a domain $\mathcal{O} \subset \mathbb{P}(\mathbb{R}^d)$ define the two quantities:

$$c_{\mathcal{O}}(x,y) = \sup \left\{ H_I(f(x), f(y)) : f \in \operatorname{Proj}(\Omega, I) \right\}$$

and

$$L_{\mathcal{O}}(x,y) = \inf \left\{ H_I(u,w) : f \in \operatorname{Proj}(I,\Omega) \text{ with } f(u) = x \text{ and } f(w) = y \right\}.$$

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The function $c_{\mathcal{O}}$ always satisfies the triangle inequality, but $L_{\mathcal{O}}$ may not. So we introduce:

$$k_{\mathcal{O}}(x,y) = \inf\left\{\sum_{i=0}^{N} L_{\mathcal{O}}(x_i, x_{i+1}) : \frac{N > 0, \ x_0, x_1, \dots, x_{N+1} \in \mathcal{O},}{x = x_0, \ y = x_{N+1}}\right\}$$

Kobayashi then proved:

PROPOSITION 5.5 ([24]). — Suppose $\mathcal{O} \subset \mathbb{P}(\mathbb{R}^{d+1})$ is a proper domain. Then $c_{\mathcal{O}}$ and $k_{\mathcal{O}}$ are Aut(\mathcal{O})-invariant metrics on \mathcal{O} . Moreover, if \mathcal{O} is convex, then $c_{\mathcal{O}} = k_{\mathcal{O}} = H_{\mathcal{O}}$.

As in Subsection 5.1 let $G = \text{PGL}_d(\mathbb{R})$, P the stabilizer of $\mathbb{R}e_1$, Q the stabilizer of $\mathbb{R}e_2 + \cdots + \mathbb{R}e_d$, $\tau : \text{PGL}_d(\mathbb{R}) \to \text{PGL}_d(\mathbb{R})$ the identity representation, and ι, ι^* the induced maps.

PROPOSITION 5.6. — With the notation above, suppose $\Omega \subset G/P$ is a proper domain. Then $C_{\Omega}(x, y) = c_{\iota(\Omega)}(\iota(x), \iota(y))$ for all $x, y \in \Omega$.

Proof. — View Ω as a subset of $\mathbb{P}(\mathbb{R}^d)$ and Ω^* as a subset of $\mathbb{P}(\mathbb{R}^{d*})$. Then for $\xi_1, \xi_2 \in \Omega^*$ distinct there is a map $T \in \mathbb{P}(\operatorname{Lin}(\mathbb{R}^{d+1}, \mathbb{R}^2))$ with $T^{-1}([1:-1]) = \ker \xi_1$ and $T^{-1}([1:1]) = \ker \xi_2$, and $T(\Omega) \subset I$. Then $f = T|_{\Omega} \in \operatorname{Proj}(\Omega, I)$ and it is straightforward to show that

$$\left| \log \left| \frac{\xi_1(x)\xi_2(y)}{\xi_1(y)\xi_2(x)} \right| \right| = H_I(f(x), f(y)).$$

Conversely, if $f = T|_{\Omega} \in \operatorname{Proj}(\Omega, I)$ then $T^{-1}([1 : -1]) = \ker \xi_1$ and $T^{-1}([1 : 1]) = \ker \xi_2$ for some $\xi_1, \xi_2 \in \Omega^*$. Then as before

$$\left|\log\left|\frac{\xi_1(x)\xi_2(y)}{\xi_1(y)\xi_2(x)}\right|\right| = H_I(f(x), f(y)).$$

This proposition shows that C_{Ω} can be seen as an analogue of the Carathéodory metric from several complex variables.

For certain flag manifolds G/P it is also possible to construct an invariant metric K_{Ω} using projective maps of $\mathbb{P}(\mathbb{R}^2)$ into G/P. See [33, Section 4] for the case when $G/P = \operatorname{Gr}_p(\mathbb{R}^{p+q})$.

6. Proof of Theorem 1.5

Assume for a contradiction that there exists G a non-compact connected simple Lie group with trivial center, $P \leq G$ a non-maximal parabolic subgroup, and $\Omega \subset G/P$ a proper quasi-homogeneous domain. Let $K \subset \Omega$ be a compact subset such that $\operatorname{Aut}(\Omega) \cdot K = \Omega$.

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Fix some parabolic subgroup $P_0 \geqq P$. Next consider the natural projection $\pi : G/P \to G/P_0$. Let $\Omega_0 = \pi(\Omega)$. Since $\pi(gx) = g\pi(x)$ we see that $\operatorname{Aut}(\Omega) \leq \operatorname{Aut}(\Omega_0)$ and

$$\operatorname{Aut}(\Omega) \cdot \pi(K) = \pi(\operatorname{Aut}(\Omega) \cdot K) = \pi(\Omega) = \Omega_0.$$

Hence Ω_0 is quasi-homogeneous.

Next fix a parabolic subgroup $Q \leq G$ opposite to P. By Proposition 4.5 there exists a unique parabolic subgroup $Q_0 \geq Q$ which is opposite to P_0 . As in Section 5 we can define domains $\Omega^* \subset G/Q$ and $\Omega_0^* \subset G/Q_0$. Moreover, if $\pi^* : G/Q \to G/Q_0$ is the natural projection, we see from Proposition 4.5 that $\pi^*(\Omega^*) \subset \Omega_0^*$. Since π^* is an open map, Ω_0^* has nonempty interior and thus by Lemma 5.1 we see that Ω_0 is a proper domain. Thus by Corollary 5.3 we see that $\operatorname{Aut}(\Omega)$ acts properly on Ω_0 .

Now fix some point $gP_0 \in \Omega_0$ and let

$$\Omega_g := \pi_1^{-1}(gP_0) \cap \Omega = gP_0/P \cap \Omega.$$

By Proposition 4.5(2) the set gP_0/P is not contained in any affine chart of G/P. In particular, $\Omega_g \neq gP_0/P$. So we can pick $z_n \in \Omega_g$ such that $z_n \to z \in \partial \Omega$. Next pick $\varphi_n \in \operatorname{Aut}(\Omega)$ such that $z_n \in \varphi_n K$. Since $\operatorname{Aut}(\Omega)$ is closed and $z \in \partial \Omega$ we see that $\varphi_n \to \infty$ in G. Then

$$\varphi_n^{-1}(gP_0) = \pi(\varphi_n^{-1}z_n) \in \pi(K).$$

Since $\operatorname{Aut}(\Omega)$ acts properly on Ω_0 we have a contradiction.

7. The general semisimple case

For the rest of this section, suppose G is a connected semisimple Lie group with trivial center and no compact factors, $P \leq G$ is a parabolic subgroup, and $\Omega \subset G/P$ is a proper domain.

Then there exists G_1, \ldots, G_r non-compact simple Lie groups each with trivial centers such that

$$G \cong \prod_{i=1}^r G_i.$$

Further, we can find subgroups $P_i \leq G_i$ such that $P \cong \prod_{i=1}^r P_i$. Moreover, either $P_i = G_i$ or $P_i \leq G_i$ is a parabolic subgroup. As in the statement of Theorem 1.7 we assume that $P_i \neq G_i$ for all $1 \leq i \leq r$.

For $1 \leq i \leq r$ let $\pi_i : G/P \to G_i/P_i$ and $\rho_i : G \to G_i$ be the natural projections. Next let $\Omega_i = \pi_i(\Omega)$. Then (by definition) Ω_i is a proper domain. Moreover, $\rho_i(\operatorname{Aut}(\Omega)) \subset \operatorname{Aut}(\Omega_i)$ and so Corollary 5.3 implies that $\rho_i(\operatorname{Aut}(\Omega))$ acts properly on Ω_i . Then since Ω is a subset of $\prod_{i=1}^r \Omega_i$:

PROPOSITION 7.1. — Aut(Ω) acts properly on Ω .

We now prove Theorem 1.7:

Proof of Theorem 1.7. — Let $K \subset \Omega$ be a compact subset such that $\operatorname{Aut}(\Omega) \cdot K = \Omega$.

Next let

$$\widehat{\Omega} := \prod_{i=1}^r \Omega_i \subset G/P.$$

Since $\rho_i(\operatorname{Aut}(\Omega))$ acts properly on Ω_i we see that

$$\prod_{i=1}^{r} \rho_i(\operatorname{Aut}(\Omega))$$

acts properly on $\widehat{\Omega}$. Then, since

$$\operatorname{Aut}(\Omega) \leqslant \prod_{i=1}^{r} \rho_i(\operatorname{Aut}(\Omega))$$

we see that $\operatorname{Aut}(\Omega)$ acts properly on $\widehat{\Omega}$.

Now assume that $\Omega \neq \widehat{\Omega}$. Then there exists some $x \in \partial \Omega \cap \widehat{\Omega}$. Pick $x_n \in \Omega$ such that $x_n \to x$. Then there exists $\varphi_n \in \operatorname{Aut}(\Omega)$ such that $x_n \in \varphi_n K$.

Let $K' \subset \widehat{\Omega}$ be a compact neighborhood of x. Then for n large

 $\varphi_n K \cap K' \neq \emptyset.$

Then since $\operatorname{Aut}(\Omega)$ acts properly on $\widehat{\Omega}$ we see that the set $\{\varphi_n : n \in \mathbb{N}\} \subset G$ is relatively compact. Then, since $\operatorname{Aut}(\Omega)$ is closed, we can pass to a subsequence such that $\varphi_n \to \varphi \in \operatorname{Aut}(\Omega)$. Next let $k_n = \varphi_n^{-1} x_n$. By passing to another subsequence we can suppose that $k_n \to k \in K$. But then

$$x = \varphi k \in \Omega$$

and so we have a contradiction.

8. Proof of Theorem 1.2

Suppose M is a compact manifold and G is a connected semi-simple Lie group with trivial center and no compact factors. Let $P \leq G$ be a parabolic subgroup and let $\{(U_{\alpha}, \varphi_{\alpha})\}_{\alpha \in \mathcal{A}}$ be a (G, G/P)-structure on M such that the image of the developing map is bounded in an affine chart.

Now $\Omega := \operatorname{dev}(M)$ is a proper quasi-homogeneous domain. By Proposition 3.1 and Proposition 7.1, $\operatorname{Aut}(\Omega)$ is a Lie group which acts properly

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on Ω . Now, by a result of Palais [30], there exists a Aut(Ω)-invariant Riemannian metric on Ω .

At this point the rest of the argument follows the proof of Proposition 3.4.10 in [32] verbatim, but we will provide the details for the reader's convenience.

Since the Riemannian metric on Ω is $\operatorname{Aut}(\Omega)$ -invariant, we can pull it back to a Riemannian metric \widetilde{M} which descends to a Riemannian metric on M. Then the developing map dev : $\widetilde{M} \to \Omega$ is a local isometry relative to the Riemannian metrics.

Let $\overline{B}_{\widetilde{M}}(x,r)$ be the closed metric ball centered at x of radius r in \widetilde{M} and let $\overline{B}_{\Omega}(y,r)$ be the closed metric ball centered at y of radius r in Ω (relative to the Riemannian metrics).

Since M is compact, there exists some $\epsilon > 0$ such that every metric ball of radius ϵ in \widetilde{M} is convex (that is, every two points in the metric ball are joined by a unique geodesic in the metric ball). Since Aut(Ω) acts co-compactly on Ω , by possibly shrinking ϵ we can also assume that every metric ball of radius ϵ in Ω is convex.

Then for any $x \in \widetilde{M}$ the developing map restricted to $\overline{B}_{\widetilde{M}}(x,\epsilon)$ is a homeomorphism onto its image: if $\operatorname{dev}(x_1) = \operatorname{dev}(x_2)$ for some $x_1, x_2 \in \overline{B}_{\widetilde{M}}(x,\epsilon)$ distinct, then the geodesic joining x_1 to x_2 in $\overline{B}_{\widetilde{M}}(x,\epsilon)$ is mapped to a self intersecting geodesic in $\overline{B}_{\Omega}(\operatorname{dev}(x),\epsilon)$ which is a contradiction. This implies that the developing map induces an isometry between $\overline{B}_{\widetilde{M}}(x,\epsilon)$ and $\overline{B}_{\Omega}(\operatorname{dev}(x),\epsilon)$.

Now consider $y \in \Omega$ and $x \in \text{dev}^{-1}(\overline{B}_{\Omega}(y, \epsilon/2))$. Then the metric ball $\overline{B}_{\widetilde{M}}(x, \epsilon)$ maps isometrically into Ω and hence properly contains a copy of $\overline{B}_{\Omega}(y, \epsilon/2)$. Thus the entire inverse image $\text{dev}^{-1}(\overline{B}(y, \epsilon/2))$ is a disjoint union of such homeomorphic copies. Therefore the developing map is a covering map.

9. Dual convexity and completeness

Suppose G is a non-compact connected simple Lie group with trivial center and $P \leq G$ is a parabolic subgroup. Fix a parabolic subgroup $Q \leq G$ opposite to P.

Let $\Omega \subset G/P$ be a proper domain and define (as before)

 $\Omega^* := \{ \xi \in G/Q : \xi \text{ is opposite to every } x \in \Omega \}.$

Also given $\xi \in G/Q$ define (as before)

 $Z_{\xi} := \{ x \in G/P : x \text{ is not opposite to } \xi \}.$

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Then $\xi \in \Omega^*$ if and only if $Z_{\xi} \cap \Omega = \emptyset$.

Now define maps $\iota : G/P \to \mathbb{P}(V)$ and $\iota^* : G/Q \to \mathbb{P}(V^*)$ as in the discussion following Theorem 4.6. And let C_{Ω} be the metric on Ω constructed in Section 5.

We now provide a characterization of the domains where C_{Ω} is complete using the concept of dual convexity introduced in Definition 1.11.

THEOREM 9.1. — With the notation above, (Ω, C_{Ω}) is a complete metric space if and only if Ω is dual convex.

Proof. — Suppose that (Ω, C_{Ω}) is a complete metric space. Let

$$\widehat{\Omega} = \{ x \in G/P : x \notin Z_{\xi} \text{ for all } \xi \in \Omega^* \}.$$

Since Ω^* is compact, $\widehat{\Omega}$ is open and $\Omega \subset \widehat{\Omega}$ by the definition of Ω^* . Moreover $(\widehat{\Omega})^* = \Omega^*$. Because Ω^* has non-empty interior, $\widehat{\Omega}$ is a bounded open set in an affine chart. Then the proof of Theorem 5.2 implies that $C_{\widehat{\Omega}}$ is a metric on $\widehat{\Omega}$ (notice that $\widehat{\Omega}$ may not be connected, but connectivity is not used in the proof of Theorem 5.2) and

$$C_{\Omega} = C_{\widehat{\Omega}}|_{\Omega}.$$

We claim that Ω coincides with a connected component of $\overline{\Omega}$ which would imply that Ω is dual convex. Suppose not, then there exists some $x \in \partial \Omega \cap \widehat{\Omega}$. Pick a sequence $x_n \in \Omega$ such that $x_n \to x$. Then

$$C_{\Omega}(x_n, x_{n+m}) = C_{\widehat{\Omega}}(x_n, x_{n+m}) \leqslant C_{\widehat{\Omega}}(x_n, x) + C_{\widehat{\Omega}}(x, x_{n+m})$$

so x_n is a Cauchy sequence. But C_{Ω} is a complete metric and so $x \in \Omega$ which is a contradiction. Thus Ω is dual convex.

Now suppose that Ω is dual convex. We wish to show that C_{Ω} is a complete metric on Ω . Suppose that x_n is a Cauchy sequence, then by passing to a subsequence we can suppose that

$$\sum_{i=1}^{\infty} C_{\Omega}(x_i, x_{i+1}) = M < \infty.$$

Then

 $C_{\Omega}(x_n, x_1) \leqslant M$

for all $n \ge 0$. Since G/P is compact we can pass to a subsequence such that $x_n \to x \in \overline{\Omega}$. We claim that $x \in \Omega$. Otherwise there exists some $\xi \in \Omega^*$ such that $x \in Z_{\xi}$. Since Ω is bounded in some affine chart we can find some

 $\eta \in \Omega^*$ such that $x \notin Z_\eta$. Then

$$C_{\Omega}(x_1, x_n) \ge \log \left| \frac{\iota^*(\xi) \left(\iota(x_1)\right) \iota^*(\eta) \left(\iota(x_n)\right)}{\iota^*(\xi) \left(\iota(x_n)\right) \iota^*(\eta) \left(\iota(x_1)\right)} \right|.$$

But since $x \in Z_{\xi}$ the right hand side of the above expression goes to infinity as $n \to \infty$. Thus we have a contradiction and thus $x \in \Omega$. So C_{Ω} is a complete metric on Ω .

We can now prove Theorem 1.12 from the introduction. The key step is the following variant of the Hopf–Rinow theorem:

LEMMA 9.2. — Suppose (X, d) is a locally compact metric space and there exists a compact set $K \subset X$ such that $X = \text{Isom}(X, d) \cdot K$. Then (X, d) is a complete metric space.

Proof. — We first claim that there exists $\delta > 0$ such that for any $x \in X$ the set

$$B_X(x;\delta) := \{ y \in X : d(x,y) \le \delta \}$$

is compact. Since (X, d) is locally compact, for any $k \in K$ there exists $\delta_k > 0$ such that $B_X(k; \delta_k)$ is compact. Then since

$$K \subset \bigcup_{k \in K} \{ y \in X : d(k, y) < \delta_k/2 \}$$

there exists $k_1, \ldots, k_N \in K$ such that

$$K \subset \bigcup_{i=1}^{N} \{ y \in X : d(k_i, y) < \delta_{k_i}/2 \}.$$

Then if $\delta := \min\{\delta_{k_i}/2\}$ we see that $B_X(x; \delta)$ is compact for any $x \in X$.

Now suppose that x_n is a Cauchy sequence in (X, d). Then there exists N > 0 such that $d(x_n, x_N) < \delta$ for n > N. But then there exists a subsequence x_{n_k} which converges. Thus (X, d) is complete.

Now Theorem 9.1 and the above lemma imply:

COROLLARY 9.3. — Suppose G is a connected non-compact simple Lie group with trivial center, $P \leq G$ is a parabolic subgroup, and $\Omega \subset G/P$ is a proper quasi-homogeneous domain. Then Ω is dual convex.

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