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ALGEBRAIC AND DEFINABLE CLOSURE IN FREE GROUPS

by Abderezak OULD HOUCINE & Daniele VALLINO

ABSTRACT. — We study algebraic closure and its relation with definable closure in free groups and more generally in torsion-free hyperbolic groups. Given a torsion-free hyperbolic group Γ and a nonabelian subgroup A of Γ , we describe Γ as a constructible group from the algebraic closure of A along cyclic subgroups. In particular, it follows that the algebraic closure of A is finitely generated, quasiconvex and hyperbolic.

Suppose that Γ is free. Then the definable closure of A is a free factor of the algebraic closure of A and the rank of these groups is bounded by that of Γ . We prove that the algebraic closure of A coincides with the vertex group containing A in the generalized malnormal cyclic JSJ-decomposition of Γ relative to A. If the rank of Γ is bigger than 4, then Γ has a subgroup A such that the definable closure of A is a proper subgroup of the algebraic closure of A. This answers a question of Sela.

RÉSUMÉ. — Nous étudions la clôture algébrique et sa relation avec la clôture définissable dans les groupes libres et plus généralement dans les groupes hyperboliques sans torsion. Pour un groupe hyperbolique sans torsion Γ et un sous-groupe non abélien A de Γ , on décrit Γ comme un groupe constructible à partir de la clôture algébrique de A au-dessus de sous-groupes cycliques. On en déduit en particulier, que la clôture algébrique de A est de type fini, quasiconvexe et hyperbolique.

Supposons que Γ est libre. Alors la clôture définissable de A est un facteur libre de la clôture algébrique de A et les rangs de ces groupes est borné par celui de Γ . On montre que la clôture algébrique de A coïncide avec le groupe sommet contenant A dans la décomposition JSJ cyclique et malnormal de Γ relative à A. Si le rang de Γ est plus grand que 4, on démontre que Γ a un sous-groupe A dont la clôture définissable est un sous-groupe propre de la clôture algébrique de A. Cela répond en particulier à une question de Sela.

Keywords: Definable closure, algebraic closure, free groups, hyperbolic groups, JSJ-decompositions.

Math. classification: 03C68, 11U09, 20E05, 20F67, 05E18.

1. Introduction

In field theory, an element b is called algebraic over a field K if it is a root of some non-zero polynomial with coefficients in K. This notion is very fruitful and has many applications in mathematics, as Galois theory shows. Its analogues in more general contexts were extensively studied. Model theory generalizes the notion as follows. Given a model \mathcal{M} , in a first-order language \mathcal{L} , and a subset A of \mathcal{M} , an element b is said to be algebraic over A, if there exists an \mathcal{L} -formula $\varphi(x)$, with parameters from A, such that \mathcal{M} satisfies $\varphi(b)$ and the set $\{c \in \mathcal{M} | \mathcal{M} \models \varphi(c)\}$ is finite. The algebraic closure of A, denoted acl(A), is the set of algebraic elements over A. If $\{c \in \mathcal{M} | \mathcal{M} \models \varphi(c)\}$ is a singleton, then b is said to be definable over A, and one defines analogously the definable closure of A, denoted dcl(A), as the set of definable elements over A.

It is well-known, in the context of algebraically closed fields, that the above model-theoretic notion coincides with the usual one by using the quantifier elimination theorem of Tarski; i.e. b is algebraic over K (in the sense of the theory of fields) if and only if $b \in acl(A)$ (see for instance [19, Proposition 3.2.15]).

Algebraic closure plays an important role in the study of strongly minimal theories and more generally finite dimensional and stable theories. For instance it permits to define, in a suitable context, Zariski's geometries. It is also an essential piece in the study of model-theoretic Galois theory. Poizat has developed a Galois theory for theories which eliminate imaginaries [28], and Casanovas and Farré studied degree of elimination of imaginaries needed to have a Galois correspondance [4]. More recently, Medvedev and Takloo-Bighash have carried out some notions of Galois theory in the setting of first-order theories [21].

Sela has shown that free groups and more generally torsion-free hyperbolic groups are stable [35]. He has also shown a geometric elimination of imaginaries in torsion-free hyperbolic groups [36]. This can be certainly used to develop Galois theory of free groups. Miasnikov, Ventura and Weil have developed algebraic extensions in free groups [22], which correspond essentially to the notion of algebraic closure defined above but restricted to quantifier-free formulas.

In 2008, Sela asked, given a free group F of finite rank and a subset A of F, if the algebraic and the definable closure of A coincide. In this paper we study the algebraic and the definable closure in free groups. In particular we give a negative answer to the question of Sela for free groups of rank ≥ 4 and a positive answer for free groups of rank 2.

It is rather easy to see that acl(A) and dcl(A) are \mathcal{L} -substructures of \mathcal{M} , and in particular, when \mathcal{M} is a group, they are subgroups. As usual, to axiomatize group theory, we use the language $\mathcal{L} = \{.,^{-1}, 1\}$, where . is interpreted as multiplication, $^{-1}$ is interpreted as the function which sends every element to its inverse and 1 is interpreted as the trivial element. Let Γ be a group and A a subset of Γ . It is not hard to see that A and the subgroup generated by A have the same algebraic closure; similarly for the definable closure. Hence, without loss of generality we may assume that A is a subgroup. We note also that if Γ is torsion-free and hyperbolic and if A is nontrivial and abelian, then the algebraic closure and the definable closure of A coincide with the centralizer of A (see Lemma 3.1).

The main results of this paper are as follows. One of the first natural questions is to see the constructibility of Γ from the algebraic closure.

Theorem 1.1. — Let Γ be a torsion-free hyperbolic group and A a nonabelian subgroup of Γ . Then Γ can be constructed from acl(A) by a finite sequence of amalgamated free products and HNN-extensions along cyclic subgroups. In particular, acl(A) is finitely generated, quasiconvex and hyperbolic.

In geometric group theory, given a finitely generated group Γ and a set $\mathcal C$ of subgroups of Γ , one studies the link between the various possible graph of groups decompositions of Γ , with edge groups from $\mathcal C$ (i.e. splittings of Γ over $\mathcal C$). Grushko and Kurosh showed that there is a canonical free decomposition (i.e. with trivial edge groups) from which all other free decompositions can be obtained by some particular operations. At this point, it becomes natural to seek similar canonical splittings for larger classes of groups $\mathcal C$.

Roughly speaking a JSJ-decomposition of Γ over \mathcal{C} is a canonical graph of groups decomposition of Γ over \mathcal{C} , from which all other splittings of Γ over \mathcal{C} can be obtained through some natural operations. The uniqueness of such a decomposition is not generally guaranteed, but all these decompositions share the most important necessary properties.

The theory of JSJ-decompositions has its origin in the work of Johannson, and Jaco and Shalen, who developed a theory of cutting irreducible three-dimensional manifolds into pieces along tori and annuli [13]. One can describe such decompositions in terms of splittings of the relevant fundamental group. A group theoretic version was developed by Kropholler [17]. Later Sela constructed JSJ-decompositions for torsion-free hyperbolic groups over cyclic subgroups [33] and then Sela and Rips [31] extended it to general torsion-free finitely presented groups. Other constructions of

JSJ-decompositions for various classes of groups \mathcal{C} have been carried out by many authors.

JSJ-decompositions have many applications and were successfully used by Sela to solve the isomorphism problem of torsion-free hyperbolic groups and to develop diophantine geometry over free (and hyperbolic) groups in the solution of Tarski's conjecture.

The following theorem connects the notions of algebraic closure and cyclic JSJ-decompositions in free groups. For the precise notions of JSJ-decompositions which we use, we refer the reader at the end of Section 2.

THEOREM 1.2. — Let Γ be a free group of finite rank and let A be a nonabelian subgroup of Γ . Then acl(A) coincides with the vertex group containing A in the generalized malnormal cyclic JSJ-decomposition of Γ relative to A.

Strictly speaking the notion of JSJ-decompositions used in the previous theorem is not a JSJ-decomposition in the sense of [10]. However it possesses the most important properties of JSJ-decompositions of [10]. By using the definition given in [10], the conclusion of the previous theorem is the following : acl(A) coincides with the elliptic abelian neighborhood of the vertex group containing A in the cyclic JSJ-decomposition of Γ relative to A, where we suppose that Γ is freely indecomposable relative to A.

We will also be interested in a restricted notion of the algebraic closure. Given a group Γ and a subgroup A, the restricted algebraic closure, denoted racl(A), is defined as follows. An element γ is in racl(A) if and only if its orbit $\{f(\gamma)|f\in Aut(F/A)\}$ is finite, where Aut(F/A) is the group of automorphisms of F fixing A pointwise. Note that racl(A) is a subgroup and contains acl(A). It turns out that, when Γ is a torsion-free hyperbolic group and A is nonabelian, racl(A) coincides with the vertex group containing A in the generalized malnormal cyclic JSJ-decomposition of Γ relative to A (see Proposition 4.4). Similarly here by using the definition of JSJ-decompositions of [10], the conclusion is that racl(A) coincides with the elliptic abelian neighborhood of the vertex group containing A in the cyclic JSJ-decomposition of Γ relative to A. Theorem 1.2 shows that in free groups, we get an identity between restricted and algebraic closure.

Notice that, as a corollary of the general version of Theorem 1.1 (see Corollary 3.7), we have the following. If Γ is a free group of finite rank and A is a nonabelian subgroup of Γ , then the rank of acl(A) is bounded by the rank of Γ . In fact, we will show that if $acl(A) \leq K \leq \Gamma$, where K is finitely generated, then $rk(acl(A)) \leq rk(K)$; that is acl(A) is compressed in the sense of [20].

Regarding the relation between algebraic and definable closure, though generally they are different, at least we can assert the following.

THEOREM 1.3. — Let Γ be a free group of finite rank and A a nonabelian subgroup of Γ . Then dcl(A) is a free factor of acl(A).

Combining this with Lemma 3.1 below, it follows that when the rank of Γ is two, then acl(A) = dcl(A) for any nontrivial subgroup A of Γ . However, this is not true in higher rank free groups.

THEOREM 1.4. — Any free group Γ of rank $n \ge 4$ can be written as an HNN-extension $\Gamma = \langle H, t | u^t = v \rangle$, such that H has a proper subgroup A with acl(A) = H and dcl(A) = A.

This paper is organized as follows. In next section we recall the material that we require around notions in model theory, Γ-limit groups and the tools needed in the sequel. Section 3 concerns constructibility and its main purpose is the proof of Theorem 1.1. The proof of that theorem follows the same strategy as the one used by Sela to prove constructibility of limit groups; however we need to analyze the place of algebraic closure more carefully. Section 4 is devoted to the study of the place of algebraic closure in the JSJ-decomposition and we show Theorem 1.2. Section 5 deals with the proofs of Theorems 1.3 and 1.4.

2. Prerequisites

The aim of this section is to give the background needed in the sequel. The first subsection deals with notions from model theory; for more details the reader is referred to [12, 19]. Notions around limit groups and abelian JSJ-decompositions are exposed in the second subsection.

2.1. Model theory

Given a language \mathcal{L} , an \mathcal{L} -structure \mathcal{M} and an \mathcal{L} -formula $\varphi(\bar{x})$, where \bar{x} is a tuple of variables of length n, we denote by $\varphi(\mathcal{M})$ the set $\{\bar{m} \in \mathcal{M}^n | \mathcal{M} \models \varphi(\bar{m})\}$. Let \mathcal{M} be an \mathcal{L} -structure and A a subset of \mathcal{M} . The algebraic closure (resp. existential algebraic closure) of A, denoted $acl_{\mathcal{M}}(A)$ (resp. $acl_{\mathcal{M}}^{\exists}(A)$), is the set of elements $x \in \mathcal{M}$ such that there exists a \mathcal{L} -formula (resp. an existential \mathcal{L} -formula) $\phi(x)$ with parameters from A such that $\mathcal{M} \models \phi(x)$ and $\phi(\mathcal{M})$ is finite. The definable closure (resp. existential

definable closure) of A, denoted $dcl_{\mathcal{M}}(A)$ (resp. $dcl_{\mathcal{M}}^{\exists}(A)$), is the set of elements $x \in \mathcal{M}$ such that there exists a formula (resp. an existential formula) $\phi(x)$ with parameters from A such that $\mathcal{M} \models \phi(x)$ and $\phi(\mathcal{M})$ is a singleton. The previous notions are connected to other notions of closedness, which we give in this definition.

DEFINITION 2.1. — Let \mathcal{M} be an \mathcal{L} -structure and let A be a subset of \mathcal{M} . We define the restricted algebraic closure, denoted by $racl_{\mathcal{M}}(A)$, to be the set of elements $x \in \mathcal{M}$ such that the orbit $\{f(x)|f \in Aut(\mathcal{M}/A)\}$ is finite, and we define the restricted definable closure, denoted by $rdcl_{\mathcal{M}}(A)$, to be the set of elements $x \in \mathcal{M}$ such that the previous orbit is a singleton; here $Aut(\mathcal{M}/A)$ denotes the group of automorphisms of \mathcal{M} that fix A pointwise. To avoid heaviness of notation, the subscript \mathcal{M} will be omitted if there is no possible confusion.

The following lemma brings together elementary facts about the previously defined closures. Its proof is left to the reader.

LEMMA 2.2. — Let \mathcal{M} be an \mathcal{L} -structure, and A, B subsets of \mathcal{M} .

- (1) acl(A), dcl(A), $acl^{\exists}(A)$, $dcl^{\exists}(A)$, racl(A), rdcl(A) are \mathcal{L} -substructures of \mathcal{M} .
- (2) $dcl(A) \leqslant acl(A) \leqslant racl(A), dcl(A) \leqslant rdcl(A).$
- (3) $acl(A) = acl^{\exists}(acl(A)) = acl(acl(A)) = acl(dcl(A)) = dcl(acl(A)) = dcl^{\exists}(acl(A)).$
- (4) $A \subseteq B \implies acl(A) \subseteq acl(B)$ and similarly for the other notions of closedness.
- (5) If $x \in acl(A)$, then there exists a finite subset A_0 of A such that $x \in acl(A_0)$.
- (6) If \mathcal{M} is saturated and $|A| < |\mathcal{M}|$ then acl(A) = racl(A); similarly for definable closure.

Recall that the type of a tuple $\bar{a} \in \mathcal{M}^n$ over a subset A, denoted $tp(\bar{a}|A)$, is the set of formulas $\varphi(\bar{x})$ with parameters from A such that $\mathcal{M} \models \varphi(\bar{a})$, and the existential type, denoted $tp^{\exists}(\bar{a}|A)$, is the set of existential formulas $\varphi(\bar{x})$ with parameters from A such that $\mathcal{M} \models \varphi(\bar{a})$. The following proposition is standard, but for completeness we provide a proof of the second property (2) for which we did not find an explicit reference.

PROPOSITION 2.3. — Let \mathcal{M} be an \mathcal{L} -structure, $\bar{a}, \bar{b} \in \mathcal{M}^n$ and A a subset of \mathcal{M} .

(1) $tp(\bar{a}|A) = tp(\bar{b}|A)$ if and only if there exist an elementary extension \mathcal{N} of \mathcal{M} and an automorphism $f \in Aut(\mathcal{N}/A)$ sending \bar{a} to \bar{b} .

(2) $tp^{\exists}(\bar{a}|A) \subseteq tp^{\exists}(\bar{b}|A)$ if and only if there exist an elementary extension \mathcal{N} of \mathcal{M} and a monomorphism $f: \mathcal{N} \to \mathcal{N}$, fixing A pointwise and sending \bar{a} to \bar{b} .

Proof.

- (1) See for instance [19, Theorem 4.1.5].
- (2) Clearly, if there is some elementary extension \mathcal{N} of \mathcal{M} and and a monomorphism $f: \mathcal{N} \to \mathcal{N}$, fixing A pointwise and sending \bar{a} to \bar{b} , then $tp^{\exists}(\bar{a}|A) \subseteq tp^{\exists}(\bar{b}|A)$. It remains to show the converse. Set $\mathcal{N}_0 = \mathcal{M}$ and let \mathcal{N}_1 be a $|\mathcal{M}|$ -saturated elementary extension of \mathcal{M} . Using the saturation of \mathcal{N}_1 , we get a monomorphism $f_0: \mathcal{N}_0 \to \mathcal{N}_1$ satisfying $f_0(\bar{a}) = \bar{b}$ and fixing A pointwise. Using a similar argument, we build an elementary chain $(\mathcal{N}_i)_{i \in \mathbb{N}}$, $\mathcal{N}_i \preceq \mathcal{N}_{i+1}$, with a sequence of monomorphisms $(f_i: \mathcal{N}_i \to \mathcal{N}_{i+1})_{i \in \mathbb{N}}$ such that $f_i \upharpoonright \mathcal{N}_i = f_{i+1} \upharpoonright \mathcal{N}_i$ for every $i \in \mathbb{N}$. By setting $\mathcal{N} = \bigcup_{i \in \mathbb{N}} \mathcal{N}_i$ and $f = \bigcup_{i \in \mathbb{N}} f_i$, we get the required elementary extension and the required monomorphism.

For the reader's convenience, we recall the definition of ultrapowers in the particular case of group theory. An ultrafilter on a set I is a finitely additive probability measure $\mu: \mathcal{P}(I) \to \{0,1\}$. An ultrafilter μ is called nonprincipal if $\mu(X) = 0$ for every finite subset $X \subseteq I$.

Given an ultrafilter μ on I and a sequence of groups $(G_i)_{i \in I}$ we define an equivalence relation \sim_{μ} on $\prod_{i \in I} G_i$ by

$$\hat{a} = (a_i \in G_i)_{i \in I} \sim_{\mu} \hat{b} = (b_i \in G_i)_{i \in I}$$
 if and only if $\mu(\{i \in I | a_i = b_i\}) = 1$.

The set of equivalence classes $(\prod_{i \in I} G_i) / \sim_{\mu}$ is endowed with a structure of group by defining

$$\hat{a}.\hat{b} = \hat{c}$$
 if and only if $\mu(\{i \in I | a_i.b_i = c_i\}) = 1$.

The group $(\prod_{i\in I}G_i)/\sim_{\mu}$ is called the *ultraproduct* of the family $(G_i)_{i\in I}$. When $G_i=G$ for all $i\in I$, $(\prod_{i\in I}G_i)/\sim_{\mu}$ is called an *ultrapower* and it is denoted simply by G^* . If μ is nonprincipal, then G^* is called a *nonprincipal ultrapower*.

Convention. — Through this paper we will consider only ultrapowers on the set of natural numbers; i.e. $I = \mathbb{N}$ in the previous definition.

Define $\pi: G \to G^*$ by $\pi(g) = (g_i = g | i \in I)$. Then π is an embedding. Moreover, a theorem of Łos [6, Theorem 4.1.9] claims that G is an elementary subgroup of G^* ; that is, any sentence with parameters from G which is true in G is also true in G^* . In particular, we note that, for any subset

A of G, $acl_G(A) = acl_{G^*}(A)$ and similarly for definable closure and their existential correspondents.

Recall that a countable model \mathcal{M} is called homogeneous, respectively \exists -homogeneous, if for any $n \geq 1$, for any tuples \bar{a} , \bar{b} of \mathcal{M}^n , if $tp^{\mathcal{M}}(\bar{a}) = tp^{\mathcal{M}}(\bar{b})$ (resp. $tp^{\mathcal{M}}_{\exists}(\bar{a}) = tp^{\mathcal{M}}_{\exists}(\bar{b})$) then there exists an automorphism of \mathcal{M} which sends \bar{a} to \bar{b} . We note, in particular, that \exists -homogeneity implies homogeneity. For further notions of homogeneity, we refer the reader to [12, 19].

It is shown in [25] and [27] that nonabelian free groups of finite rank are homogeneous. In the sequel we need the following theorem proved in [25]. Recall also that a group G is said to be *freely indecomposable* relative to a subgroup A, if there is no nontrivial free decomposition of G such that A is contained in one of the factors.

THEOREM 2.4 ([25, Proposition 5.9]). — Let F be a nonabelian free group of finite rank and let \bar{a} be a tuple of F such that F is freely indecomposable relative to the subgroup generated by \bar{a} . Let \bar{s} be a basis of F. Then there exists a universal formula $\varphi(\bar{x})$ such that $F \models \varphi(\bar{s})$ and such that for any endomorphism f of F, if $F \models \varphi(f(\bar{s}))$ and f fixes \bar{a} then f is an automorphism. In particular (F,\bar{a}) is a prime model of the theory $Th(F,\bar{a})$.

2.2. Limit groups, modular groups & abelian JSJ-decompositions

Limit groups of free groups have been introduced by Sela [34] to study equations over free groups. They can be seen, geometrically and algebraically, as *limits* of free groups. This class coincides with the class of fully residually-free groups, a class of groups introduced by Baumslag [1] and studied by Kharlampovich and Myasnikov [15, 16] and by many other authors. We start by giving a definition which uses ultrafilters in a general context.

DEFINITION 2.5. — Let Γ be a group and H a finitely generated group. Let ω be a nonprincipal ultrafilter over \mathbb{N} and $f = (f_n : H \to \Gamma)_{n \in \mathbb{N}}$ a sequence of homomorphisms. Let $\ker_{\omega}(f)$ be the set of elements $h \in H$ such that $\omega(\{n \in \mathbb{N} | f_n(h) = 1\}) = 1$. A Γ -limit group is a group G such that there exists a finitely generated group H, a nonprincipal ultrafilter ω and a sequence of homomorphisms $f = (f_n : H \to \Gamma)_{n \in \mathbb{N}}$ such that $G = H/\ker_{\omega}(f)$.

Here is a more standard definition.

DEFINITION 2.6. — Let Γ be a group and H a finitely generated group. A sequence of homomorphisms $f = (f_n : H \to \Gamma)_{n \in \mathbb{N}}$ is called stable if, for any $h \in H$, either $f_n(h) = 1$ for all but finitely many n, or $f_n(h) \neq 1$ for all but finitely many n. The stable kernel of f, denoted $Ker_{\infty}(f)$, is the set of elements $h \in H$ such that $f_n(h) = 1$ for all but finitely many n. A Γ -limit group is a group G such that there exists a finitely generated group H and a stable sequence of homomorphisms $f = (f_n : H \to \Gamma)_{n \in \mathbb{N}}$ such that $G = H/Ker_{\infty}(f)$.

The following lemma explains the relation between the previous notion, which comes essentially from geometrical considerations, and the universal theory of the considered group. Its proof can be found in [25, Lemma 2.2] and [23, Theorem 2.1]. For the definition of universal theories, we refer the reader to [12, 19] or [23] for a quick overview.

LEMMA 2.7. — Let Γ be a group and G a finitely generated group. The following properties are equivalent.

- (1) G is a Γ -limit group.
- (2) G is a model of the universal theory of Γ .
- (3) G embeds in every nonprincipal ultrapower of Γ .

In dealing with the existential closure in free groups in the next section, we must work with homomorphisms that do not necessarily fix the subgroup under consideration (in our case $acl^{\exists}(A)$). We introduce the following definition which is more appropriate in our context.

DEFINITION 2.8. — Let G_1, G_2 be groups and H a subgroup of G_1 . A sequence of homomorphisms $(f_n : G_1 \to G_2)_{n \in \mathbb{N}}$ bounds H in the limit if for any $h \in H$ there exists a finite subset B(h) of G_2 such that $f_n(h) \in B(h)$ for all but finitely many n.

Next theorem is a slight generalization of similar theorems which appear in several places [8, 26, 30, 34, 37]. As the proof is almost identical, we just give the necessary changes implied by the previous definition.

Let \mathcal{C} be a class of subgroups of G. By a (\mathcal{C}, H) -splitting of G (or a splitting of G over \mathcal{C} relative to H), we understand a tuple $\Lambda = (\mathcal{G}(V, E), T, \varphi)$, where $\mathcal{G}(V, E)$ is a graph of groups such that each edge group is in \mathcal{C} and H is elliptic, T is a maximal subtree of $\mathcal{G}(V, E)$ and $\varphi : G \to \pi(\mathcal{G}(V, E), T)$ is an isomorphism; here $\pi(\mathcal{G}(V, E), T)$ denotes the fundamental group of $\mathcal{G}(V, E)$ relative to T. If \mathcal{C} is the class of abelian groups or cyclic groups, we will just say abelian splitting or cyclic splitting, respectively. Splittings

of the form $G_1 *_C G_2$ or $G_1 *_C = \langle G, t | c^t = \varphi(c), c \in C \rangle$ are called one-edge splittings. Given a group G and a subgroup H of G, G is said to be freely H-decomposable if G has a nontrivial free decomposition $G = G_1 *_G G_2$ such that $H \leq G_1$. Otherwise, G is said to be freely H-indecomposable.

THEOREM 2.9. — Let Γ be a torsion-free hyperbolic group. Let G be a finitely generated group and H a nonabelian subgroup of G such that G is freely H-indecomposable. Let $(f_n: G \to \Gamma)_{n \in \mathbb{N}}$ be a stable sequence of pairwise distinct homomorphisms with trivial stable kernel and which bounds H in the limit. Then G admits a nontrivial abelian splitting relative to H.

Outline of the proof. — Let S be a finite generating set of Γ and $(C(\Gamma, S), d)$ the corresponding Cayley graph. Let D be a finite generating set of G and for each $n \in \mathbb{N}$, define the length λ_n of f_n as $\max_{d \in D} |f_n(d)|_S$, where $|.|_S$ denotes the word length relative to S. Let ω be a nonprincipal ultrafilter over \mathbb{N} . Since the given homomorphisms are pairwise distinct, $\lim_{n \to \infty} \lambda_n = \infty$. Then G acts on the asymptotic cone $(Con_{\omega}(\Gamma, e, \lambda), d_{\omega})$, relative to the sequence of observation points $e = (e_n = 1)_{n \in \mathbb{N}}$, the sequence of scaling factors $\lambda = (\lambda_n)_{n \in \mathbb{N}}$ and the ultrafilter ω . An argument similar to the one used in [26, 30] shows that the action is superstable, with abelian arc stabilizers and trivial tripod stabilizers. What remains to show in our context is that the action is nontrivial and that H is elliptic.

We claim that H fixes e in $Con_{\omega}(\Gamma, e, \lambda)$. Since, for any $h \in H$, the set $\{|f_n(h)|_S|n \in \mathbb{N}\}$ is bounded, we have $d_{\omega}(e, he) = \lim_{\omega} \frac{|f_n(h)|_S}{\lambda_n} = 0$, and thus H fixes e as claimed. We claim now that the action is nontrivial. Since $\max_{d \in D} d_{\omega}(e, de) = 1$, e is not a global fixed point. Since G is finitely generated, if the action is trivial then there is some global fixed point e', with $e \neq e'$. Then H will fix the non-degenerate segment [e, e'], though it is not abelian; a contradiction with the fact that arc stabilizers are abelian. To get the desired abelian splitting, one may apply [9] or [32].

The shortening argument is a key tool in Sela's study of limit groups. Roughly speaking, given a sequence of actions of a finitely generated group G on the Cayley graph of the torsion-free hyperbolic group Γ , we get an action of G on some asymptotic cone C of Γ ; by analyzing this action, we can find a particular type of automorphisms, called modular automorphisms, of G which shorten the length of the sequence of the actions. Here we briefly recall modular automorphisms and the shortening argument (in the relative case). For the treatment in the general framework of hyperbolic groups, we refer the reader to [29].

DEFINITION 2.10. — Let G be a group, and let Λ be an abelian one-edge splitting of G relative to H, with edge group C. Let $c \in C$. A Dehn twist about $c \in C$ is an automorphism $\phi \in Aut(G)$, defined as follows:

- (1) if $G = A *_C B$, $H \leq A$, then $\phi(a) = a, \phi(b) = b^c$ for every $a \in A$, $b \in B$.
- (2) if $G = A*_C$, $H \leq A$, with stable letter t, then $\phi \upharpoonright A = id_A$ and $\phi(t) = tc$.

Let $\Lambda = (\mathcal{G}(V, E), T, \varphi)$ be a splitting of a group G and ϕ_v an automorphism of the vertex group G_v , $v \in V$. Suppose that for each $e \in E$ adjacent to v, there exists an element $g_e \in G_v$ such that ϕ_v restricts to a conjugation by g_e on G_e . Then there exists an automorphism ϕ of G, called the standard extension of ϕ_v , which extends ϕ_v (see [30, Proposition 5.4] for more details).

DEFINITION 2.11. — Let $\Lambda = (\mathcal{G}(V, E), T, \varphi)$ be an abelian splitting of a group G relative to H and G_v an abelian vertex group. Let P be the subgroup of G_v generated by the incident edge groups. Any automorphism ϕ_v of G_v which fixes P pointwise, and which fixes also H pointwise, has a standard extension to G. Such an automorphism is called a modular automorphism of abelian type.

Let $\Lambda = (\mathcal{G}(V, E), T, \varphi)$ be an abelian splitting of a group G relative to H and $v \in V$. The vertex v is called of surface type, if G_v is isomorphic to the fundamental group of a compact connected surface S with boundary, which is not a disk or a Möbius band or a cylinder and such that each edge group G_e incident on v is conjugate to the fundamental group of a boundary component of S.

DEFINITION 2.12. — Let $\Lambda = (\mathcal{G}(V, E), T, \varphi)$ be an abelian splitting of a group G relative to H and $v \in V$ be a surface type vertex. Any automorphism ϕ_v of G_v which restricts to a conjugation by g_e to each incident edge group G_e , and which fixes also H pointwise, has a standard extension to G. Such an automorphism is called a modular automorphism of surface type.

DEFINITION 2.13. — Let G be a group and H a subgroup of G. The abelian modular group of G relative to H, denoted Mod(G/H), is the subgroup of Aut(G/H) generated by Dehn twists, modular automorphisms of abelian type and modular automorphisms of surface type.

We still need a last definition to express the shortening argument:

DEFINITION 2.14. — Let G be a finitely generated group and H a subgroup of G. Let Γ be a torsion-free hyperbolic group. Let B, A be finite generating sets of G, Γ respectively. A homomorphism $f: G \to \Gamma$ is said to be short relative to H if for any $\sigma \in Mod(G/H)$, one has

$$\max_{b \in B} |f(b)|_A \leqslant \max_{b \in B} |f \circ \sigma(b)|_A,$$

where $|.|_A$ denotes word length function of Γ with respect to A.

THEOREM 2.15. — Let Γ be a torsion-free hyperbolic group with a finite generating set A. Let G be a finitely generated group, with a finite generating set B, and H a nonabelian subgroup of G such that G is freely H-indecomposable. Let $(f_n: G \to \Gamma)_{n \in \mathbb{N}}$ be a stable sequence of pairwise distinct homomorphisms with trivial stable kernel and which bounds H in the limit. Then for any nonprincipal ultrafilter ω , $\omega(\{n \in \mathbb{N} | f_n \text{ is not short}\})=1$.

Outline of the proof. — Let $(C(\Gamma, A), d)$ be the corresponding Cayley graph which is hyperbolic. For each $n \in \mathbb{N}$, let $\lambda_n = \max_{d \in D} |f_n(d)|_A$. Let ω be a nonprincipal ultrafilter over \mathbb{N} . Since the given homomorphisms are pairwise distinct, $\lim_{\omega} \lambda_n = \infty$. Then G acts on the asymptotic cone $(T, d_{\omega}) = (Con_{\omega}(\Gamma, e, \lambda), d_{\omega})$, which is a real tree, relative to the sequence of observation points $e = (e_n = 1)_{n \in \mathbb{N}}$, the sequence of scaling factors $\lambda = (\lambda_n)_{n \in \mathbb{N}}$ and the ultrafilter ω . As in the outline of the proof of Theorem 2.9, the action is nontrivial, superstable, with abelian arc stabilizers and trivial tripod stabilizers, and H fixes e.

By Rips decomposition (see [3, 32] or Guirardel's version [9]), T has a decomposition as a graph of actions $\mathcal{A} = (\mathcal{G}(V, E), (T_v)_{v \in V}, (p_e)_{e \in E})$, where each vertex action of G_v is either of symplicial type, or of surface type (IET type) or of abelian type (axial type).

Set $B = \{b_1, \ldots, b_q\}$. Let I be the set of indices i such that the segment $[e, b_i e]$ intersects a surface type component, let J be the set of indices i such that $i \notin I$ and $[e, b_i e]$ intersects an abelian type component; finally, let K be the set of indices i such that $[e, b_i e]$ lies in a simplicial component.

By using [30, Proposition 5.2], it is possible to construct a composition of surface type modular automorphisms σ_1 such that $d_{\omega}(e, \sigma_1(b_i)e) < d_{\omega}(e, b_i e)$ for all $i \in I$ and $\sigma_1(b_i) = b_i$ for all $i \notin I$. Let $J' \subseteq I \cup J$ be the set of indices i such that $[e, \sigma_1(b_i)e]$ intersects an abelian component. In that case, it is possible to find a composition of abelian type modular automorphisms σ_2 such that $d_{\omega}(e, \sigma_2 \circ \sigma_1(b_i)e) < d_{\omega}(e, \sigma_1(b_i)e)$ for all $i \in J'$ and $\sigma_2 \circ \sigma_1(b_i) = \sigma_1(b_i)$ for all $i \notin J'$. Finally let K' be the set of indices i such that $[e, \sigma_2 \circ \sigma_1(b_i)e]$ intersects a simplicial component. In that case, we cannot ensure the existence of a unique automorphism;

however, we show that there exists a subset $U \subseteq \mathbb{N}$ such that $\omega(U) = 1$ and such that for any $n \in U$, there exists a Dehn twist τ_n such that $d_n(e_n, \tau_n \circ \sigma_2 \circ \sigma_1(f_n(b_i))e_n) < d_n(e_n, \sigma_2 \circ \sigma_1(f_n(b_i))e_n)$ for all $i \in K'$ and $d_n(e_n, \tau_n \circ \sigma_2 \circ \sigma_1(f_n(b_i))e_n) = d_n(e_n, \sigma_2 \circ \sigma_1(f_n(b_i))e_n)$ for all $i \notin K'$.

There exists $U_1 \subseteq \mathbb{N}$ such that for any $n \in U_1$, $d_n(e_n, \sigma_1(f_n(b_i))e_n) < d_n(e_n, f_n(b_i)e_n)$ for any $i \in I$ and $\sigma_1(f_n(b_i)) = f_n(b_i)$ for all $i \notin I$. Similarly, there exists $U_2 \subseteq \mathbb{N}$ such that for any $n \in U_2$, $d_n(e_n, \sigma_2 \circ \sigma_1(f_n(b_i))e_n) < d_n(e_n, \sigma_1(f_n(b_i))e_n)$ for any $i \in J'$ and $\sigma_2 \circ \sigma_1(f_n(b_i)) = \sigma_1(f_n(b_i))$ for all $i \notin J'$. By taking $\alpha_n = \tau_n \circ \sigma_2 \circ \sigma_1$ and choosing $U' = U \cap U_1 \cap U_2 \subseteq \mathbb{N}$ we have $\omega(U') = 1$, and for any $b_i \in B$, $d_n(e_n, \alpha_n(f_n(b_i))e_n) < d_n(e_n, f_n(b_i)e_n)$ for any $n \in U'$ which proves the desired result. For more details, the reader can see [26, 29, 39, 40].

One of applications of the shortening argument was the proof by Rips and Sela [30] of the fact that the modular group has a finite index in the group of automorphisms. This can be generalized slightly as follows (see also [26]).

Theorem 2.16. — Let Γ be a torsion-free hyperbolic group, G a finitely generated group, H a nonabelian subgroup of G such that G is freely H-indecomposable. Let $e: H \to \Gamma$ be an embedding. We suppose that there exists at least an embedding of G in Γ whose restriction to H is e. Then there exists a finite set $\{f_1, \ldots, f_p\}$ of embeddings of G in Γ , whose restriction to H coincides with e and such that for any embedding $f: G \to \Gamma$, whose restriction to H coincides with e, there exists a modular automorphism $\sigma \in Mod(G/H)$ such that $f \in \{f_1 \circ \sigma, \ldots, f_p \circ \sigma\}$.

Proof. — Let $(f_n:G\to\Gamma)_{n\in\mathbb{N}}$ be the sequence of all embeddings of G in Γ whose restriction to H is e. For each $n\in\mathbb{N}$, choose a modular automorphism $\sigma_n\in Mod(G/H)$ such that $f_n\circ\sigma_n$ is short. Suppose for a contradiction that the set $I=\{f_n\circ\sigma_n|n\in\mathbb{N}\}$ is infinite. Then it is possible to extract a subsequence of pairwise distinct elements from I. Clearly such a subsequence is stable, has trivial stable kernel and bounds H in the limit. Hence, by Theorem 2.15 for an infinite set $U\subseteq\mathbb{N}$, for every $n\in U$, $f_n\circ\sigma_n$ is not short; which is a contradiction.

COROLLARY 2.17. — Let Γ be a torsion-free hyperbolic group and H a nonabelian subgroup such that Γ is freely H-indecomposable. Then any monomorphism $f: \Gamma \to \Gamma$ fixing H pointwise is an automorphism.

Proof. — By Theorem 2.16, there exists $n, m \in \mathbb{N}$ such that n > m and $f^n = f^m \circ \tau$ for some $\tau \in Mod(\Gamma/H)$. Therefore $f^{n-m} = \tau$ and thus f is surjective.

One of the important concepts in Sela's study of limit groups is the shortening quotient.

DEFINITION 2.18. — Let Γ be a torsion-free hyperbolic group. Let G be a finitely generated group, H a nonabelian subgroup of G such that G is freely H-indecomposable. Let $f = (f_n : G \to \Gamma)_{n \in \mathbb{N}}$ be a stable sequence of pairwise distinct homomorphisms which bounds H in the limit and such that each f_n is short. The group $SG = G/Ker_{\infty}(f)$ is called a shortening quotient of G.

Theorem 2.19. — Every shortening quotient is a proper quotient.

Proof. — If it is not the case then the stable kernel is trivial; thus by Theorem 2.15, for infinitely many n, f_n is not short; a contradiction.

Another important application in this context of a more general version of the shortening argument is the proof by Sela [37] of the descending chain condition of Γ -limit groups.

THEOREM 2.20. — [37] Let Γ be a torsion-free hyperbolic group and $(G_i)_{i\in\mathbb{N}}$ a sequence of Γ -limit groups. If $(f_i:G_i\to G_{i+1})_{i\in\mathbb{N}}$ is a sequence of epimorphisms, then all but finitely many of them are isomorphisms.

As it was indicated in the introduction, a JSJ-decomposition of a group G over a class of subgroups \mathcal{C} relative to a subgroup H is a splitting of G over \mathcal{C} relative to H, which describes in certain sense all other possible splittings of G over \mathcal{C} relative to H. Guirardel and Levitt have developed in [10, 11] a general framework of JSJ-decompositions that we will use to give the definition and the principal properties.

Given a group G and two (C, H)-splittings Λ_1 and Λ_2 of G, we say that Λ_1 dominates Λ_2 if every subgroup of G which is elliptic in Λ_1 is also elliptic in Λ_2 . A (C, H)-splitting of G is said to be universally elliptic if all edge stabilizers in Λ are elliptic in any other (C, H)-splitting of G.

A JSJ-decomposition of G over \mathcal{C} relative to H is an universally elliptic (\mathcal{C}, H) -splitting dominating all other universally elliptic (\mathcal{C}, H) -splittings. If \mathcal{C} is the class of abelian subgroups, then we simply say abelian JSJ-decomposition; similarly when \mathcal{C} is the class of cyclic subgroups.

It is shown in [10, 11] that JSJ-decompositions exist for finitely presented groups. Here we will use existence and properties of JSJ decompositions in the framework of finitely generated torsion-free CSA-groups proved in [11].

Given a surface Σ , a boundary subgroup of the fundamental group $\pi_1(\Sigma)$ is a subgroup conjugate to the fundamental group of a boundary component. An extended boundary subgroup of $\pi_1(\Sigma)$ is a subgroup of a boundary subgroup.

Let G be a group and Λ a (\mathcal{C}, H) -splitting of G. A vertex stabilizer G_v in Λ is called of QH surface type if it is isomorphic to the fundamental group $\pi_1(\Sigma)$ of a surface Σ such that images of incident edge groups are extended boundary subgroups and every conjugate of H intersects G_v in an extended boundary subgroup. A boundary component C of Σ is used if there exists an incident edge group, or a subgroup of G_v conjugate to H whose image in $\pi_1(\Sigma)$ is contained with finite index in $\pi_1(\Sigma)$.

A vertex stabilizer G_v in Λ is said to be *rigid* if it is elliptic in every (\mathcal{C}, H) -splitting of G. Otherwise it is called *flexible*.

Recall that a group is called CSA if every maximal abelian subgroup is malnormal. It is a general fact that if Γ is a torsion-free hyperbolic group then Γ -limit groups are torsion-free and CSA. The following theorem is an application of results of [11] in our particular context.

THEOREM 2.21 ([11, Theorem 11.1]). — Let G be a torsion-free finitely generated CSA-group and H a subgroup of G such that G is H-freely indecomposable. Then abelian JSJ-decompositions of G relative to H exist and their nonabelian flexible vertices are of QH surface type with every boundary component used.

Since boundary subgroups are cyclic, it follows that if H is nonabelian then H is contained in a conjugate of a rigid group in any abelian JSJ-decomposition of G relative to H. Hence, without loss of generality, in the rest of this paper we may assume that JSJ-decompositions used by us have the property that H is contained in a rigid vertex group. Since, we will use only properties that are satisfied by all JSJ-decompositions, by misuse of language we will use the term the JSJ-decomposition rather than a JSJ-decomposition. Through this paper we will use the following two simple properties of JSJ-decompositions.

LEMMA 2.22. — Let G be a finitely generated torsion-free CSA-group and H a nonabelian subgroup of G such that G is H-freely indecomposable. Let Λ be the abelian JSJ-decomposition of G relative to H. Then any automorphism from Mod(G/H) fixes pointwise the vertex group containing H in Λ .

Proof. — Let G(H) be the vertex group of Λ containing H. Since G(H) is rigid it is elliptic in any abelian splitting of G relative to H. Let $\sigma \in Mod(G/H)$. Suppose that σ is a Dehn twist and let $G = G_1 *_C G_2$ or $G = L*_C$ be the corresponding one-edge abelian splitting. Since $H \leqslant G_1$ or $H \leqslant L$ and $H \leqslant G(H)$ which is elliptic, it follows that $G(H) \leqslant G_1$ or $G(H) \leqslant L$ which is the desired conclusion. Using a similar argument, if

 σ is an automorphism of surface type or abelian type then it fixes G(H) pointwise.

LEMMA 2.23. — Let G be a finitely generated torsion-free CSA-group and H a nonabelian subgroup of G such that G is H-freely indecomposable. Let $f = (f_n : G \to \Gamma)_{n \in \mathbb{N}}$ be a stable sequence of pairwise distinct homomorphisms with trivial stable kernel and which bounds H in the limit. For each $n \in \mathbb{N}$ choose $\sigma_n \in Mod(G/H)$ such that $f_n \circ \sigma_n$ is short. Let SG be the corresponding shortening quotient and $\pi : G \to SG$ the natural map. Then the restriction of π to the vertex group G(H) containing H in the abelian JSJ-decomposition of G relative to H is injective.

Proof. — By Lemma 2.22, for every $g \in G(H)$, $f_n \circ \sigma_n(g) = f_n(g)$ and the required conclusion follows.

All the previous properties of JSJ-decompositions are widely sufficient in our context of Γ -limit groups. However for torsion-free hyperbolic groups themselves, we need some additional properties. Let G be a group and Λ a (\mathcal{C}, H) -splitting of G. We say that a boundary subgroup B of a surface type vertex group G_v is fully used if there exists an incident edge group, or a subgroup of G_v conjugate to H, which coincides with B.

Let Λ be an abelian splitting of G (relative to H) and G_v be a vertex group of Λ . The elliptic abelian neighborhood of G_n is the subgroup generated by the elliptic elements that commute with nontrivial elements of G_v . By [5, Proposition 4.26] if G is commutative transitive then any abelian splitting Λ of G (relative to H) can be transformed to an abelian splitting Λ' of G such that the underlying graph is the same as that of Λ and for any vertex v, the corresponding new vertex group \hat{G}_v in Λ' is the elliptic abelian neighborhood of G_v (similarly for edges). In particular any edge group of Λ' is malnormal in the adjacent vertex groups and any boundary subgroup of a surface type vertex group is fully used. We call that transformation the malnormalization of Λ . If Λ is a (cyclic or abelian) JSJ-decomposition of G and G is commutative transitive then the malnormalization of Λ will be called a malnormal JSJ-decomposition. If G_v is a rigid vertex group then we call \hat{G}_v also rigid; similarly for abelian and surface type vertex groups. Strictly speaking a malnormal JSJ-decomposition is not a JSJ-decomposition in the sense of [10], however it shares the most important properties with JSJ-decompositions that we need. Hence we get the following which summarizes several properties sufficient for our purpose.

THEOREM 2.24. — Let G be a torsion-free finitely generated CSA-group and H a nonabelian subgroup of G such that G is H-freely indecomposable. Then malnormal abelian JSJ-decompositions of G relative to H exist and satisfy the following properties.

- (1) Flexible vertices are of QH surface type with every boundary component fully used.
- (2) Every edge group is maximal abelian in its endpoints vertex groups.
- (3) H is contained in a rigid vertex group.

We end with the definition of generalized JSJ-decomposition. First, split Γ as a free product $\Gamma = \Gamma_1 * \Gamma_2$, where $H \leqslant \Gamma_1$ and Γ_1 is freely H-indecomposable (relative Grushko-Kurosh decomposition). Then, define the generalized (cyclic) JSJ-decomposition of Γ relative to H as the (cyclic) splitting obtained by adding Γ_2 as a new vertex group to the (cyclic) JSJ-decomposition of Γ_1 (relative to H). The notion of a generalized malnormal (cyclic) JSJ-decomposition is defined in a similar way.

Recall that a group is said to be equationally noetherian if any system of equations in finitely many variables is equivalent to a finite subsystem. For more details on this notion, we refer the reader to [2]. A theorem of Sela [37, Theorem 1.22] states that any system of equations without parameters in finitely many variables is equivalent in a torsion-free hyperbolic group to a finite subsystem. The previous property is equivalent, when the group under consideration G is finitely generated, to the fact that G is equationally noetherian (for more details see the end of section 2 in [25]). Hence a torsion-free hyperbolic group is equationally noetherian. This was generalized by C. Reinfeldt and R. Weidmann [29] to general hyperbolic groups.

THEOREM 2.25 ([29]). — A hyperbolic group is equationally noetherian.

3. Constructibility from the algebraic closure

As noticed before, if A is a subset of Γ then acl(A) and $acl(\langle A \rangle)$ coincide, similarly with the other notions of closures, thus without loss of generality we may assume that A is always a subgroup. First we treat the case of abelian subgroups. We denote by $C_G(A)$ the centralizer of A in G.

LEMMA 3.1. — Let G be a torsion-free CSA group whose abelian subgroups are cyclic. Let A be a nontrivial abelian subgroup of G. Then $racl(A) = acl(A) = acl^{\exists}(A) = dcl^{\exists}(A) = dcl(A) = rdcl(A) = C_G(A)$.

Proof. — We first show that $racl(A) \leq C_G(A)$. Let $g \in racl(A)$, $a \in A$, $g \neq 1$, $a \neq 1$. Let π_n be the conjugation by a^n , $n \in \mathbb{N}$. Hence the set $\{\pi_n(g)|n \in \mathbb{N}\}$ is finite. Thus $[a^{n-m},g]=1$ for some $n,m \in \mathbb{N}$, $n \neq m$. Since G is torsion-free and CSA, commutativity is a transitive relation on the set of nontrivial elements, thus [g,a]=1. Therefore $g \in C_G(A)$ as required.

Now we show that $C_G(A) \leq dcl^{\exists}(A)$. Since $C_G(A)$ is cyclic, there exists $b \in G$ such that $C_G(A) = \langle b \rangle$. Let $a \in A$, $a \neq 1$ and $m \in \mathbb{Z}$ such that $b^m = a$. Therefore b satisfies the equation $x^m = a$. Since G is torsion-free and commutative transitive, b is the unique element satisfying $x^m = a$. Hence $b \in dcl^{\exists}(A)$ and thus $C_G(A) \leq dcl^{\exists}(A)$ as required. We conclude by the inclusions given by Lemma 2.2.

Since torsion-free hyperbolic groups are CSA, the previous lemma holds for them. Also note that if G is nonabelian then the algebraic closure of the trivial element is trivial. Indeed by taking $a,b \in G$ with $[a,b] \neq 1$ we have $acl(1) \leq acl(\langle a \rangle) \cap acl(\langle b \rangle) = 1$.

Recall that an \mathcal{L} -subtructure \mathcal{N} of an \mathcal{L} -structure \mathcal{M} is said to be existentially closed, abreviated e.c., if for any existential formula φ with parameters from \mathcal{N} , if $\mathcal{M} \models \varphi$, then $\mathcal{N} \models \varphi$. To avoid repeating some proofs, we introduce the following weak notion of existential closedness, of independent interest. A subset A of an \mathcal{L} -structure is said to be finitely existentially closed if $acl^{\exists}(A) = A$. For instance a nontrivial centralizer in a torsion-free hyperbolic group is finitely existentially closed (Lemma 3.1 above). It follows immediately that a finitely existentially closed subset is in fact an \mathcal{L} -substructure, so in in the particular context of groups it is a subgroup. The first aim of this section is a proof of next theorem. First we give a definition.

DEFINITION 3.2. — Let G be a group, A a subgroup and C a class of subgroups. By induction on n, define $\mathcal{D}_0 = \{A\}$, $\mathcal{D}_{n+1} = \mathcal{D}_n \cup \{B_1 *_C B_2, B*_C | B_1, B \in \mathcal{D}_n, B_2 \leqslant G, C \in \mathcal{C}\}$. We say that G is constructible from A over C, if there exists $n \in \mathbb{N}$ such that $G \in \mathcal{D}_n$.

Theorem 3.3. — Let Γ be a torsion-free hyperbolic group and A a non-abelian finitely existentially closed subgroup of Γ . Then Γ is constructible from A over cyclic subgroups. In particular A is finitely generated, quasiconvex (and hyperbolic).

Since for any subset A, acl(A) is finitely existentially closed (Lemma 2.2(3)), Theorem 3.3 implies Theorem 1.1. It is shown in [26] that, given a torsion-free hyperbolic group Γ , if A is an elementary subgroup

then Γ has a structure of a hyperbolic tower over A and in particular A is finitely generated, quasiconvex and hyperbolic. Theorem 3.3 allows to deduce these last properties which generalize to existentially closed subgroups, too. Indeed, since an existentially closed subgroup is in particular finitely existentially closed, we obtain the following.

COROLLARY 3.4. — An existentially closed subgroup of a torsion-free hyperbolic group is finitely generated, quasiconvex (and hyperbolic).

The first part of this section is devoted to the proof of Theorem 3.3. We start with the following lemma of general interest.

LEMMA 3.5. — Let G be an equationally noetherian group. Let G^* be an elementary extension of G. Let P be a subset of G. Let K be a finitely generated subgroup of G^* such that $P \subseteq K$. Then there exists a finite subset $P_0 \subseteq P$ such that for any homomorphism $f: K \to G^*$, if f fixes P_0 pointwise then f fixes P pointwise.

Proof. — Let \bar{g} be a generating tuple of K. Write $P = \{p_i | i \in \mathbb{N}\}$. Then for every $i \in \mathbb{N}$, there exists a word $w_i(\bar{x})$ such that $p_i = w_i(\bar{g})$. Since G is equationally noetherian and $P \subseteq G$, there exists $n \in \mathbb{N}$ such that

(1)
$$G^* \models \forall \bar{x}((p_0 = w_0(\bar{x}) \land \dots \land p_n = w_n(\bar{x})) \implies p_i = w_i(\bar{x})),$$
 for any $i \in \mathbb{N}$.

Let $P_0 = \{p_0, \ldots, p_n\}$ and let $f: K \to G^*$ be a homomorphism such that $f(p_i) = p_i$ for every $0 \le i \le n$. Therefore $p_i = f(p_i) = w_i(f(\bar{g}))$ for any $0 \le i \le n$. Hence, by (1), $p_i = w_i(f(\bar{g}))$ for any $i \in \mathbb{N}$, thus $p_i = f(p_i)$ for any $i \in \mathbb{N}$, as required.

PROPOSITION 3.6. — Let Γ be a torsion-free hyperbolic group and A a nonabelian finitely existentially closed subgroup of Γ . Let Γ^* be a nonprincipal ultrapower of Γ . Let $K \leq \Gamma^*$ be a finitely generated subgroup such that $A \leq K$ and such that K is K-freely indecomposable. Then one of the following cases holds.

- (1) Let Λ be the abelian JSJ-decomposition of K relative to A. Then the vertex group containing A in Λ is exactly A.
- (2) There exists a finitely generated subgroup $L \leqslant \Gamma^*$ such that $A \leqslant L$ and a non-injective epimorphism $f: K \to L$ satisfying:
 - (i) f sends A to A pointwise;
 - (ii) if Λ is the abelian JSJ-decomposition of K relative to A, then the restriction of f to the vertex group containing A in Λ is injective.

Proof. — Let $\bar{d}=(d_1,\ldots,d_p)$ be a finite generating tuple of K. Let $\bar{x}=(x_1,\ldots,x_p)$ be a new tuple of variables and set

$$S(\bar{x}) = \{w(\bar{x})|K \models w(\bar{d}) = 1\},\$$

where $w(\bar{x})$ denotes a word on \bar{x} and their inverses.

Since Γ is equationally noetherian and Γ^* is an elementary extension of Γ , there exist words $w_1(\bar{x}), \ldots, w_m(\bar{x})$ from $S(\bar{x})$, such that

$$\Gamma^* \models \forall \bar{x}(w_1(\bar{x}) = 1 \land \dots \land w_m(\bar{x}) = 1 \implies w(\bar{x}) = 1),$$

for any $w \in S(\bar{x})$.

By Lemma 3.5, there exists a finite subset $P_0 = \{p_1, \ldots, p_q\} \subseteq A$, such that for any homomorphism $f: K \to \Gamma$, if f fixes P_0 pointwise then f fixes A pointwise. Let $p_1(\bar{x}), \ldots, p_q(\bar{x})$ be words such that $p_i(\bar{d}) = p_i$ for every $1 \le i \le q$. Set

$$\phi(\bar{x}) := w_1(\bar{x}) = 1 \wedge \cdots \wedge w_m(\bar{x}) = 1 \wedge p_1(\bar{x}) = p_1 \wedge \cdots \wedge p_q(\bar{x}) = p_q.$$

We conclude that any map $f: K \to \Gamma$ satisfying $\Gamma \models \phi(f(\bar{d}))$ extends to a homomorphism which fixes A pointwise, that we still denote f.

Let $(v_i(\bar{x})|i \in \mathbb{N})$ be the list of reduced words such that $K \models v_i(\bar{d}) \neq 1$. For $m \in \mathbb{N}$, we set

$$\varphi_m(\bar{x}) := \phi(\bar{x}) \wedge \bigwedge_{0 \le i \le m} v_i(\bar{x}) \ne 1.$$

Suppose first that there exists $m \in \mathbb{N}$, such that for any map $f: K \to \Gamma$ for which $\Gamma \models \varphi_m(f(\bar{d}))$, f is an embedding. We claim that, in that case, the vertex group B containing A in the abelian JSJ-decomposition of K relative to A is exactly A. Thus we obtain conclusion (1) of the proposition.

Let \bar{b} be a finite generating tuple of B. Then there exists a tuple of words $\bar{w}(\bar{x})$ such that $\bar{b} = \bar{w}(\bar{d})$. We claim that the formula

$$\psi(\bar{y}) := \exists \bar{x} (\varphi_m(\bar{x}) \land \bar{y} = \bar{w}(\bar{x})),$$

has only finitely many realizations in Γ .

Let \bar{c} in Γ such that $\Gamma \models \psi(\bar{c})$. Hence there exists an embedding $f: K \to \Gamma$, fixing pointwise A, such that $\bar{c} = \bar{w}(f(\bar{b}))$. Thus the subgroup generated by \bar{c} is the image of B by f.

By Theorem 2.16, there exist finitely many embeddings h_1,\ldots,h_k , fixing A pointwise, such that for any embedding $h:K\to \Gamma$, there exists a modular automorphism $\tau\in Mod(\Gamma/A)$ such that $h\circ\tau=h_i$. Since any modular automorphism fixes B pointwise (Lemma 2.22), we find $\bar{c}=f(\bar{b})\in\{h_1(\bar{b}),\ldots,h_k(\bar{b})\}$, thus we get the required conclusion. Since $\Gamma^*\models\psi(\bar{b})$, we conclude that $B\leqslant acl^{\exists}(A)=A$ as claimed.

Suppose now that for every $m \in \mathbb{N}$, there exists a non-injective homomorphism $f: K \to \Gamma$ such that $\Gamma \models \varphi_m(f(\bar{d}))$. Therefore, we get a stable sequence $(f_m: K \to \Gamma)_{m \in \mathbb{N}}$ of pairwise distinct homomorphisms with trivial stable kernel.

For each $n \in \mathbb{N}$, choose a modular automorphism $\tau_n \in Mod(K|A)$ such that $h_n = f_n \circ \tau_n$ is short relative to A. Hence, we extract a stable subsequence $(h_m : K \to \Gamma)_{m \in \mathbb{N}}$ of pairwise distinct homomorphisms. Let L be the corresponding shortening quotient, which is embeddable in ${}^*\Gamma$ and contains A and let $f : K \to L$ be the quotient map. By Theorem 2.19 L is a proper quotient. We see also that f sends A to A pointwise. Since the stable kernel of $(f_n : K \to \Gamma)$ is trivial and since every modular automorphism fixes B pointwise, the restriction of f to B is injective (Lemma 2.23). Hence we obtain conclusion (2) of the proposition. This ends the proof of the proposition.

COROLLARY 3.7. — Let Γ be a torsion-free hyperbolic group and A a nonabelian finitely existentially closed subgroup of Γ . Let Γ^* be a non-principal ultrapower of Γ . Let $K \leqslant \Gamma^*$ be a finitely generated subgroup containing A. Then K is constructible from A over abelian subgroups.

Proof. — We construct a sequence $K = K_0, K_1, ..., K_n$ of finitely generated subgroups of Γ^* , with epimorphisms $f_i : K_i \to K_{i+1}$ satisfying:

- (i) f_i sends A to A pointwise,
- (ii) either K_{i+1} is a free factor of K_i and f_i is just the retraction that kills the complement, or the restriction of f to the vertex group containing A in the abelian JSJ-decomposition of K_i relative to A is injective,
- (iii) if Λ is the abelian JSJ-decomposition of K_n , then the vertex group containing A in Λ is exactly A.

We put $K_0 = K$. Suppose that K_i is constructed. If K_i is freely decomposable relative to A, then we set $K_i = K_{i+1} * H$ with $A \leq K_{i+1}$ and K_{i+1} freely A-indecomposable. We define $f_i : K_i \to K_{i+1}$ to be the retraction that kills H.

If K_i is freely A-indecomposable, then one of the cases of Proposition 3.6 is fulfilled. If (1) of Proposition 3.6 holds, then this terminates the construction of the sequence. Otherwise, (2) of Proposition 3.6 holds and we get $K_{i+1} \leq \Gamma^*$ and $f_i: K_i \to K_{i+1}$ satisfying (2)(i)-(ii) of Proposition 3.6.

Using the descending chain condition on Γ -limit groups (Theorem 2.20), the sequence terminates. Let K_n be the last element in the sequence. Hence,

property (iii) is satisfied. We show by inverse induction on i, that K_i satisfies the conclusion of the corollary. Since A is exactly the vertex group containing A in the abelian JSJ-decomposition of K_n relative to A, it follows that K_n can be constructed from A by a sequence of amalgamated free products and HNN-extensions along abelian subgroups. Hence K_n satisfies the conclusion of the corollary.

Suppose that K_{i+1} satisfies the conclusions of the corollary. By construction, either $K_i = K_{i+1} * H$, in which case K_i satisfies the conclusion of the corollary, or the restriction f_i to the vertex group V containing acl(A) in the abelian JSJ-decomposition of K_i relative to A is injective. By induction, K_i satisfies the conclusions of the corollary. Since $f_i(V)$ contains A and f_i sends A to A pointwise, $f_i(V)$ is constructible from A by a sequence of amalgamated free products and HNN-extensions along abelian subgroups. Since the restriction of f_i to V is injective, it follows that V itself is constructible from A by a sequence of free products and HNN-extensions along abelian subgroups. Therefore K_i satisfies the conclusion of the corollary. Hence K is constructible from A by a sequence of amalgamated free products and HNN-extensions along abelian subgroups; thus the corollary is proved.

Following [20], a subgroup A of a free group F is compressed if whenever $A \leq K$, with K finitely generated, then $rk(A) \leq rk(K)$; here rk(H) denotes the rank of H.

COROLLARY 3.8. — Let F be a free group of finite rank and A a non-abelian subgroup of F. Then acl(A) is compressed.

Proof. — By Corollary 3.7 if $acl(A) \leq K$, with K finitely generated, then K is constructible from acl(A) over cyclic subgroups. Let $K = B_1 *_C B_2$ with $acl(A) \leq B_1$ and $C = \langle c \rangle$. By [24, Theorem 1.1], c is either primitive in B_1 or B_2 . Therefore $rk(B_i) \leq rk(K)$ for i = 1, 2. Similarly, if $K = B*_C$ then $rk(B) \leq rk(K)$; a consequence of [24, Theorem 1.1]. Hence, by induction we get that $rk(acl(A)) \leq rk(K)$. □

Proof of Theorem 3.3. — The fact that Γ is constructible from A over cyclic subgroups follows from Corollary 3.7. Since Γ is finitely generated, any vertex group in any cyclic splitting of Γ is finitely generated. Thus by induction and using the fact that Γ is constructible from A over cyclic subgroups we find that A is finitely generated. The same argument combined with the following theorem shows that A is quasiconvex and in particular hyperbolic.

THEOREM 3.9 ([14, Proposition 4.5]). — Let Γ be a hyperbolic group. Suppose that Λ is a cyclic splitting of Γ with a finite underlying graph.

Then all vertex groups of Λ are quasiconvex in Γ and word-hyperbolic themselves.

Note that in general $acl^{\exists}(A)$ is not finitely existentially closed, thus Theorem 3.3 cannot be applied to existential algebraic closure. The rest of this section is devoted to show that free groups of finite rank are constructible from the existential algebraic closure.

THEOREM 3.10. — Let F be a free group of finite rank and A a non-abelian subgroup of Γ . Let K be a finitely generated subgroup of F containing $acl^{\exists}(A)$. Then K is constructible from $acl^{\exists}(A)$ over cyclic subgroups.

First we prove the following general key proposition of independent interest.

PROPOSITION 3.11. — Let G be a finitely generated equationally noetherian group and let A be a subgroup of G. Let $K \leq G$ be finitely generated and suppose that $acl^{\exists}(A)$ is a proper subgroup of K. Then there exists a stable sequence of pairwise distinct homomorphisms $(h_n : K \to G)_{n \in \mathbb{N}}$ with trivial stable kernel and which bounds $acl^{\exists}(A)$ in the limit.

In what follows we fix a finitely generated equationally noetherian group G and A a subgroup of G. We fix a finite generating set of G and we denote by B_r the ball of radius r with respect to the word distance induced by the fixed generating set. We denote by Mon(G/A) the monoid of monomorphisms of G fixing A pointwise. We introduce the following definition.

DEFINITION 3.12. — Let G^* be an elementary extension of G and let C be a finitely generated subgroup of G^* . A stable sequence $(f_n : C \to G)_{n \in \mathbb{N}}$ with trivial stable kernel strongly converges to C if it satisfies the following properties:

- (1) for any $c \in C \cap G$, $f_n(c) = c$ for all but finitely many n;
- (2) for any $c \in C$, for any $b \in G$, if $f_{n_k}(c) = b$ for some subsequence $(n_k)_{k \in \mathbb{N}}$, then c = b.

LEMMA 3.13. — Let G^* be an elementary extension of G and let $C \leq G^*$ be finitely generated. Then there exists a stable sequence of homomorphisms $(f_n : C \to G)_{n \in \mathbb{N}}$ strongly converging to C.

$$C = \langle c_1, \dots, c_t | w_i(\bar{c}) = 1, i \in \mathbb{N} \rangle$$

be a presentation of C. Since G is equationally noetherian, there exists a finite number of words w_0, \ldots, w_p such that

$$G \models \forall \bar{x}((w_0(\bar{x}) = 1 \land \cdots \land w_n(\bar{x}) = 1) \Rightarrow w_i(\bar{x}) = 1)$$

for any $i \in \mathbb{N}$.

Enumerate the following sets:

$$G \setminus \{1\} = (a_i)_{i \in \mathbb{N}},$$

$$(G \cap C) \setminus \{1\} = (b_i)_{i \in \mathbb{N}} = (b_i(\bar{c}))_{i \in \mathbb{N}}$$

and

$$C \setminus \{1\} = (v_i(\bar{c}))_{i \in \mathbb{N}},$$

and

$$C \setminus G = (d_i(\bar{c}))_{i \in \mathbb{N}}.$$

Since G is an elementary subgroup of G^* , for any $n \ge 0$ there exists \bar{c}_n in G such that

(3.1)
$$G \models \bigwedge_{0 \le i \le p} w_i(\bar{c}_n) = 1 \land \bigwedge_{0 \le i \le n} v_i(\bar{c}_n) \ne 1$$

and

(3.2)
$$G \models \bigwedge_{0 \leqslant i \leqslant n} b_i = b_i(\bar{c}_n) \land \bigwedge_{0 \leqslant i \leqslant n, 0 \leqslant j \leqslant n} d_i(\bar{c}_n) \neq a_j.$$

Define $f_n(\bar{c}) = \bar{c}_n$ and we show that the sequence $(f_n)_{n \in \mathbb{N}}$ satisfies properties (1) and (2) of Definition 3.12.

The sequence $(f_n)_{n\in\mathbb{N}}$ is stable and has a trivial stable kernel by equation (3.1). Let $g \in C \cap G$. Then there exists m such that $g = b_m = b_m(\bar{c})$. By equation (3.2), we have $f_n(b_m(\bar{c})) = b_m(\bar{c}_n) = b_m$ for any $n \geqslant m$; thus $f_n(g) = g$ for all but finitely many n, so we have property (1).

Now, let $g \in C$ and $b \in G$ such that there exists a subsequence $(n_k)_{k \in \mathbb{N}}$ with $f_{n_k}(g) = b$ for any $k \ge 0$. Let s be such that $b = a_s$. Suppose for a contradiction that $g \notin G$. Then there exists r such that $g = d_r(\bar{c})$. Let $n \ge \max\{r, s\}$. By equation (3.2), we have $f_n(g) = f_n(d_r(\bar{c})) = d_r(\bar{c}_n) \ne a_s$. Therefore for n_k large enough we have $f_{n_k}(g) \ne b$; a contradiction.

Hence $g \in G$ and in particular $g \in C \cap G$. By property (1) we get $f_n(g) = g$ for all but finitely many n and in particular g = b as required, so property (2) is proved.

Lemma 3.14. — The following properties are equivalent for any finite subset $C \subseteq G$:

- (1) $C \subseteq acl^{\exists}(A)$;
- (2) there exists a finite subset $B(C) \subseteq G$ such that for any elementary extension G^* of G and for any $f \in Mon(G^*/A)$, $f(C) \subseteq B(C)$;

(3) there exists r > 0 such that for any elementary extension G^* of G, for any $f \in Mon(G^*/A)$, for any sequence $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ which strongly converges to f(G), $(g_n \circ f)(C) \subseteq B_r$ for all but finitely many n.

Proof.

- $(1) \Rightarrow (2)$. This follows immediately from the definition of $acl^{\exists}(A)$.
- $(2) \Rightarrow (3)$. Let B(C) be the given subset. Let

$$r = \max\{|g| \; ; \; g \in B(C)\},\$$

where |.| is the word length with respect to the finite generating set of G. Let $G \subseteq G^*$, let $f \in Mon(G^*/A)$ and let $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ be a sequence strongly converging to f(G). Let $c \in G$. Hence $f(c) = b \in B(C) \subseteq G$ and $b \in G \cap f(G)$. Since $(g_n)_{n \in \mathbb{N}}$ strongly converges to f(G), we have $g_n(b) = b$ for all but finitely many n. Therefore $g_n(f(c)) = b$ for all but finitely many n. Since G is finite, we get $(g_n \circ f)(G) \subseteq B_r$ for all but finitely many n.

- $(3) \Rightarrow (2)$. Let $c \in C$. Let $G \leq G^*$ and $f \in Mon(G^*/A)$. We claim that $f(c) \in B_r$, so we can take $B(C) = B_r$. Let $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ be a sequence strongly converging to f(G); its existence is assured by Lemma 3.13. So, there exists $b \in B_r$ such that $g_{n_k}(f(c)) = b$ for some subsequence $(n_k)_{k \in \mathbb{N}}$. Therefore, by property (2) of Definition 3.12, we have f(c) = b. Hence $f(C) \subseteq B_r$ as claimed.
- $(2) \Rightarrow (1)$. We suppose that (1) does not hold and we show that (2) does not hold. Let $c \in C \setminus acl^{\exists}(A)$. Then, any existential formula $\phi(x) \in tp^{\exists}(c/A)$ has infinitely many realizations. Define the theory $T(d) = Diag_{el}(G) \cup \{\phi(d), d \neq g_i; \phi \in tp^{\exists}(c/A), i \in \mathbb{N}\}$, where $(g_i)_{i \in \mathbb{N}}$ is an enumeration of the elements of G. As T(d) is finitely consistent, there exists an elementary extension $G \preceq G'$ such that $G' \models T(d), d \in G' \setminus G$ and $tp^{\exists}(c/A) \subseteq tp^{\exists}(d/A)$. By Proposition 2.3 (2) there exist an elementary extension $G' \preceq G^*$ and $f \in Mon(G^*/A)$ such that f(c) = d. Hence (2) is not true and this ends the proof.

Proof of Proposition 3.11. — Let D be a finite generating set of K. Since $acl^{\exists}(A) < K$ we have $D \nsubseteq acl^{\exists}(A)$. Hence, using the equivalence of points (1) and (3) of Lemma 3.14, we have:

(*) For any $r \ge 0$ there exist an elementary extension G^* of G, a monomorphism $f \in Mon(G^*/A)$ and a sequence $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ strongly converging to f(G), such that $\max_{d \in D} |(g_n \circ f)(d)| \ge r$ for some subsequence $(n_k)_{k \in \mathbb{N}}$.

Write $K \setminus \{1\}$ as an increasing sequence of finite subsets $(C_i)_{i \in \mathbb{N}}$. Enumerate the elements of $acl^{\exists}(A)$: $acl^{\exists}(A) = (b_i)_{i \in \mathbb{N}}$. Let $B_{r(i)}$ be the ball witnessing point (3) of Lemma 3.14 for b_i .

CLAIM 3.15. — For any $m \in \mathbb{N}$ there exists a homomorphism $h_m : K \to G$ satisfying the following properties:

- (1) $1 \notin h_m(C_m)$;
- (2) $\max_{d \in D} |h_m(d)| \geqslant m$;
- (3) $h_m(b_i) \subseteq B_{r(i)}$ for $0 \le i \le m$.

Proof. — Let $m \in \mathbb{N}$. Let $f \in Mon(G^*/A)$ and let $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ be the sequence witnessing (*) for m. Since $(g_n : f(G) \to G)_{n \in \mathbb{N}}$ strongly converges to f(G) we have

$$1 \not\in (g_n \circ f)(C_m)$$

for all but finitely many n.

Since $b_i \in acl^{\exists}(A)$, by the equivalence of points (1) and (3) of Lemma 3.14 we have for any $0 \le i \le m$,

$$(g_n \circ f)(b_i) \subseteq B_{r(i)}$$

for all but finitely many n.

So, by taking n_k large enough, we obtain:

- $(1) \ 1 \not\in (g_{n_k} \circ f)(C_m);$
- (2) $\max_{d \in D} |(g_{n_k} \circ f)(d)| \geqslant m;$
- (3) $(g_{n_k} \circ f)(b_i) \subseteq B_{r(i)}$ for $0 \leqslant i \leqslant m$.

Let $h_m = g_{n_k} \circ f \upharpoonright K$. Then h_m is the desired homomorphism and this ends the proof of the Claim.

By point (2) of the above claim and finiteness of balls of finite radius, we can extract a subsequence $(h_{m_n})_{n\in\mathbb{N}}$ of pairwise distinct homomorphisms. Thus, we may assume that the initial sequence consists of pairwise distinct homomorphisms. We are left to show that the sequence $(h_m: K \to G)_{m\in\mathbb{N}}$ satisfies the required properties. By point (1) of Claim 3.15, the sequence is stable and has a trivial stable kernel. Let $b \in acl^{\exists}(A)$. Then there exists p such that $b = b_p$. Hence for any $m \ge p$ we have $h_m(b) \in B_{r(p)}$, thus the sequence bounds $acl^{\exists}(A)$ in the limit. Therefore, the sequence satisfies all the required properties, so this ends the proof.

To prove Theorem 3.10 we need the following result of Takahasi.

PROPOSITION 3.16. — [38] Let F be a free group of finite rank and let $(L_i|i \in \mathbb{N})$ be a descending chain of subgroups with bounded rank. Then $\bigcap_i L_i$ is a free factor of L_n for all but finitely many n.

Proof of Theorem 3.10. — Define a descending sequence $(L_i|i \in \mathbb{N})$ of subgroups of F with bounded rank and containing $acl^{\exists}(A)$ as follows. Let $L_0 = K$. Suppose that L_i is defined. If $L_i = acl^{\exists}(A)$ then this terminates the sequence; put $L_j = L_i$ for any $j \geq i$. If L_i is freely $acl^{\exists}(A)$ -decomposable, then set L_{i+1} to be the free factor of L_i containing $acl^{\exists}(A)$ and which is freely $acl^{\exists}(A)$ -indecomposable. So, suppose that $acl^{\exists}(A) < L_i$ and L_i is freely $acl^{\exists}(A)$ -indecomposable. By Proposition 3.11 there exists a stable sequence of pairwise distinct homomorphisms $(h_n : L_i \to F)_{n \in \mathbb{N}}$ with trivial stable kernel and which bounds $acl^{\exists}(A)$ in the limit. Hence by Theorem 2.9, L_i admits a nontrivial cyclic splitting relative to $acl^{\exists}(A)$. Then, set L_{i+1} to be the vertex group containing $acl^{\exists}(A)$.

We claim that the sequence terminates. Suppose for a contradiction that it does not terminate. Then we get an infinite sequence $(L_i|i \in \mathbb{N})$ such that:

- $(i) \ acl^{\exists}(A) \leqslant L_i,$
- (ii) $rk(L_i) \leq rk(K)$ (properties of free groups, this can be proved using [24] as in Corollary 3.8),
- $(iii) L_{i+1} < L_i.$

By Proposition 3.16, $\bigcap_i L_i$ is a free factor of L_i for all but finitely many n. Hence, for all but finitely many n, L_n is freely decomposable with respect to $acl^{\exists}(A)$; a contradiction with the construction of the sequence. Therefore the sequence terminates, as claimed. Let L_p be the last term in the sequence. Then by construction $acl^{\exists}(A) = L_p$. We conclude that K is constructible from $acl^{\exists}(A)$.

As in the case of the algebraic closure, as a consequence we have the following result:

COROLLARY 3.17. — Let F be a free group of finite rank and A a non-abelian subgroup of F. Then $acl^{\exists}(A)$ is compressed.

Proof. — The proof is identical to that of Corollary 3.8 by using Theorem 3.10 instead of Theorem 3.3.

4. The algebraic closure in the JSJ-decomposition

In this section we study the link between the algebraic closure and the JSJ-decomposition and we prove Theorem 1.2. We start with the following lemma.

LEMMA 4.1. — Let G be a torsion-free CSA group whose abelian subgroups are cyclic. Suppose that $G = G_1 * G_2$ with $A \leq G_1$. Then $racl_G(A) \leq racl_{G_1}(A)$.

Proof. — We show first that $racl_G(A) \leq G_1$. We suppose that $g \notin G_1$ and we find a sequence $(f_n)_{n\in\mathbb{N}}$ in Aut(G/A) such that the orbit $\{f_n(g); n\in\mathbb{N}\}$ is infinite; this will prove that $g\notin racl(A)$. Depending whether G_2 is abelian or not, we will treat the two cases separately. First suppose that G_2 is abelian. Then G_2 is cyclic; let t be a generating element. Let $\alpha\in G_1$ be nontrivial. Then, let $(f_n)_{n\in\mathbb{N}}$ be the sequence of automorphisms of G defined by being the identity on G_1 and sending t to $\alpha^n t$. Since $g\notin G_1$, g has a normal form $g_0t^{\varepsilon_0}g_1\cdots g_rt^{\varepsilon_r}g_{r+1}$ where $g_i\in G_1$, $\varepsilon_i=\pm 1$ and if $g_i=1$ then $\varepsilon_i+\varepsilon_{i+1}\neq 0$. If $f_n(g)=f_m(g)$ with $n\neq m$ then a calculation with normal forms shows that $\alpha^{n-m}=1$ which is a contradiction with torsion-freeness of G. Hence the orbit $\{f_n(g); n\in\mathbb{N}\}$ is infinite, as required.

Suppose now that G_2 is nonabelian. Since $g \notin G_1$, g has a normal form $g = g_1 \cdots g_r$, $r \ge 2$. Let $g_l \in G_2$ appear in the normal form of g. Since G_2 is nonabelian and CSA, there exists an element $\alpha \in G_2$ such that $[g_l, \alpha] \ne 1$. Then, let $(f_n)_{n \in \mathbb{N}}$ be the sequence of automorphisms of G defined by being identity on G_1 and conjugation by α^n on G_2 . If $f_n(g) = f_m(g)$ with $n \ne m$, then a calculation with normal forms shows that $[\alpha^{n-m}, g_l] = 1$ which is a contradiction, as G is commutative transitive and $[g_l, \alpha] \ne 1$. Hence the orbit $\{f_n(g); n \in \mathbb{N}\}$ is infinite, as required.

Now we show that $racl_G(A) \leq racl_{G_1}(A)$. Let $b \in racl_G(A)$ and suppose that $b \notin racl_{G_1}(A)$. Then the orbit $\{f(b)|f \in Aut(G_1/A)\}$ is infinite; since each element of $Aut(G_1/A)$ has a natural extension to G, the orbit $\{f(b)|f \in Aut(G/A)\}$ is also infinite, which is a contradiction.

LEMMA 4.2. — Let Γ be a torsion-free hyperbolic group and A a non-abelian subgroup of Γ . Suppose that $\Gamma = \Gamma_1 * \Gamma_2$ with $A \leq \Gamma_1$ and Γ_1 is freely A-indecomposable. Then $racl_{\Gamma}(A) = racl_{\Gamma_1}(A)$.

Proof. — By Lemma 4.1, we have $racl_{\Gamma}(A) \leq racl_{\Gamma_1}(A)$; thus it remains to show that $racl_{\Gamma_1}(A) \leq racl_{\Gamma}(A)$.

Let $f \in Aut(G/A)$. We claim that $f \upharpoonright \Gamma_1 \in Aut(\Gamma_1/A)$. By Grushko-Kurosh theorem, $f(\Gamma)$ has a decomposition

$$f(\Gamma_1) = \Gamma_1^{g_1} \cap f(\Gamma_1) * \cdots * \Gamma_1^{g_p} \cap f(\Gamma_1) * \Gamma_2^{h_1} \cap f(\Gamma_1) * \cdots * \Gamma_2^{h_q} \cap f(\Gamma_1) * F,$$

where F is a free group. Since $A \leq f(\Gamma_1)$ we have $g_i = 1$ for some i and $A \leq \Gamma_1 \cap f(\Gamma_1)$ and this last group is a free factor of $f(\Gamma_1)$. Since Γ_1 is freely A-indecomposable, we conclude that $\Gamma_1 \cap f(\Gamma_1) = f(\Gamma_1)$, thus $f(\Gamma_1) \leq \Gamma_1$.

If $f \upharpoonright \Gamma_1$ is not an automorphism, then by Corollary 2.17, Γ_1 is freely A-decomposable, which is a contradiction. Hence $f \upharpoonright \Gamma_1 \in Aut(\Gamma_1/A)$, as claimed.

Therefore, if the orbit $\{f(b)|f \in Aut(\Gamma_1/A)\}$ is finite then the orbit $\{f(b)|f \in Aut(\Gamma/A)\}$ is finite as well, which proves $racl_{\Gamma_1}(A) \leq racl_{\Gamma}(A)$. \square

PROPOSITION 4.3. — Let G be a torsion-free CSA group and A a subgroup of G. Let Λ be an abelian splitting of G relative to A and suppose that each edge group is nontrivial and maximal abelian in its endpoints vertex groups. If G(A) is the vertex group containing A then $racl(A) \leq G(A)$ and in particular $acl(A) \leq G(A)$.

Proof. — As in the proof fo Lemma 4.1, we are going to show that if $g \notin G(A)$ then there exists a sequence $(f_n)_{n\in\mathbb{N}}$ in Aut(G/A) such that the orbit $\{f_n(g); n \in \mathbb{N}\}$ is infinite; which proves that $g \notin racl(A)$. Let $g \notin G(A)$.

Write $\Lambda = (\mathcal{G}(V, E), T, \phi)$. To simplify, identify G with $\pi(\mathcal{G}(V, E), T)$. Enumerate the edges which lie outside T as e_1, \ldots, e_p . Let $\mathcal{G}_i(V, E_i)$ be the graph of groups obtained by deleting e_i . Hence G is an HNN-extension of the fundamental group $G_i = \pi(\mathcal{G}_i(V, E_i), T)$.

Suppose that $g \notin G_i$. Write $G = \langle G_i, t | C^t = \varphi(C) \rangle$. Let $c \in C$ be nontrivial. In this case let $(f_n)_{n \in \mathbb{N}}$ be the sequence of Dehn twists around c^n , that is f_n is defined by being identity on G_i and sending t to $c^n t$. As in the previous lemma, g has a normal form $g_0 t^{\varepsilon_0} g_1 \dots g_r t^{\varepsilon_r} g_{r+1}$; if $f_n(g) = f_m(g)$, with $n \neq m$, we find $c^{n-m} = 1$, a contradiction with torsion-freeness of G. This shows that the orbit $\{f_n(g); n \in \mathbb{N}\}$ is infinite, as required.

Suppose that $g \in \bigcap_{1 \leq i \leq p} G_i$. Note that $\bigcap_{1 \leq i \leq p} G_i$ is the fundamental group L of the graph of groups $\mathcal{G}(V, E')$ obtained by deleting all the edges e_1, \ldots, e_p , relative to the maximal subtree T. Let e'_1, \ldots, e'_q be the edges incident to G(A) in T. Hence, for each $1 \leq i \leq q$, L can be written as an amalgamated free product $L = L_{i1} *_{C_i} L_{i2}$ where L_{i1} and L_{i2} are the fundamental groups of the connected components of the graph obtained by deleting e'_i and $G(A) \leq L_{i1}$.

Since $g \notin G(A)$, there exists $1 \le i \le q$ such that $g \notin L_{i1}$. We claim that there exists a sequence $(f_n)_{n \in \mathbb{N}}$ in Aut(L/A) such that the orbit $\{f_n(g); n \in \mathbb{N}\}$ is infinite and such that the restriction of each f_n on any edge group of our initial graph of groups $\mathcal{G}(V, E)$ is a conjugation by an element of L.

Define the sequence $(f_n)_{n\in\mathbb{N}}$ similarly as in the previous case of HNN-extensions and in Lemma 4.1 above. Since $g \notin L_{i1}$, g has a normal form $g = g_1 \cdots g_r$, $r \geqslant 2$. Let $g_l \in L_{i2}$ appear in the normal form of g. Let $c \in C_i$

be nontrivial. In this case let $(f_n)_{n\in\mathbb{N}}$ be the sequence of Dehn twists around c^n ; that is f_n is defined by being identity on L_{i1} and conjugation by c^n on L_{i2} . If $f_n(g) = f_m(g)$ with $n \neq m$, then a calculation with normal forms shows that $[c^{n-m}, g_l] = 1$, thus $[g_l, c] = 1$. Since C_i is maximal abelian, we get $g_l \in C_i$; a contradiction. Hence the orbit $\{f_n(g); n \in \mathbb{N}\}$ is infinite and the restriction of each f_n on each edge group of $\mathcal{G}(V, E)$ is a conjugation by an element of L, as required.

Each f_n has a standard extension \hat{f}_n to G; thus the sequence $(\hat{f}_n)_{n\in\mathbb{N}}$ is a sequence from Aut(G/A) with the orbit $\{\hat{f}_n(g); n\in\mathbb{N}\}$ infinite, as required.

PROPOSITION 4.4. — Let Γ be a torsion-free hyperbolic group and let A be a nonabelian subgroup of Γ . Then racl(A) coincides with the vertex group containing A in the generalized malnormal cyclic JSJ-decomposition of Γ relative to A.

Proof. — Write $\Gamma = \Gamma_1 * \Gamma_2$ with $A \leq \Gamma_1$ and Γ_1 freely A-indecomposable. By Lemma 4.1, $racl_{\Gamma}(A) = racl_{\Gamma_1}(A)$; thus we must show that $racl_{\Gamma_1}(A)$ is the vertex group containing A in the cyclic malnormal JSJ-decomposition of Γ_1 relative to A. Let G(A) be the vertex group containing A.

By Theorem 2.16, there exists a finite number of automorphisms f_1, \ldots, f_l of Γ_1 such that for any $f \in Aut(\Gamma_1/A)$, there exists a modular automorphism $\sigma \in Mod(\Gamma_1/A)$ such that $f = f_i \circ \sigma$ for some i.

Let $b \in G(A)$. By Lemma 2.22 any automorphism $\sigma \in Mod(\Gamma_1/A)$ fixes the vertex group containing A in the JSJ-decomposition of Γ_1 relative to A. We see that this last property is steal true for the vertex group G(A). Since any $\sigma \in Mod(\Gamma_1/A)$ fixes G(A) pointwise for any automorphism $f \in Aut(\Gamma_1/A)$ we have $f(b) \in \{f_1(b), \ldots, f_l(b)\}$. Thus $b \in acl_{\Gamma_1}(A)$ and $G(A) \leq acl_{\Gamma_1}(A)$. The inverse inclusion follows from Proposition 4.3 and properties of the malnormal JSJ-decompositions stated in Theorem 2.24.

In the case of free groups, we have a bit more.

THEOREM 4.5. — Let F be a free group of finite rank and let A be a nonabelian subgroup of F. Then acl(A) coincides with the vertex group containing A in the generalized malnormal cyclic JSJ-decomposition of F relative to A.

Proof. — Write $F = F_1 * F_2$ with $A \leq F_1$ and F_1 freely A-indecomposable. Since $F_1 \leq F$, $acl_{F_1}(A) = acl_F(A)$. Let G(A) be the vertex group containing A in the cyclic malnormal JSJ-decomposition of F_1 relative to A. By Proposition 4.3 and properties of JSJ-decompositions stated in Theorem 2.24, we have $acl(A) \leq G(A)$; thus it remains to show that $G(A) \leq acl(A)$. Let $c \in G(A)$ and let (\bar{d}_1, \bar{d}_2) be a tuple generating F_1 with \bar{d}_1 generating G(A). Then $c = w(\bar{d}_1)$ for some word w.

By Theorem 3.3 acl(A) is finitely generated; let b be a finite generating set of acl(A). Let $\varphi(\bar{x}, \bar{y})$ be the formula given by the Proposition 2.4 with respect to the generating tuple (\bar{d}_1, \bar{d}_2) and to the tuple \bar{b} ; that is for any endomorphism f of F_1 , if $F_1 \models \varphi(f(\bar{d}_1), f(\bar{d}_2))$ and f fixes \bar{b} then f is an automorphism.

By equational noetherianity, there exists a finite system $S(\bar{x}, \bar{y})$ of equations such that for any $(\bar{\alpha}, \bar{\beta})$ if $F_1 \models S(\bar{\alpha}, \bar{\beta})$ then the map which sends (\bar{d}_1, \bar{d}_2) to $(\bar{\alpha}, \bar{\beta})$ extends to an homomorphism.

Let $\bar{v}(\bar{x})$ be a tuple of words such that $\bar{b} = \bar{v}(\bar{d}_1)$. Let

$$\psi(z,\bar{b}) := \exists \bar{x} \exists \bar{y} (\varphi(\bar{x},\bar{y}) \land z = w(\bar{x}) \land S(\bar{x},\bar{y}) \land \bar{b} = \bar{v}(\bar{x})).$$

We claim that $\psi(z, \bar{b})$ has only finitely many realizations in F_1 . Indeed, if

$$F_1 \models \psi(c', \bar{b}) := \exists \bar{x} \exists \bar{y} (\varphi(\bar{x}, \bar{y}) \land c' = w(\bar{x}) \land S(\bar{x}, \bar{y}) \land \bar{b} = \bar{v}(\bar{x})),$$

then there exists an automorphism f fixing acl(A) pointwise and sending c to c'. By Proposition 4.4 G(A) = racl(A), thus the set $\{f(c)|f \in Aut(F_1/A)\}$ is finite. Hence $\psi(z,\bar{b})$ has only finitely many realizations as claimed. Thus $c \in acl(acl(A)) = acl(A)$ as required.

5. The algebraic closure & the definable closure

Putting all the pieces together, in this section we are ready to give the relation between algebraic closure and definable closure.

THEOREM 5.1. — Let F be a free group of finite rank and A a non-abelian subgroup of F. Then dcl(A) is a free factor of acl(A). Similarly, $dcl^{\exists}(A)$ is a free factor of $acl^{\exists}(A)$.

We need the following theorem of Dyer and Scott.

THEOREM 5.2 ([18, Proposition 5.3, Ch I][7]). — Let F be a free group of finite rank and let f be an automorphism of F of finite order. Then the set of elements of F fixed by f is a free factor of F.

Proof of Theorem 5.1. — By Theorem 3.3, acl(A) is finitely generated. Hence, by Grushko-Kurosh theorem, acl(A) has a free decomposition K*L, such that K contains dcl(A) and K is freely dcl(A)-indecomposable. We claim that K = dcl(A). Suppose for a contradiction that dcl(A) < K and let $a \in K \setminus dcl(A)$.

CLAIM 5.3. — There exists an automorphism h of acl(A), of finite order and fixing pointwise dcl(A), such that $h(a) \neq a$.

Proof. — Since $a \in acl(A) \setminus dcl(A)$, there exists a formula $\psi(x)$, with parameters from A, such that $\psi(F)$ is finite, contains a and is not a singleton. We claim that there exists $b \in acl(A)$ such that tp(a|A) = tp(b|A) and $a \neq b$. Set $\psi(F) = \{a, b_1, \ldots, b_m\}$ and suppose towards a contradiction that $tp(a|A) \neq tp(b_i|A)$ for all $1 \leq i \leq m$. Thus, for every $1 \leq i \leq m$, there exists a formula $\psi_i(x)$, with parameters from A, such that $\psi_i \in tp(b_i|A)$ and $\neg \psi_i \in tp(a|A)$. Thus the formula $\psi(x) \land \neg \psi_1(x) \land \cdots \land \neg \psi_m(x)$ defines a; a contradiction.

Hence, let $b \in \psi(F)$ such that $a \neq b$ and tp(a|A) = tp(b|A). By Proposition 2.3, there exist an elementary extension F^* of F and $f \in Aut(F^*/A)$ such that f(a) = b. Let h be the restriction of f to acl(A). We claim that h has the required properties.

Since h is restriction of f, we get $h(acl(A)) \leq acl(A)$. Let $b \in acl(A)$ and let $\psi_b(x)$ be a formula, with parameters from A, such that $\psi_b(F)$ is finite and contains b. Then $h(\psi_b(F)) \leq \psi_b(F)$ and since $\psi_b(F)$ is finite and h is injective we get $h(\psi_b(F)) = \psi_b(F)$. Thus h is surjective and in particular h is an automorphism of acl(A). Moreover, since for any n, h^n is an automorphism of acl(A) and $h^n(\psi_b(F)) = \psi_b(F)$, there exists $n \in \mathbb{N}$ such that h^n fixes $\psi_b(F)$ pointwise.

Let $\{b_1, \ldots, b_m\}$ be a finite generating set of acl(A). Hence, we get n_1, \ldots, n_m such that $h^{n_i}(b_i) = b_i$. Therefore $h^{n_1 \cdots n_m}(x) = x$ for any $x \in acl(A)$, thus h has finite order. This completes the proof of the claim. \square

Let h be the automorphism given by the above claim. We claim that h(K) = K. We have $h(K) \leq acl(A)$ and by Grushko-Kurosh theorem

$$h(K) = h(K) \cap K^{g_1} * \cdots * h(K) \cap K^{g_n} * h(K) \cap L^{h_1} * \cdots * h(K) \cap L^{h_m} * D,$$

where D is a free group. Since $dcl(A) \leq K \cap h(K)$, it follows that $g_i = 1$ for some i. Since K is dcl(A)-freely indecomposable, we find that $h(K) = h(K) \cap K$, thus $h(K) \leq K$. In particular $h(a) \in K$.

If h(K) < K, then K is freely dcl(A)-decomposable by Corollary 2.17; a contradiction. Hence h(K) = K.

Since h is a nontrivial automorphism of K of finite order, by Theorem 5.2 K is freely dcl(A)-decomposable; a contradiction. Hence in each case we get a contradiction. Therefore dcl(A) = K as required.

Concerning the existential closure, the proof follows the same method. We only give a sketch of it by detailing the points where the proof is different. As above, by Theorem 3.10 instead of Theorem 3.3, $acl^{\exists}(A)$ is finitely generated; hence we get a free decomposition $acl^{\exists}(A) = K * L$, with $dcl^{\exists}(A) \leq K$ and K is freely $dcl^{\exists}(A)$ -indecomposable. We let $a \in K \setminus dcl^{\exists}(A)$. As before, we also have the following.

Claim 5.4. — There exists an automorphism h of $acl^{\exists}(A)$, of finite order and fixing $dcl^{\exists}(A)$ pointwise, such that $h(a) \neq a$.

Proof. — The unique point, where the proof here is different, is the use of monomorphisms of an elementary extension rather than automorphisms. Since $a \in acl^{\exists}(A) \setminus dcl^{\exists}(A)$, there exists an existential formula $\psi(x)$, with parameters from A, such that $\psi(F)$ is finite, contains a and is not a singleton. The claim here is that there exists $b \in acl^{\exists}(A)$ such that $tp^{\exists}(a|A) \subseteq tp^{\exists}(b|A)$ and $a \neq b$. The details are similar and left to the reader. Then, by Proposition 2.3, there exists a monomorphism of an elementary extension F^* of F fixing $dcl^{\exists}(A)$ pointwise such that f(a) = b. Let h be the restriction of f to $acl^{\exists}(A)$. The rest of the proof works exactly as in Claim 5.3 and is left to the reader.

Also the remaining claims work as in the previous case. This ends the proof of the theorem. $\hfill\Box$

Next theorem is a detailed version of Theorem 1.4. We denote by End(F/A) the set of endomorphisms of F fixing A pointwise.

Theorem 5.5. — Let A_0 be a finite set (possibly empty) and

$$A = \langle A_0, a, b, u | \rangle, \ H = A * \langle y | \rangle,$$
$$v = aybyay^{-1}by^{-1}, F = \langle H, t | u^t = v \rangle.$$

Then F is a free group of rank $|A_0|+4$ and the following properties hold.

- (1) If $f \in End(F/A)$ then $f \in Aut(F|A)$, and if $f \upharpoonright H \neq id_H$ then $f(y) = y^{-1}$.
- $(2) \ acl(A) = acl^{\exists}(A) = H.$
- $(3) \ dcl(A) = dcl^{\exists}(A) = A.$

Proof. — Clearly F is a free group of rank $|A_0| + 4$. We suppose (1) and we show (2) and (3). Clearly we have

$$A \leqslant acl^{\exists}(A) \leqslant acl(A) \leqslant racl(A),$$

and since the subgroups generated by u and v respectively are malnormal in H, by Proposition 4.3 we have $racl(A) \leq H$. Thus to show (2) it is sufficient to show that $y \in acl^{\exists}(A)$. Let

$$\varphi(z) := \exists \alpha (u^{\alpha} = azbzaz^{-1}bz^{-1}).$$

Then $F \models \varphi(y)$. Let $\gamma \in F$ such that $F \models \varphi(\gamma)$. Then the map defined by $f(y) = \gamma$, $f(t) = \alpha$ and identity on A extends to an endomorphism of F fixing A pointwise; thus, by (1), $\gamma = y^{\pm 1}$. Hence $\varphi(z)$ has only finitely many realizations, thus $y \in acl^{\exists}(A)$ as desired.

We show (3). We have

$$A \leq dcl^{\exists}(A) \leq dcl(A) \leq rdcl(A) \leq racl(A) \leq H$$

thus to show (3) it is sufficient to show that there exists $g \in Aut(F/A)$ such that for any $\gamma \in H \setminus A$ we have $g(\gamma) \neq \gamma$. Let g defined on H by being identity on A and $g(y) = y^{-1}$. Then

$$g(v) = ay^{-1}by^{-1}ayby = ay^{-1}by^{-1}aybyay^{-1}by^{-1}(ay^{-1}by^{-1})^{-1} = dvd^{-1},$$

where $d = ay^{-1}by^{-1}$. Hence by extending g on F by

$$g(t) = td^{-1},$$

we get $g \in Aut(F/A)$ with $g(y) = y^{-1}$. Now if $\gamma \in H \setminus A$ then y appears in the normal form of γ , thus $g(\gamma) \neq \gamma$ as required.

The remaining is devoted to the proof of (1).

CLAIM 5.6. — Let
$$f \in End(F/A)$$
. Then $f(y) \in H$.

Proof. — Suppose towards a contradiction that $f(y) \notin H$ and let

(5.1)
$$f(y) = \alpha_0 t^{\varepsilon_0} \dots \alpha_n t^{\varepsilon_n} \alpha_{n+1}$$

in normal form where $\alpha_i \in H$ and $\varepsilon_i = \pm 1$ for every i.

By definition of v and by HNN relation we have

(5.2)
$$f(t)^{-1}uf(t) = af(y)bf(y)af(y)^{-1}bf(y)^{-1}.$$

Substituting definition (5.1) in equation (5.2), we have

$$(5.3) \quad f(t)^{-1}uf(t)$$

$$= a\alpha_0 t^{\varepsilon_0} \dots \alpha_n t^{\varepsilon_n} \alpha_{n+1} b\alpha_0 t^{\varepsilon_0} \dots \alpha_n t^{\varepsilon_n} \alpha_{n+1} a\alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots$$

$$\dots t^{-\varepsilon_0} \alpha_0^{-1} b\alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots t^{-\varepsilon_0} \alpha_0^{-1}.$$

Compare a cyclically reduced conjugate for each side of (5.3): u for the left side, and a cyclically reduced conjugate c of

$$(5.4) \quad \alpha_0^{-1} a \alpha_0 t^{\varepsilon_0} \dots \alpha_n t^{\varepsilon_n} \alpha_{n+1} b \alpha_0 t^{\varepsilon_0} \dots \alpha_n t^{\varepsilon_n} \alpha_{n+1} a \alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots$$
$$\dots t^{-\varepsilon_0} \alpha_0^{-1} b \alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots t^{-\varepsilon_0}$$

for the right side.

There are three subwords in c that could be subject to cancellation.

- (1) One is $\alpha_{n+1}a\alpha_{n+1}^{-1}$.
 - Note that
 - it does not belong to $\langle u \rangle$, since two centralizers of generators cannot be conjugate of each other;
 - it does not belong to $\langle v \rangle$, since this would imply $\alpha_{n+1} a \alpha_{n+1}^{-1} = v^p$ (as v is root-free), and v^p is cyclically reduced, while a cyclically conjugate of $\alpha_{n+1} a \alpha_{n+1}^{-1}$ is a.
- (2) The other two subwords are $\alpha_{n+1}b\alpha_0$ and $\alpha_0^{-1}b\alpha_{n+1}^{-1}$. If the first one is in $\langle u \rangle$, the second
 - cannot be in $\langle u \rangle$, because their product $\alpha_{n+1}b^2\alpha_{n+1}^{-1}$ should be in $\langle u \rangle$, but it is not, since it is equal to b^2 in the Abelianization H/[H,H].
 - cannot be in $\langle v \rangle$, because their product $\alpha_{n+1}b^2\alpha_{n+1}^{-1}$ should have the form u^pv^q , that in the Abelianization is equal to $u^p(a^2b^2)^q$, but, as said above, it is b^2 .

Symmetrically, if the first one is in $\langle v \rangle$, the second

- cannot be in $\langle v \rangle$, because their product $\alpha_{n+1}b^2\alpha_{n+1}^{-1}$ should be in $\langle v \rangle$, but it is not, since it is equal to b^2 which is different from $a^2b^2=v$ in the Abelianization.
- cannot be in $\langle u \rangle$, because their product $\alpha_{n+1}b^2\alpha_{n+1}^{-1}$ should have the form v^qu^p , that in the Abelianization is equal to $u^p(a^2b^2)^q$, but, as said above, it is b^2 .

So, suppose $\alpha_{n+1}b\alpha_0$ is in $\langle u \rangle$ or in $\langle v \rangle$, so that we can reduce between the first and the second occurrence of f(y).

We have the following two cases:

(1) the reduction procedure stops somewhere, and we are done, since we have some occurrences of t remaining, at least among the first two occurrences of f(y), getting in this way a contradiction (recall that the HNN length of the cyclically reduced conjugate of the left side of the equation (5.3) is 0);

(2) the procedure goes on until every t in the first two occurrences of f(y) is cancelled, and we remain with the word

$$\alpha_0^{-1} a \alpha_0 d \alpha_{n+1} a \alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots t^{-\varepsilon_0} \alpha_0^{-1} a b a \alpha_{n+1}^{-1} t^{-\varepsilon_n} \alpha_n^{-1} \dots t^{-\varepsilon_0}.$$
where $d \in \langle u \rangle \cup \langle v \rangle$.

This is cyclically reduced, because $\alpha_0^{-1}a\alpha_0d\alpha_{n+1}a\alpha_{n+1}^{-1}=a^2u^p$ or $a^2(a^2b^2)^q$ in the Abelianization, so the above expression neither belongs to $\langle u \rangle$ nor to $\langle v \rangle$. Thus, also in this case we get a contradiction, since we cannot cancel the remaining occurrences of t.

Symmetrically, if $\alpha_0^{-1}b\alpha_{n+1}^{-1}$ belongs to $\langle u\rangle \cup \langle v\rangle$, then at least the occurrences of t in the first two occurrences of f(y) remain, so we get a contradiction as well.

Thus, we can now say that $|f(y)|_{HNN} = 0$, so Claim 5.6 is proved.

Claim 5.7. —
$$f(t) \notin H$$
.

Proof. — Suppose that $f(t) = k \in H$ and let h = f(y). Then, from the equation $f(t)^{-1}uf(t) = f(v)$ we have $k^{-1}uk = ahbhah^{-1}bh^{-1}$, an equation in H that in the Abelianization H/[H,H] becomes $u=a^2b^2$, which is not true, so Claim 5.7 is proved.

To prove next claim, we need the following lemma.

LEMMA 5.8 ([25]). — Let $G = \langle H, t | U^t = V \rangle$ where U and V are cyclic subgroups of G generated respectively by u and v. Suppose that:

- (i) U and V are malnormal in H.
- (ii) $U^h \cap V = 1$ for any $h \in H$.

Let $\alpha, \beta \in H$, $s \in G$ such that $\alpha^s = \beta$, $|s| \ge 1$. Then one of the following cases holds:

- (1) $\alpha = u^{p\gamma}$, $\beta = v^{p\delta}$, $s = \gamma^{-1}t\delta$, where $p \in \mathbb{Z}$ and $\gamma, \delta \in H$. (2) $\alpha = v^{p\gamma}$, $\beta = u^{p\delta}$, $s = \gamma^{-1}t^{-1}\delta$, where $p \in \mathbb{Z}$ and $\gamma, \delta \in H$.

CLAIM 5.9. — There exists $\alpha, \beta \in A$ such that $f(y) = \alpha y^{\varepsilon} \beta$ where $\varepsilon = \pm 1$.

Proof. — Since $f(t) \notin H$ and $f(v) \in H$, by the above lemma f(v) is conjugate to v in H.

First of all, $f(y) \notin A$. Indeed, if $f(y) \in A$ then $f(v) \in A$ which cannot be H-conjugate to v.

Let

$$f(y) = h = h_0 y^{\varepsilon_0} \dots h_n y^{\varepsilon_n} h_{n+1},$$

where $\varepsilon = \pm 1$ and $h_i \in A$; moreover, if $h_i = 1$ then $y^{\varepsilon_{i-1}}y^{\varepsilon_i} \neq 1$.

We obtain that v is a H-conjugate of

$$a(h_0 y^{\varepsilon_0} \dots h_l y^{\varepsilon_l} h_{l+1}) b(h_0 y^{\varepsilon_0} \dots h_l y^{\varepsilon_l} h_{l+1})$$
$$a(h_0 y^{\varepsilon_0} \dots h_l y^{\varepsilon_l} h_{l+1})^{-1} b((h_0 y^{\varepsilon_0} \dots h_l y^{\varepsilon_l} h_{l+1}))^{-1}.$$

By a similar argument to Claim 5.6, we get that the unique possibility is that n = 0.

Claim 5.10. —
$$f \in Aut(F/A)$$
.

Proof. — Immediate from the above lemma and Claim 5.9.
$$\Box$$

CLAIM 5.11. — Either
$$f \upharpoonright H = id_H$$
 or $f(y) = y^{-1}$.

Proof. — By Claim 5.9 and Lemma 5.8, we know that f conjugates v in H and $f(y) = \alpha y^{\varepsilon} \beta$, where $\varepsilon = \pm 1$. Therefore, by comparison of cyclically reduced words, the word

$$aybyay^{-1}by^{-1}$$

is a cyclic permutation of the word

$$\alpha^{-1}a\alpha y^{\varepsilon}\beta b\alpha y^{\varepsilon}\beta a\beta^{-1}y^{-\varepsilon}\alpha^{-1}b\beta^{-1}y^{-\varepsilon}.$$

In both cases $\varepsilon = +1$ and $\varepsilon = -1$, this yields the equations

- $\bullet \ \alpha^{-1}a\alpha = a$
- $\beta b\alpha = b$
- $\beta a \beta^{-1} = a$
- $\alpha^{-1}b\beta^{-1} = b$.

From the first and the third equations, α and β commute with a; so $\alpha = a^p$ and $\beta = a^q$.

From the second equation, we have p = q = 0.

Therefore, if $\varepsilon = +1$, then $f \upharpoonright H$ is the identity, while, if $\varepsilon = -1$, then $f(y) = y^{-1}$. So this last claim and Theorem 5.5 are proved.

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