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THE C^1 INVARIANCE OF THE ALGEBRAIC MULTIPLICITY OF A HOLOMORPHIC VECTOR FIELD

by Rudy ROSAS

ABSTRACT. — We prove that the algebraic multiplicity of a holomorphic vector field at an isolated singularity is invariant by C^1 equivalences.

RÉSUMÉ. — On démontre que la multiplicité algébrique d'une singularité d'un champ de vecteurs holomorphe est invariante par C^1 -équivalences.

1. Introduction

Given a curve $f : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}, 0)$, singular at $0 \in \mathbb{C}^2$, we define its *algebraic multiplicity* as the degree of the first nonzero jet of f , that is, $\nu(f) = \nu$ where

$$f = f_\nu + f_{\nu+1} + \cdots$$

is the Taylor development of f and $f_\nu \neq 0$. A well known result by Burau [2] and Zariski [15] states that ν is a *topological invariant*, that is, given $\tilde{f} : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}^2, 0)$ and a homeomorphism $h : U \rightarrow \tilde{U}$ between neighborhoods of $0 \in \mathbb{C}^2$ such that $h(f^{-1}(0) \cap U) = \tilde{f}^{-1}(0) \cap V$ then $\nu(f) = \nu(\tilde{f})$. Consider now a holomorphic vector field Z in \mathbb{C}^2 with a singularity at $0 \in \mathbb{C}^2$. If

$$Z = Z_\nu + Z_{\nu+1} + \cdots, Z_\nu \neq 0$$

we define $\nu = \nu(Z)$ as the *algebraic multiplicity* of Z . The vector field Z defines a holomorphic foliation by curves \mathcal{F} with isolated singularity in a neighborhood of $0 \in \mathbb{C}^2$ and the algebraic multiplicity $\nu(Z)$ depends only on the foliation \mathcal{F} . A natural question, posed by J.F.Mattei is: is $\nu(\mathcal{F})$ a

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topological invariant of \mathcal{F} ?. In [4], the authors give a positive answer if \mathcal{F} is a *generalized curve*, that is, if the desingularization of \mathcal{F} does not contain complex saddle-nodes. In this work, we consider the problem in dimension $n \geq 2$ and impose conditions on the topological equivalence. Let \mathcal{F} be a holomorphic foliation by curves of a neighborhood U of $0 \in \mathbb{C}^n$ with a unique singularity at $0 \in \mathbb{C}^n$ ($n \geq 2$). We assume that \mathcal{F} is generated by the holomorphic vector field

$$V = \sum_{i=1}^n a_i \frac{\partial}{\partial z_i}, \quad a_i \in \mathcal{O}_U, \quad g.c.d.(a_1, a_2, \dots, a_n) = 1.$$

The algebraic multiplicity of \mathcal{F} (at $0 \in \mathbb{C}^n$) is the minimum vanishing order at $0 \in \mathbb{C}^n$ of the functions a_i . Let $\tilde{\mathcal{F}}$ be another holomorphic foliation by curves of a neighborhood \tilde{U} of $0 \in \mathbb{C}^n$ and let $h : U \rightarrow \tilde{U}$ be a topological equivalence between \mathcal{F} and $\tilde{\mathcal{F}}$, that is, a homeomorphism taking leaves of \mathcal{F} to leaves of $\tilde{\mathcal{F}}$. Let $\pi : \hat{\mathbb{C}}^n \rightarrow \mathbb{C}^n$ be the quadratic blow up with center at $0 \in \mathbb{C}^n$. Clearly the map $h := \pi^{-1} \circ h \circ \pi$ is a homeomorphism between $\pi^{-1}(U \setminus \{0\})$ and $\pi^{-1}(\tilde{U} \setminus \{0\})$. Then we prove the following:

THEOREM 1.1. — *Suppose that h extends to the divisor $\pi^{-1}(0)$ as a homeomorphism between $\pi^{-1}(U)$ and $\pi^{-1}(\tilde{U})$. Then the algebraic multiplicities of \mathcal{F} and $\tilde{\mathcal{F}}$ are the same.*

If h is a C^1 diffeomorphism, we prove that h extends to the divisor. Thus, we obtain that the algebraic multiplicity is invariant by C^1 equivalences:

THEOREM 1.2. — *Let \mathcal{F} and $\tilde{\mathcal{F}}$ be two foliations by curves of neighborhoods U and \tilde{U} of $0 \in \mathbb{C}^n$, $n \geq 2$. Let $h : U \rightarrow \tilde{U}$ be a C^1 equivalence between \mathcal{F} and $\tilde{\mathcal{F}}$, that is, a C^1 diffeomorphism taking leaves of \mathcal{F} to leaves of $\tilde{\mathcal{F}}$. Then the algebraic multiplicities of \mathcal{F} and $\tilde{\mathcal{F}}$ are equal.*

It is known that there exists a unique way of extending the pull back foliation $\pi^*(\mathcal{F}|_{U \setminus \{0\}})$ to a singular analytic foliation \mathcal{F}_0 on $\pi^{-1}(U)$ with singular set of codimension ≥ 2 . We say that \mathcal{F}_0 is the strict transform of \mathcal{F} by π . Let $\tilde{\mathcal{F}}_0$ be the strict transform of $\tilde{\mathcal{F}}$ by π . In order to prove Theorem 1.1 we show that the algebraic multiplicity of \mathcal{F} depends on the Chern class of the tangent bundle of \mathcal{F}_0 . To relate the Chern classes of the tangent bundles of \mathcal{F}_0 and $\tilde{\mathcal{F}}_0$ we use the following theorem (see [7]).

THEOREM 1.3. — *Let \mathcal{F} and $\tilde{\mathcal{F}}$ be foliations by curves on the complex manifolds M and \tilde{M} respectively. Let $c(T\mathcal{F})$ denote the Chern class of the tangent bundle $T\mathcal{F}$ of \mathcal{F} . Let $h : M \rightarrow \tilde{M}$ be a topological equivalence between \mathcal{F} and $\tilde{\mathcal{F}}$ and consider the map $h^* : H^2(M, \mathbb{Z}) \rightarrow H^2(\tilde{M}, \mathbb{Z})$ induced in the cohomology. Then $h^*(c(T\mathcal{F})) = c(T\tilde{\mathcal{F}})$.*

Clearly the homeomorphism $h : \pi^{-1}(U \setminus \{0\}) \rightarrow \pi^{-1}(\tilde{U} \setminus \{0\})$ is a topological equivalence between $\mathcal{F}_0|_{\pi^{-1}(U \setminus \{0\})}$ and $\tilde{\mathcal{F}}_0|_{\pi^{-1}(\tilde{U} \setminus \{0\})}$. To be able to apply Theorem 1.3 we show that h extends as a topological equivalence between \mathcal{F}_0 and $\tilde{\mathcal{F}}_0$. This is the non trivial part of the proof. Thus, we prove the following.

THEOREM 1.4. — *Let V and \tilde{V} be complex manifolds, let $Y \subset V$ and $\tilde{Y} \subset \tilde{V}$ be analytic subvarieties of codimension ≥ 1 and, let \mathcal{F} and $\tilde{\mathcal{F}}$ be holomorphic foliations by curves on V and \tilde{V} respectively. Suppose there is a homeomorphism h between V and \tilde{V} with $h(Y) = \tilde{Y}$ and such that $h|_{V \setminus Y}$ is a topological equivalence between $\mathcal{F}|_{V \setminus Y}$ and $\tilde{\mathcal{F}}|_{\tilde{V} \setminus \tilde{Y}}$. Then h is a topological equivalence between \mathcal{F} and $\tilde{\mathcal{F}}$.*

This paper is organized as follows. In section 2 we prove Theorem 1.4. In section 3 we relate the algebraic multiplicity of the foliation and the Chern class of its strict transform, and prove Theorem 1.1. Finally, section 4 discusses the C^1 case.

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2. An extension theorem

This section is devoted to prove Theorem 1.4. We start with some definitions. Let $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ and $\mathbb{B} = \{z \in \mathbb{C}^{n-1} : \|z\| < 1\}$ where $n \geq 2$. Let M be a complex manifold of complex dimension n and let D be a subset of M homeomorphic to a disc. We say that D is a *singular disc* if for all $x \in D$ there exist a neighborhood \mathcal{D} of x in D , and an injective holomorphic function $f : \mathbb{D} \rightarrow M$ such that $f(\mathbb{D}) = \mathcal{D}$, $f(0) = x$. If $f'(0) = 0$ we say that x is a *singularity* of D , otherwise x is a *regular point* of D (this does not depend on f). The set S of singularities of D is discrete and closed in D and we have that $D \setminus S$ is a complex submanifold of M . Thus, if x is a regular point of D , there is a neighborhood U of x in M and holomorphic coordinates (w, z) , $w \in \mathbb{B}$, $z \in \mathbb{D}$ on U such that $D \cap U$ is represented by $(w = 0)$. If D does not have singularities we say that it is a *regular disc*. In this case, by uniformization, there is a holomorphic map

$f : E \rightarrow M$, where $E = \mathbb{D}$ or \mathbb{C} , such that f is a biholomorphism between E and D .

Example. — Let \mathcal{F} be a holomorphic foliation by curves on the complex manifold M and let $D \subset M$ be a topological disc contained in a leaf of \mathcal{F} . Then D is a regular disc.

The following Lemma will be fundamental in the proof of Theorem 1.4.

LEMMA 2.1. — *Let $F : \mathbb{D} \times [0, 1] \rightarrow \mathbb{C}^n$ be a continuous map such that for all $t \in [0, 1]$, the map $F(*, t) : \mathbb{D} \rightarrow \mathbb{C}^n$ is a homeomorphism onto its image. Thus, we have a continuous family of discs $D_t := F(\mathbb{D} \times \{t\})$. Suppose D_t is a regular disc for each $t > 0$. Then D_0 is a singular disc.*

Proof. — We give a sketch of the proof. Let $p = F(x_0, 0)$ be any point in D_0 . Let $U \subset \mathbb{D}$ be a disc centered at x_0 and such that $\bar{U} \subset \mathbb{D}$. Let $t_k > 0$ be such that $t_k \rightarrow 0$ as $k \rightarrow \infty$ and define $\mathcal{D}_k = F(U \times \{t_k\})$. By uniformization there is a holomorphic map $f_k : \mathbb{D} \rightarrow \mathbb{C}^n$ which is a biholomorphism between \mathbb{D} and \mathcal{D}_k . We may assume that $f_k(0) = F(x_0, t_k)$ for all k and it is well known that f_k extends as a homeomorphism $f_k : \bar{\mathbb{D}} \rightarrow \bar{\mathcal{D}}_k$. By Montel's theorem we can assume that f_k converges uniformly on compact sets to a holomorphic function $f : \mathbb{D} \rightarrow \mathbb{C}^n$, $f(0) = p$. Clearly it is sufficient to show that f is not a constant function ($f \neq p$). Let $\mathbb{S}^1 := \partial\mathbb{D}$ and consider for each k the homeomorphism

$$\varphi_k := f_k|_{\mathbb{S}^1} : \mathbb{S}^1 \rightarrow \partial\mathcal{D}_k.$$

By taking a subsequence if necessary, it is not difficult to see that we may assume that φ_k converges a.e. to a function

$$\varphi : \mathbb{S}^1 \rightarrow \partial\mathcal{D}_0.$$

Fix $x \in \mathbb{D}$. Since $\{\varphi_k\}$ is uniformly bounded, by the dominated convergence theorem we have that

$$(2.1) \quad \frac{1}{2\pi i} \int_{\mathbb{S}^1} \frac{\varphi_k(w)}{w-x} dw \rightarrow \frac{1}{2\pi i} \int_{\mathbb{S}^1} \frac{\varphi(w)}{w-x} dw$$

as $k \rightarrow \infty$. By Cauchy's Integral Formula the left part of (2.1) is equal to $f_k(x)$ and, since $f_k(x) \rightarrow f(x)$, we conclude that

$$(2.2) \quad f(x) = \frac{1}{2\pi i} \int_{\mathbb{S}^1} \frac{\varphi(w)}{w-x} dw.$$

Finally, it is not difficult to prove from this equation that $f \equiv p$ implies $\varphi = p$ a.e., which is a contradiction because $\varphi(\mathbb{S}^1) \subset \partial\mathcal{D}_0$ and $p \notin \partial\mathcal{D}_0$. \square

We now show that Theorem 1.4 is a consequence of the following theorem.

THEOREM 2.2. — *Let \mathcal{F} be a foliation by curves on the complex manifold M . Let $X \subset M$ be an analytic subvariety of codimension ≥ 1 . Suppose that:*

- (i) \mathcal{F} is generated by a holomorphic vector field.
- (ii) There exists a homeomorphism $h : \Sigma \times D \rightarrow M$, where Σ is a ball in \mathbb{C}^{n-1} and D is a disc in \mathbb{C} .
- (iii) If $D_z := h(\{z\} \times D)$ then for all z : either D_z is contained in X , or $D_z \cap X$ is discrete and $D_z \setminus X$ is contained in a leaf of \mathcal{F} .

Then \mathcal{F} is regular and the sets D_z are the leaves of \mathcal{F} .

Proof of Theorem 1.4. — Let p be a point in Y which is regular for \mathcal{F} . Let Σ denote a ball in \mathbb{C}^{n-1} and D a disc in \mathbb{C} . Consider a neighborhood W of p on which \mathcal{F} is a product foliation, that is, $W \simeq \Sigma \times D$ and the sets $\{z\} \times D$ are the leaves of $\mathcal{F}|_W$. We take W small enough such that $\tilde{\mathcal{F}}$ restricted to $M := h(W)$ is generated by a holomorphic vector field. Let X be the intersection between M and \tilde{Y} . We will show that the hypothesis of Theorem 2.2 hold for $\tilde{\mathcal{F}}$ restricted to M . Hypothesis (i) and (ii) of 2.2 evidently hold. Let $D_z = h(\{z\} \times D)$. Then it is easy to see that

ASSERTION 1. — *For all $z \in \Sigma$, either $\{z\} \times D$ is contained in Y , or $S'_z := (\{z\} \times D) \cap Y$ is discrete and closed in $\{z\} \times D$.*

Suppose that D_z is not contained in X . Let $S_z = h(S'_z)$, where S'_z is given by Assertion 1. Then S_z is discrete in D_z . Observe that $(\{z\} \times D) \setminus S'_z$ is contained in a leaf of $\mathcal{F}|_{M \setminus Y}$. Then, since $h|_{M \setminus Y}$ is a topological equivalence between $\mathcal{F}|_{M \setminus Y}$ and $\tilde{\mathcal{F}}|_{\tilde{V} \setminus \tilde{Y}}$, it follows that

$$D_z \setminus S_z = h((\{z\} \times D) \setminus S'_z)$$

is contained in a leaf of $\tilde{\mathcal{F}}$. Thus, hypothesis (iii) of 2.2 holds. Then $\tilde{\mathcal{F}}$ is regular on $M = h(W)$ and every D_z is contained in a leaf of $\tilde{\mathcal{F}}$. Therefore we conclude:

ASSERTION 2. — *If p is a point in Y which is regular for \mathcal{F} , then p is mapped by h to a regular point of $\tilde{\mathcal{F}}$. Moreover, there exists a neighborhood Ω of p in its leaf which is mapped by h onto a neighborhood of $h(p)$ in its leaf.*

Now, by using Assertion 2 for h and h^{-1} , we deduce that p is regular for \mathcal{F} if and only if $h(p)$ is regular for $\tilde{\mathcal{F}}$. Hence

$$h(\text{Sing}(\mathcal{F})) = \text{Sing}(\tilde{\mathcal{F}}).$$

It remains to prove that h maps any leaf of \mathcal{F} onto a leaf of $\tilde{\mathcal{F}}$. Let p be a regular point of \mathcal{F} . Let L be the leaf of \mathcal{F} passing through p and let

\tilde{L} be the leaf of $\tilde{\mathcal{F}}$ passing through $h(p)$. Let A be the set of points in L which are mapped by h into \tilde{L} . By Assertion 2, if $x \in A$ there exists a neighborhood of x in L_p contained in A . Therefore A is open. Now, let $x \notin A$. Then $h(x) \notin \tilde{L}$. Thus, if $L' \neq L$ is the leaf of $\tilde{\mathcal{F}}$ passing through $h(x)$ it follows by Assertion 2 that there exists a neighborhood Ω of x in L which is mapped by h into $L' \neq \tilde{L}$, hence Ω is contained in $L \setminus A$. Then A is also closed and it follows by connectedness that $A = L$, that is, $h(L) \subset \tilde{L}$. Analogously, we prove that $h^{-1}(\tilde{L}) \subset L$. Therefore $h(L) = \tilde{L}$. \square

We proceed now to prove Theorem 2.2.

PROPOSITION 2.3. — *Let \mathcal{F} be a foliation by curves on the complex manifold M . Let $X \subset M$ be an analytic subvariety of codimension ≥ 1 . Suppose that:*

- (i) *There exists a homeomorphism $h : \Sigma \times D \rightarrow M$, where Σ is a ball in \mathbb{C}^{n-1} and D is a disc in \mathbb{C} .*
- (ii) *If $D_z := h(\{z\} \times D)$ then for all z : either D_z is contained in X , or D_z is contained in a leaf of \mathcal{F} .*

Consider $z' \in \Sigma$ and suppose that $D_{z'}$ is a singular disc. Let $S_{z'}$ the set of singularities of $D_{z'}$. Then $D_{z'} \setminus S_{z'}$ is contained in a leaf of \mathcal{F} .

Proof. — It is sufficient to prove the following.

ASSERTION. — *If $p \in D_{z'} \setminus S_{z'}$ then p has a neighborhood in $D_{z'} \setminus S_{z'}$ contained in a leaf of \mathcal{F} .*

Suppose Assertion holds. Let L be a leaf of \mathcal{F} and let $x \in (D_{z'} \setminus S_{z'}) \cap L$. By Assertion, there is a neighborhood Δ of x in $D_{z'} \setminus S_{z'}$ such that $\Delta \subset L$. Then $\Delta \subset (D_{z'} \setminus S_{z'}) \cap L$ and it follows that the intersection of $D_{z'} \setminus S_{z'}$ with any leaf is open in $D_{z'} \setminus S_{z'}$. Then, since $D_{z'} \setminus S_{z'}$ is connected, we have that it is contained in a unique leaf.

Proof of Assertion. — Let p in $D_{z'} \setminus S_{z'}$. Since p is a regular point of the singular disc $D_{z'}$, on a neighborhood $U \subset M$ of p we may consider coordinates (w, y) , $w \in \mathbb{B}$, $y \in \mathbb{D}$ with $p = (0, 0)$ and such that $D_{z'} \cap U$ is represented by $(w = 0)$. Suppose that $p = h(z', t')$. Let Σ' be a ball in Σ containing z' and let D' be a disc in D containing t' . Then $W = \Sigma' \times D'$ is a neighborhood of (z', t') and, by taking W small enough, we assume $h(\overline{W}) \subset U$. Let $D'_z = h(\{z\} \times D')$. Note that $D'_z \subset D_{z'} \cap U$, hence D'_z is contained in $(w = 0)$. Let $g : U \rightarrow \mathbb{D}$ be the projection $g(w, y) = y$. Consider $z \in \Sigma'$ and suppose $D_z \setminus X \neq \emptyset$. By hypothesis (ii), D_z is contained in a leaf of \mathcal{F} . Therefore D'_z is contained in leaf of \mathcal{F} and we have that $g|_{D'_z} : D'_z \rightarrow \mathbb{D}$ is a holomorphic map. Remember that $D'_z \subset (w = 0)$.

Then $g|_{D'_{z'}} : D'_{z'} \rightarrow \mathbb{D}$ is given by $(0, y) \rightarrow y$ and is therefore a one to one map. Then $g(D'_{z'})$ is a disc in \mathbb{D} with $g(\partial D'_{z'})$ as boundary. Note that $p = (0, 0) \in D'_{z'}$, hence 0 is contained in the disc $g(D'_{z'})$. Therefore the curve $g(\partial D'_{z'})$ winds once around 0. By the continuity of h we assume Σ' small enough such that $g(\partial D'_z)$ is homotopic to $g(\partial D'_{z'})$ in $\mathbb{D} \setminus \{0\}$ for all $z \in \Sigma'$. Then $g(\partial D'_z)$ winds once around 0 and $g|_{D'_z}$ has therefore a unique zero. In other words, the plaque D'_z intersects $Y = \mathbb{B} \times \{0\} \subset U$ at a unique point. Thus, we can define the map $f : h(W) \setminus X \rightarrow Y$ by $f(D'_z \setminus X) = D'_z \cap Y$ whenever $D'_z \setminus X \neq \emptyset$. We have that f is holomorphic because it is constant along the leaves and, restricted to any transversal, is a holonomy map. Since f is bounded and X has codimension ≥ 1 , by the generalized Riemann's extension theorem, f extends to a holomorphic function on $h(W)$. Observe that f restricted to Y is the identity map, then f is a submersion in a neighborhood V of Y . Hence f defines a regular foliation \mathcal{N} on V . It is easy to see that \mathcal{N} coincides with \mathcal{F} on $V \setminus X$, thus $\mathcal{N} = \mathcal{F}$. Therefore $p \in Y$ is a regular point of \mathcal{F} .

Now, by reducing the neighborhood $W = \Sigma' \times D'$ of (z', t') , we may assume that $h(W)$ is contained in a neighborhood of p where \mathcal{F} is given by a submersion f . Obviously $D'_{z'}$ is a neighborhood of p in D_z . We shall prove that $D'_{z'}$ is contained in a leaf of \mathcal{F} (the leaf passing through p). If $D'_{z'}$ is not contained in X , so is $D_{z'}$ and, by hypothesis (ii), we have that $D'_{z'}$ is contained in a leaf of \mathcal{F} . On the other hand, suppose that $D'_{z'}$ is contained in X . Then there exists a sequence of points $z_k \rightarrow z'$ such that $h(\{z_k\} \times D)$ is not contained in X , otherwise $h(\Sigma'' \times D) \subset X$ for some neighborhood $\Sigma'' \subset \Sigma$ of z' , which is a contradiction because X has codimension ≥ 1 . Thus, by (ii), we have that D'_{z_k} is contained in a leaf of \mathcal{F} for all k . Recall $D'_{z_k} \subset h(W)$ is contained in a domain where \mathcal{F} is given by the submersion f . Then f is constant over $D'_{z_k} = h(\{z_k\} \times D')$ and in particular, for all $t \in D'$ we have $f(h(z_k, t)) = f(h(z_k, t'))$. Then:

$$\begin{aligned} f(h(z', t)) &= f(h(\lim_{k \rightarrow \infty} z_k, t)) = \lim_{k \rightarrow \infty} f(h(z_k, t)) \\ &= \lim_{k \rightarrow \infty} f(h(z_k, t')) = f(h(\lim_{k \rightarrow \infty} z_k, t')) \\ &= f(h(z', t')). \end{aligned}$$

Therefore, for all $t \in D'$ we have that $h(z', t)$ and $h(z', t')$ are contained in the same leaf. It follows that $D'_{z'}$ is contained in the leaf passing trough $h(z', t')$. Thus, Assertion is proved. □

PROPOSITION 2.4. — *Let \mathcal{F} be a foliation by curves on the complex manifold M such that:*

- (i) \mathcal{F} is generated by a holomorphic vector field.
- (ii) There exists a homeomorphism $h : \Sigma \times D \rightarrow M$, where Σ is a ball in \mathbb{C}^{n-1} and D is a disc in \mathbb{C} .
- (iii) For all z , there is a discrete closed set $S_z \subset D_z := h(\{z\} \times D)$ such that $D_z \setminus S_z$ is contained in a leaf of \mathcal{F} .

Then \mathcal{F} is regular and the sets D_z are the leaves of \mathcal{F} .

The following lemmas are easy consequences of well known facts and we left the proofs to the reader.

LEMMA 2.5. — Let $f : \overline{\mathbb{D}} \rightarrow \mathbb{C}$ be smooth, and holomorphic on \mathbb{D} . Suppose that f is regular on $\mathbb{S}^1 := \overline{\mathbb{D}}$. Then f is a regular map if and only if the curve $f|_{\mathbb{S}^1} : \mathbb{S}^1 \rightarrow \mathbb{C}$ has degree 1⁽¹⁾.

LEMMA 2.6. — Let M be a complex manifold and $D \subset M$ a singular disc. Then there exists a holomorphic injective map $g : E \rightarrow M$, where $E = \mathbb{D}$ or \mathbb{C} , such that $g(E) = D$.

LEMMA 2.7. — Let $\mathcal{D} \subset \mathbb{C}^n$ be a set homeomorphic to a disc such that for some point $p \in \mathcal{D}$ the annulus $\mathcal{D} \setminus \{p\}$ is a complex submanifold. Then \mathcal{D} is a singular disc.

Proof of Proposition 2.4. —

ASSERTION 1. — For all z , we have that D_z is a singular disc and the sets $D_z \setminus \text{Sing}(\mathcal{F})$ are the nonsingular leaves of \mathcal{F} .

Proof. — Let $x \in D_z$. Since S_z is a discrete closed subset of D_z , there is a disc $\mathcal{D} \subset D_z$ with $x \in \mathcal{D}$ such that $\mathcal{D} \setminus \{x\} \subset D_z \setminus S_z$. Then, from hypothesis (iii), $\mathcal{D} \setminus \{x\}$ is contained in a leaf of \mathcal{F} . If \mathcal{D} is small enough, we may think that \mathcal{D} is contained in \mathbb{C}^n . Hence, by applying Lemma 2.7, there exists a holomorphic injective map $g : \mathbb{D} \rightarrow M$ with $g(\mathbb{D}) = \mathcal{D}$. Since that $x \in D_z$ was arbitrary, it follows that D_z is a singular disc.

Let L be a leaf of \mathcal{F} and suppose that $x \in L \cap (D_z \setminus \text{Sing}(\mathcal{F}))$ for some z . Take $\mathcal{D} \subset D_z$ as above. We assume \mathcal{D} small enough such that it is contained in a neighborhood U of x where \mathcal{F} is trivial and given by the submersion f . Then $\mathcal{D} \setminus \{x\}$ is contained in a leaf of $\mathcal{F}|_U$ and f is therefore constant over $\mathcal{D} \setminus \{x\}$. Hence, by continuity, f is constant over \mathcal{D} . Then \mathcal{D} is contained in a leaf of $\mathcal{F}|_U$ and we have therefore $\mathcal{D} \subset L$. Thus we have $\mathcal{D} \subset L \cap (D_z \setminus \text{Sing}(\mathcal{F}))$. It follows that $L \cap (D_z \setminus \text{Sing}(\mathcal{F}))$ is an open subset of both L and $D_z \setminus \text{Sing}(\mathcal{F})$ for all L and z . Now, fix a leaf L .

⁽¹⁾The degree of a parameterized regular curve in the plane is defined as the winding number around 0 of its velocity vector.

Since the intersection of L with any $D_z \setminus \text{Sing}(\mathcal{F})$ is open in L , it follows by connectedness that L is contained in a unique $D_z \setminus \text{Sing}(\mathcal{F})$. For this $D_z \setminus \text{Sing}(\mathcal{F})$, we also have that its intersection with any leaf is open in $D_z \setminus \text{Sing}(\mathcal{F})$. Again by connectedness $D_z \setminus \text{Sing}(\mathcal{F})$ is contained in a unique leaf, thus we necessarily have that $D_z \setminus \text{Sing}(\mathcal{F}) = L$. Therefore Assertion 1 is proved.

Fix $p \in M$. We have $p \in D_{z'}$ for some $z' \in \Sigma$. Take p' in $D_{z'} \setminus S_{z'}$. From hypothesis (iii), p' is a regular point of \mathcal{F} . We have $p' = h(z', t')$ with $t' \in D$. If $B \subset \Sigma$ is a ball containing z' , then $\Sigma_0 := B \times \{t'\}$ is a $(n - 1)$ ball passing through (z', t') . We assume B small enough such that $\bar{\Sigma}_0$ is mapped by h into a neighborhood W of p' where \mathcal{F} is equivalent to a product foliation. Let $\tilde{\Sigma}$ (submanifold of W) be a global transversal to $\mathcal{F}|_W$. If w is a point contained in $\overline{h(\Sigma_0)}$, the leaf of $\mathcal{F}|_W$ passing through it intersects $\tilde{\Sigma}$ in a unique point $\psi(w)$. We claim that ψ is a homeomorphism of $h(\Sigma_0)$ onto its image. Since $\overline{h(\Sigma_0)}$ is compact, it suffices to prove that ψ is injective on $\overline{h(\Sigma_0)}$. Suppose that w_1 and w_2 are two points in $\overline{h(\Sigma_0)}$ contained in the same leaf L of $\mathcal{F}|_W$. From Assertion 1, we have that $L \subset D_z$ for some z . Then $h^{-1}(L) \subset \{z\} \times D$, hence $h^{-1}(w_1)$ and $h^{-1}(w_2)$ are two different points in the intersection of $(z \times D)$ with $\bar{\Sigma}_0$, which is a contradiction because $\bar{\Sigma}_0 \subset \Sigma \times \{t'\}$ intersects $(z \times D)$ only at (z, t') .

If we redefine $\tilde{\Sigma}$ as $\tilde{\Sigma} = \psi(h(\Sigma_0))$, it follows from above that for all $z \in B$, D_z intersects $\tilde{\Sigma}$ at the unique point $\psi(h(z, t_0))$. Thus we may define the map

$$g : V = h(B \times \mathbb{D}) \rightarrow \tilde{\Sigma},$$

$$g(D_z) = D_z \cap \tilde{\Sigma}.$$

By Assertion 1, each leaf of \mathcal{F} is contained in some D_z . Then g is constant along the leaves. Therefore, since the restriction of g to any transversal is a holonomy map, we have that g is holomorphic on $V \setminus \text{Sing}(\mathcal{F})$. Actually, since $\text{Sing}(\mathcal{F})$ has codimension ≥ 2 , g is holomorphic on V .

Consider $x \in \tilde{\Sigma} \setminus g(\text{Sing}(\mathcal{F}))$. Then $D = g^{-1}(x)$ does not intersect $\text{Sing}(\mathcal{F})$. Clearly D is equal to some D_z . Then, by Assertion 1, $D \setminus \text{Sing}(\mathcal{F}) = D$ is a leaf of \mathcal{F} . Thus, we conclude that for all $x \in \tilde{\Sigma} \setminus g(\text{Sing}(\mathcal{F}))$, the leaf passing through x is simply connected. Moreover, since $\text{Sing}(\mathcal{F})$ has codimension ≥ 2 , we have that $g(\text{Sing}(\mathcal{F}))$ has codimension ≥ 1 in $\tilde{\Sigma}$ and we have therefore that:

ASSERTION 2. — *For all x in a dense subset of $\tilde{\Sigma}$, the leaf passing through x is simply connected.*

Let Z be a holomorphic vector field which generates \mathcal{F} on V and φ the local complex flow of Z . Let L be a leaf of $\mathcal{F}|_V$ and let x_L be its intersection with $\tilde{\Sigma}$ ($g(L) = \{x_L\}$). There exists $\varepsilon_L > 0$ such that $\varphi(x_L, *)$ maps the disc $|t| < \varepsilon_L$ biholomorphically onto a neighborhood D_L of x_L in L . Thus, given any x in D_L there exists a unique $\tau_L(x)$ with $|\tau_L(x)| < \varepsilon_L$ such that $\varphi(x_L, \tau_L(x)) = x$. The function $\tau_L : D_L \rightarrow \mathbb{C}$ is the complex time between x_L and x . Clearly τ_L is holomorphic on D_L .

ASSERTION 3. — *The function τ_L can be analytically continued on L along any path $\gamma : [0, 1] \rightarrow L$ with $\gamma(0) = x_L$.*

Proof. — Since γ does not intersect $\text{Sing}(\mathcal{F})$ there exists $\delta > 0$ such that for all x in $\gamma([0, 1])$, the map $\varphi(x, *)$ is a biholomorphism between $\mathbb{D}_{2\delta}$ and its image. Denote x_L by x_0 and let $0 = s_0 < s_1 < \dots < s_r = 1$ and $x_1 = \gamma(s_1), \dots, x_r = \gamma(s_r)$ be such that:

- (i) The open sets $\varphi(x_i, \mathbb{D}_\delta)$ for $i = 0, \dots, r$ cover $\gamma([0, 1])$.
- (ii) x_i is contained in $\varphi(x_{i-1}, \mathbb{D}_\delta)$ for $i = 1, \dots, r$.

For each $i = 0, \dots, r$ let $\tau'_i : \varphi(x_i, \mathbb{D}_{2\delta}) \rightarrow \mathbb{D}_{2\delta}$ be defined by $\varphi(x_i, \tau'_i(x)) = x$. Let $x \in \varphi(x_{i-1}, \mathbb{D}_\delta) \cap \varphi(x_i, \mathbb{D}_\delta)$. Let $t_i = \tau'_{i-1}(x_i)$ for $i = 1, \dots, r$ and define $t_0 = 0$. Clearly, $|t_i|$ and $|\tau'_i(x)|$ are less than δ , hence $|t_i + \tau'_i(x)| < 2\delta$ and we have that

$$\begin{aligned} \varphi(x_{i-1}, t_i + \tau'_i(x)) &= \varphi(\varphi(x_{i-1}, t_i), \tau'_i(x)) \\ &= \varphi(\varphi(x_{i-1}, \tau'_{i-1}(x_i)), \tau'_i(x)) \\ &= \varphi(x_i, \tau'_i(x)) \\ &= x. \end{aligned}$$

Then, by definition of τ'_{i-1} we obtain:

$$(2.3) \quad t_i + \tau'_i(x) = \tau'_{i-1}(x).$$

For each $i = 1, \dots, r$ let τ_i be the holomorphic function on $\varphi(x_i, \mathbb{D}_\delta)$ defined by

$$\tau_i = \tau'_i + t_0 + \dots + t_i.$$

By using (2.3) we deduce that $\tau_{i-1} = \tau_i$ on $\varphi(x_{i-1}, \mathbb{D}_\delta) \cap \varphi(x_i, \mathbb{D}_\delta)$. Moreover, it follows from the definition that τ_0 is equal to τ_L in a neighborhood of $x_0 = x_L$. Therefore, τ_0, \dots, τ_r give an analytic continuation of τ_L along γ .

ASSERTION 4. — *Let L be any leaf of $\mathcal{F}|_V$ and let $\gamma', \gamma'' : [0, 1] \rightarrow L$ be paths such that $\gamma'(0) = \gamma''(0) = x_L$ and $\gamma'(1) = \gamma''(1) = x \in L$. Let τ'_L be the analytic continuation of τ_L along γ' and let τ''_L be the analytic continuation of τ_L along γ'' . Then $\tau'_L(x) = \tau''_L(x)$. Thus, τ_L extends as a*

holomorphic function on L . Therefore we may define $\tau : V \setminus \text{Sing}(\mathcal{F}) \rightarrow \mathbb{C}$ by $\tau = \tau_L$ on L . Then τ is holomorphic on $U \setminus \text{Sing}(\mathcal{F})$ and extends to U because $\text{Sing}(\mathcal{F})$ has codimension ≥ 2 . Moreover, if restricted to a leaf, τ is a regular map. In particular, τ is a submersion on $U \setminus \text{Sing}(\mathcal{F})$.

Proof. — Fix L and denote x_L by x_0 . Let $0 = s_0 < \dots < s_r = 1$, let $\Sigma_0, \dots, \Sigma_r$ be transversals to the foliation at the points $x_0, x_1 = \gamma(s_1), \dots, x_r = \gamma(s_r)$ respectively, and let $\delta > 0$ with the following properties:

- (i) $\Sigma_0 \subset \tilde{\Sigma}$.
- (ii) The flow φ maps $\Sigma_i \times \mathbb{D}_{2\delta}$ biholomorphically onto its image, for all $i = 0, \dots, r$.
- (iii) The transversal Σ_i is contained in $\varphi(\Sigma_{i-1} \times \mathbb{D}_\delta)$, for all $i = 1, \dots, r$.
- (iv) For all $i = 1, \dots, r$ we have that $\Sigma_i = h_i(\Sigma_0)$, where h_i is the holonomy map along γ .

Denote by V' the union of the sets $\varphi(\Sigma_i \times \mathbb{D}_\delta)$ for $i = 0, \dots, r$. Consider $x \in V'$ and let L_x be the leaf passing through x . Let $k \in \{0, \dots, r\}$ be such that $x \in \varphi(\Sigma_k \times \mathbb{D}_\delta)$. Then L_x intersects Σ_k and it follows from hypothesis (iv) that L_x intersects each Σ_i . Since $\Sigma_0 \subset \tilde{\Sigma}$ we have that L_x intersects Σ_0 in a unique point and, by (iv), the same holds for each Σ_i . Then we may define $\rho_i : V' \rightarrow \Sigma_i$ such that $\rho_i(x)$ is the point of intersection between L_x and Σ_i . Let $\tau'_i(x) \in \mathbb{D}_\delta$ be defined by $\varphi(\rho_i(x), \tau'_i(x)) = x$. Since $\rho_i(x) \in \Sigma_i$, by hypothesis (iii) we have that $\rho_i(x) \in \varphi(\Sigma_{i-1} \times \mathbb{D}_\delta)$ for $i = 1, \dots, r$. Then for $i = 1, \dots, r$ we may define $t_i : V' \rightarrow \mathbb{D}_\delta$ as $t_i = \tau'_{i-1} \circ \rho_i$. Define $t_0 : V' \rightarrow \mathbb{D}_\delta$ as the zero function. Clearly, ρ_i, τ_i and t_i are holomorphic functions. We proceed as in the proof of Assertion 3. Let $x \in \varphi(\Sigma_i \times \mathbb{D}_\delta) \cap \varphi(\Sigma_{i-1} \times \mathbb{D}_\delta)$. Since $|t_i(x)|$ and $|\tau'_i(x)|$ are less than δ , then $|t_i(x) + \tau'_i(x)| < 2\delta$. Thus, by hypothesis (ii), $\varphi(\rho_{i-1}(x), t_i(x) + \tau'_i(x))$ is well defined and:

$$\begin{aligned} \varphi(\rho_{i-1}(x), t_i(x) + \tau'_i(x)) &= \varphi(\varphi(\rho_{i-1}(x), t_i(x)), \tau'_i(x)) \\ &= \varphi(\varphi(\rho_{i-1}(x), \tau'_{i-1} \circ \rho_i(x)), \tau'_i(x)) \\ &= \varphi(\rho_i(x), \tau'_i(x)) \\ &= x. \end{aligned}$$

Then by definition of τ'_{i-1} we deduce that

$$t_i(x) + \tau'_i(x) = \tau'_{i-1}(x).$$

Thus, the holomorphic functions on $\varphi(\Sigma_i \times \mathbb{D}_\delta)$ defined as

$$(2.4) \quad \tau_i(x) = \tau'_i(x) + t_0(x) + \dots + t_i(x)$$

for each $i = 0, \dots, r$ are such that

$$\tau_i = \tau_{i-1}$$

on $\varphi(\Sigma_i \times \mathbb{D}_\delta) \cap \varphi(\Sigma_{i-1} \times \mathbb{D}_\delta)$. Observe that for any leaf L' , the restriction $\tau_0|_{L'}$ coincides with $\tau_{L'}$ on a neighborhood of $x_{L'}$. Then $\tau_0|_{L'}, \dots, \tau_r|_{L'}$ give an analytic continuation of $\tau_{L'}$. Thus, $\tau_r|_L$ is the analytic continuation of τ_L along γ' , hence $\tau_r(x) = \tau'_L(x)$. We denote τ_r by τ' . Analogously we construct τ'' for γ'' . Then we have that $\tau''|_{L'}$ is an analytic continuation of $\tau_{L'}$ and, $\tau''|_L$ is the analytic continuation of τ_L along γ'' , hence $\tau''(x) = \tau_L(x)$. By Assertion 2, we may take a sequence $\{x_k\}$ of points in Σ_0 with $x_k \rightarrow x$ as $k \rightarrow \infty$ and such that the leaf L_k passing through x_k is simply connected for all k . From above $\tau'|_{L_k}$ and $\tau''|_{L_k}$ are analytic continuations of τ_{L_k} . Since L_k is simply connected and, by Assertion 2, τ_{L_k} has an analytic continuation along any path, then $\tau'|_{L_k}$ and $\tau''|_{L_k}$ coincide on a neighborhood of x_k . In particular, $\tau'(x_k) = \tau''(x_k)$. Making $k \rightarrow \infty$ it follows by continuity that $\tau'(x) = \tau''(x)$, that is, $\tau'_L(x) = \tau''_L(x)$. Therefore, τ_L extends to L .

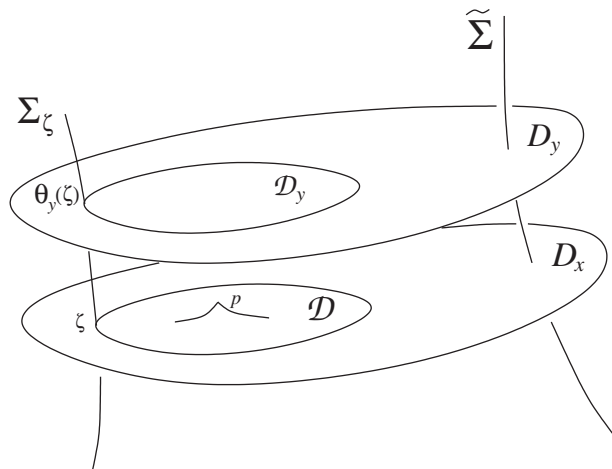
We define $\tau : V \setminus \text{Sing}(\mathcal{F}) \rightarrow \mathbb{C}$ by $\tau|_L = \tau_L$. From above, τ coincides with the holomorphic function τ' on a neighborhood of the point x (arbitrary point). Then τ is holomorphic. Finally, remember (equation 2.4) that on a neighborhood of any non singular point, τ is expressed as

$$\tau_r(x) = \tau'_r(x) + t_0(x) + \dots + t_r(x).$$

If we restrict x to a leaf, the first term of the sum above is a regular map and the other terms are constants. Hence τ is a regular map of any leaf. This finishes the proof of Assertion 4.

Given $x \in \tilde{\Sigma}$, we know that $g^{-1}(x)$ is equal to D_z for some z . We denote $g^{-1}(x)$ by D_x . Thus, we have $p \in D_x$ for $x = g(p)$. It follows from hypothesis (iii) that there is a disc $\mathcal{D}' \subset D_x$ containing p such that $\mathcal{D}' \setminus \{p\}$ is contained in a leaf. Lemma 2.7 implies that there is a holomorphic bijective map $f : \Omega \rightarrow \mathcal{D}'$, $f(0) = p$, where $\Omega \subset \mathbb{C}$ is a disc containing \mathbb{D} . Thus if $\mathcal{D} = f(\mathbb{D})$, we have that $f : \mathbb{D} \rightarrow \mathcal{D}$ is holomorphic and regular on $\mathbb{D} \setminus \{0\}$. Since $\mathcal{D} \setminus \{p\}$ is contained in a leaf and by Assertion 3 we have that τ is a submersion on $U \setminus \text{Sing}(\mathcal{F})$, then there exists a neighborhood V of $\partial\Delta$ on which τ defines a foliation by transversal balls along $\partial\Delta$. If we denote by Σ_ζ the transversal passing through $\zeta \in \partial\Delta$ we have that τ is constant along Σ_ζ . Recall that $y \in \tilde{\Sigma}$ is the unique point in the intersection of D_y and $\tilde{\Sigma}$. It follows from the transversal uniformity of the foliation that if $y \in \tilde{\Sigma}$ is close to x then D_y intersects only one time each transversal Σ_ζ . Let $\theta_y(\zeta)$ be the intersection of D_y with Σ_ζ . Since $\theta_y(\zeta)$ and ζ are both contained in

Σ_ζ , we have that $\tau(\theta_y(\zeta)) = \tau(\zeta)$ for all $\zeta \in \partial\Delta$. Note that $\theta_y := \theta_y(\partial\Delta)$ is a smooth Jordan curve in D_y . By Assertion 2, we may choose y such that D_y is a leaf. We consider $\mathcal{D}_y \subset D_y$, the regular disc bounded by θ_y .



Let $f_y : \mathbb{D} \rightarrow \mathcal{D}_y$ be a uniformization map. Since θ_y is a smooth Jordan curve, f_y extends as a diffeomorphism $f_y : \overline{\mathbb{D}} \rightarrow \overline{\mathcal{D}_y}$ (see [14], p.323). By Assertion 3, we have that τ is regular on $\overline{\mathcal{D}_y}$. It follows that $\tau \circ f_y : \overline{\mathbb{D}} \rightarrow \mathbb{C}$ is a regular map. Therefore, by Lemma 2.5, the curve $\tau \circ f_y : \mathbb{S}^1 \rightarrow \mathbb{C}$ has degree 1. Remember that $\tau(\theta_y(\zeta)) = \tau(\zeta)$ for all $\zeta \in \partial\Delta$, thus $\tau(\partial\mathcal{D}_y) = \tau(\partial\mathcal{D})$. Then

$$\tau \circ f_y(\mathbb{S}^1) = \tau(\partial\mathcal{D}_y) = \tau(\partial\mathcal{D}) = \tau \circ f(\mathbb{S}^1).$$

Therefore $\tau \circ f : \mathbb{S}^1 \rightarrow \mathbb{C}$ is only a reparametrization of $\tau \circ f_y : \mathbb{S}^1 \rightarrow \mathbb{C}$, hence $\tau \circ f : \mathbb{S}^1 \rightarrow \mathbb{C}$ is regular and has degree 1. Again by Lemma 2.5, $\tau \circ f : \mathbb{D} \rightarrow \mathbb{C}$ is also a regular map and in particular, $\tau \circ f$ is locally injective. Therefore there exists a disc $U \subset \mathbb{D}$, centered at 0, such that $\tau \circ f$ is injective on \overline{U} . Then

$$\tau \circ f(\partial U)$$

is a Jordan curve in \mathbb{C} . We also denote $f(U)$ by \mathcal{D} . Again, let Σ_ζ be the transversal ball through $\zeta \in \partial\mathcal{D}$. Proceeding as above, if Σ' is a small enough ball in $\tilde{\Sigma}$ containing $x = g(p)$, we obtain that for all $y \in \Sigma'$ the set D_y intersects each Σ_ζ at the unique point $\theta_y(\zeta)$. Thus we have the Jordan curve θ_y in D_y such that $\tau(\theta_y) = \tau(\partial\mathcal{D})$. Remember that $\tau(\partial\mathcal{D}) = \tau \circ f(\partial U)$ is a Jordan curve in \mathbb{C} . It follows that $\tau(\theta_y)$ is Jordan curve in \mathbb{C} for all y . Let $\mathcal{D}_y \subset D_y$ be the disc bounded by θ_y . Since D_y is a singular disc, by Lemma 2.6, there is an injective holomorphic map $f_y : E \rightarrow M$, where

$E = \mathbb{D}$ or \mathbb{C} , such that $f_y(E) = D_y$. Let $\Omega_y \subset E$ be such that $f_y(\Omega_y) = \mathcal{D}_y$. Clearly Ω_y is a disc and $f_y(\partial\Omega_y) = \partial\mathcal{D}_y$. Then

$$\tau \circ f_y(\partial\Omega_y) = \tau(\partial\mathcal{D}_y)$$

is, from above, a Jordan curve in \mathbb{C} . Hence we deduce that the holomorphic function $\tau \circ f_y : \Omega_y \rightarrow \mathbb{C}$ is injective on $\overline{\Omega}_y$. Thus, since f_y is injective, we conclude that

$$\tau : \overline{\mathcal{D}}_y \rightarrow \mathbb{C}$$

is injective for all $y \in \Sigma'$.

Denote by W the union of the discs \mathcal{D}_y for all $y \in \Sigma'$. It is easy to see that W is a neighborhood of p . Define

$$F : \overline{W} \rightarrow \tilde{\Sigma} \times \mathbb{C}$$

$$F(w) = (g(w), \tau(w))$$

ASSERTION 5. — F is a biholomorphism between W and its image.

Proof. — Clearly F is holomorphic on W . We shall prove that F is injective on \overline{W} . Suppose $F(w) = F(w')$. Then $g(w) = g(w') = y$, hence $w, w' \in D_y$ and, since $\overline{W} \cap D_y = \overline{\mathcal{D}}_y$, we have $w, w' \in \overline{\mathcal{D}}_y$. On the other hand $\tau(w) = \tau(w')$ and since τ is injective on $\overline{\mathcal{D}}_y$ we conclude that $w = w'$. Now, since \overline{W} is compact, F is a homeomorphism onto its image and it follows that F is a biholomorphism.

Now, we will prove that $p \in W$ is regular for \mathcal{F} . Let \mathcal{N} be the regular foliation on $\tilde{\Sigma} \times \mathbb{C}$ whose leaves are the sets $\{*\} \times \mathbb{C}$. Let \mathcal{F}' be the pull-back foliation of \mathcal{N} by the biholomorphism F . Then \mathcal{F}' is regular and it is easy to see that \mathcal{F}' coincides with \mathcal{F} out on a open set of W (out of $\text{Sing}(\mathcal{F})$). Then $\mathcal{F}' = \mathcal{F}$ on W and \mathcal{F} is therefore regular at p . Since $p \in U$ was arbitrary, we have proved that $\text{Sing}(\mathcal{F})$ is empty. Then, from Assertion 1, the sets D_z are the leaves of \mathcal{F} . The proof of Proposition 2.4 is complete. \square

Proof of Theorem 2.2. —

ASSERTION 1. — Let $z \in \Sigma$ such that D_z is not contained in X . Then D_z is contained in a leaf of \mathcal{F} .

Proof. — Take $t_0 \in D_z$ such that $h(z, t_0) \notin X$. Since X is closed in M , if Σ' is a small enough neighborhood (ball) of z in Σ , we have that $h(z', t_0) \notin X$ for all $z' \in \Sigma'$. Hence, for all $z' \in \Sigma'$ we have that $D_{z'}$ is not contained in X . Then, by hypothesis (ii), $S_{z'} := D_{z'} \cap X$ is discrete and $D_{z'} \setminus S_{z'}$ is contained in a nonsingular leaf of \mathcal{F} . Therefore, \mathcal{F} restricted to $M' := h(\Sigma' \times D)$ satisfies the hypothesis of Proposition 2.4 and we have therefore that D_z is contained in a leaf of \mathcal{F} .

ASSERTION 2. — *Let $z \in \Sigma$ such that D_z is contained in X . Then D_z is a singular disc.*

Proof. — Let $x \in D_z$, $x = h(z, t)$. Let $\Sigma' \subset \Sigma$ be a neighborhood (a ball) of z and $D' \subset D$ be a neighborhood (a disc) of t . If Σ' and D' are small enough, we may assume that $M' := h(\Sigma' \times D')$ is a domain in \mathbb{C}^n . Since X has codimension ≥ 1 , there is a path $x_s = h(z_t, t_s)$ in M' such that $x_0 = x$ and $x_s \notin X$ for all $s > 0$. Then $D_s := D_{z_s}$ is not contained in X for all $s > 0$ and it follows by Assertion 1 that D_s is contained in a leaf. Hence D_s is a regular disc for all $s > 0$. Then, we may apply Lemma 2.1 to the family of discs D_s and conclude that $D_z = D_0$ is a singular disc.

ASSERTION 3. — *Let z be such that $D_z \subset X$. Let S_z be the set of singularities of the singular disc D_z . Then $D_z \setminus S_z$ is contained in a leaf of \mathcal{F} .*

Proof. — By Assertion 2, if D_z is not contained in X we have that D_z is contained in a leaf of \mathcal{F} . Therefore, the hypothesis of Proposition 2.3 holds for \mathcal{F} and Assertion 3 follows.

Let z be such that D_z is not contained in X . By hypothesis (iii) of 2.2, we have that $S_z := D_z \cap X$ is discrete and $D_z \setminus S_z$ is contained in a leaf of \mathcal{F} . From this and Assertion 3 we conclude: for all z there is a discrete set S_z such that $D_z \setminus S_z$ is contained in a leaf of \mathcal{F} . Therefore the hypothesis of Proposition 2.4 holds and Theorem 2.2 follows. □

3. The algebraic multiplicity and the Chern class of the tangent bundle of the strict transform

Let $\mathcal{F}_0, \tilde{\mathcal{F}}_0$ and h as in §1.

PROPOSITION 3.1. — *If h extends to the divisor as a homeomorphism between $\pi^{-1}(U)$ and $\pi^{-1}(\tilde{U})$, then the extension also denoted by h is a topological equivalence between \mathcal{F}_0 and $\tilde{\mathcal{F}}_0$.*

Proof. — Is a direct application of Theorem 1.4. □

Proof of Theorem 1.1. — Suppose that \mathcal{F} is generated on U by the holomorphic vector field

$$V = \sum_{i=1}^n a_i \frac{\partial}{\partial z_i}, \quad a_i \in \mathcal{O}_U, \quad g.c.d.(a_1, a_2, \dots, a_n) = 1.$$

For each $j = 1, 2, \dots, n$, let $U_j = (x_j \neq 0)$ and $U'_j = \pi^{-1}(U_j)$. Let $V_j = \pi^*(V|_{U_j})$. If (x_1^j, \dots, x_n^j) are coordinates on U'_j such that

$$\pi(x_1^j, \dots, x_n^j) = (x_j^j x_1^j, \dots, x_j^j, \dots, x_j^j x_n^j),$$

then

$$V_j = a_j \frac{\partial}{\partial x_j^j} + \sum_{i=1, i \neq j}^n \frac{a_i - x_i^j a_j}{x_j^j} \frac{\partial}{\partial x_i^j},$$

where $a_i = a_i \circ \pi$ for $i = 1, \dots, n$. On U'_j , \mathcal{F}_0 is defined by the vector field

$$W_j = \frac{1}{(x_j^j)^{r-\xi}} V_j,$$

where r is the algebraic multiplicity of V at $0 \in \mathbb{C}^n$ and $\xi = 1$ or 0 depending on the divisor being invariant or not by \mathcal{F}_0 . Evidently $V_i = V_j$ on $U'_i \cap U'_j$. Then

$$W_i = \left(x_j^j/x_i^i\right)^{r-\xi} W_j \quad \text{on } U'_i \cap U'_j.$$

It follows from this equation that the tangent bundle $T\mathcal{F}_0$ of \mathcal{F}_0 is isomorphic to $L^{\xi-r}$, where L is the line bundle associated to the divisor $E = \pi^{-1}(0)$. Then the Chern class $c(T\mathcal{F}_0)$ of $T\mathcal{F}_0$ is equal to $(\xi - r)c(L)$. It is natural consider E as an element in $H_{n-2}(U', \mathbb{Z})$, where $U' = \pi^{-1}(U)$. We know that $c(L)$ is equal to $d(E) \in H^2(U', \mathbb{Z})$, the dual of E . Therefore

$$c(T\mathcal{F}_0) = (\xi - r)d(E).$$

On the other hand, make $\tilde{U}' = \pi^{-1}(\tilde{U})$ and observe that the divisor E is invariant by \mathcal{F}_0 if and only if it is by $\tilde{\mathcal{F}}_0$. Then analogously we have

$$c(T\tilde{\mathcal{F}}_0) = (\xi - \tilde{r})\tilde{d}(E),$$

where \tilde{r} is the algebraic multiplicity of $\tilde{\mathcal{F}}$ and $\tilde{d}(E) \in H^2(\tilde{U}', \mathbb{Z})$ is the dual of E . By Proposition 3.1 we have that $h : U' \rightarrow \tilde{U}'$ is a topological equivalence between \mathcal{F}_0 and $\tilde{\mathcal{F}}_0$. Then Theorem 1.3 implies that

$$(3.1) \quad (\xi - r)h^*(d(E)) = (\xi - \tilde{r})\tilde{d}(E).$$

We may assume that U is a ball in \mathbb{C}^n . Thus, we have that U' is a tubular neighborhood of E and therefore $H^2(U', \mathbb{Z}) \simeq \mathbb{Z}$. Since the cohomology is invariant by homeomorphisms, we also have $H^2(\tilde{U}', \mathbb{Z}) \simeq \mathbb{Z}$. Can be proved that $d(E)$ and $\tilde{d}(E)$ are generators of $H^2(U', \mathbb{Z})$ and $H^2(\tilde{U}', \mathbb{Z})$ respectively. Then we have that $h^*(d(E)) = \tilde{d}(E)$ or $h^*(d(E)) = -\tilde{d}(E)$. By using this in (3.1) we obtain $r = \tilde{r}$ or $r + \tilde{r} = 2\xi$. The second possibility implies $r = \tilde{r} = \xi$, since $r \geq 1$, $\tilde{r} \geq 1$ and $\xi = 1$ or 0 . Therefore we conclude that $r = \tilde{r}$. □

Remark. — Under the hypothesis of Theorem 1.1, we have another invariants. The restriction of \mathcal{F}_0 to the divisor is a foliation with $\text{Sing}(\mathcal{F}_0)$ as singular set. It is well known that this foliation coincides out of the singular set with a unique foliation \mathcal{N} of codimension ≥ 2 in the divisor (the saturated foliation). We will say that \mathcal{N} is the foliation induced by \mathcal{F}_0 in the divisor. Let $\tilde{\mathcal{N}}$ be the foliation induced by $\tilde{\mathcal{F}}_0$ in the divisor. It follows from Theorem 1.4 that \mathcal{N} and $\tilde{\mathcal{N}}$ are topologically equivalent. Thus, since the divisor is isomorphic to \mathbb{P}^{n-1} , Theorem 1.3 implies that $d(\mathcal{N}) = d(\tilde{\mathcal{N}})$. In other words, the degree of the foliation induced in the divisor is invariant.

From above, \mathcal{F}_0 is generated by the holomorphic vector fields W_i and

$$W_i = \left(x_j^j/x_i^i\right)^{r-\xi} W_j \quad \text{on } U'_i \cap U'_j,$$

where $\xi = 1$ or 0 . Let $x \in U'_i \cap U'_j$. Let $x^i = (x_1^i, \dots, x_n^i)$ be the coordinates of x in U'_i and let $x^j = (x_1^j, \dots, x_n^j)$ be the coordinates of x in U'_j . Since $\pi(x^i) = \pi(x^j)$, we have that

$$(x_1^i x_1^i, \dots, x_i^i, \dots, x_i^i x_n^i) = (x_j^j x_1^j, \dots, x_j^j, \dots, x_j^j x_n^j),$$

hence $x_j^j/x_i^i = x_j^j$. Replacing in last equation we obtain:

$$(3.2) \quad W_i = (x_j^j)^{r-\xi} W_j \quad \text{on } U'_i \cap U'_j.$$

Observe that $\pi^{-1}(0) \cap U'_i$ is represented by $(x_i^i = 0)$. Recall that $\pi^{-1}(0)$ is canonically isomorphic to \mathbb{P}^{n-1} . A point p in $\pi^{-1}(0) \cap U'_i$ given by

$$(x_1^i(p), \dots, 0_i, \dots, x_n^i(p))$$

is represented in homogeneous coordinates by

$$[z_1 : \dots : z_n](p) = [x_1^i(p) : \dots : 1_i : \dots : x_n^i(p)],$$

hence $x_j^j(p) = (z_j/z_i)(p)$. Thus, if $\mathcal{U}_i = U'_i \cap \pi^{-1}(0)$ and $J_i = W_i|_{\mathcal{U}_i}$, it follows from (3.2) that

$$(3.3) \quad J_i = (z_j/z_i)^{r-\xi} J_j \quad \text{on } \mathcal{U}_i \cap \mathcal{U}_j.$$

Let S be the union of the components of codimension 2 of $\text{Sing}(\mathcal{F}_0)$. Then S is the codimension 1 part (respect to the divisor) of the zero set of $\{J_i\}$. Each J_i may be expressed as $J_i = f_i Z_i$, where f_i is a holomorphic function on \mathcal{U}_i and the vector field Z_i has singular set of codimension ≥ 2 . It follows from (3.3) that

$$Z_i = (f_j/f_i)(z_j/z_i)^{r-\xi} Z_j \quad \text{on } \mathcal{U}_i \cap \mathcal{U}_j.$$

From this equation, it is not difficult to conclude that

$$r = d(\mathcal{N}) - \text{deg}(S) - 1 + \xi,$$

where $\text{deg}(S)$ is the degree of S as a divisor of $\pi^{-1}(0)$. Then, since the algebraic multiplicity and the degree of the foliation induced in the divisor are invariants, we deduce that the degree of the codimension 1 part of the singular set of the strict transform is also an invariant. Moreover it is not difficult to see that $h(S) = \tilde{S}$, where \tilde{S} is the union of the components of codimension 2 of $\text{Sing}(\tilde{\mathcal{F}}_0)$.

4. The case C^1

In this section we prove Theorem 1.2. In view of Theorem 1.1, it is sufficient to show the following.

PROPOSITION 4.1. — *Let \mathcal{F} and $\tilde{\mathcal{F}}$ be two foliations by curves of neighborhoods U and \tilde{U} of $0 \in \mathbb{C}^n$. Let $h : U \rightarrow \tilde{U}$ be a C^1 equivalence. Let $h : \pi^{-1}(U \setminus \{0\}) \rightarrow \pi^{-1}(\tilde{U} \setminus \{0\})$ be as before. Then h can be extended to the divisor as a homeomorphism between $\pi^{-1}(U)$ and $\pi^{-1}(\tilde{U})$.*

We start the proof.

PROPOSITION 4.2. — *Under the conditions of Proposition 4.1, we have that $d h(0) : \mathbb{C}^n \rightarrow \mathbb{C}^n$ maps complex lines onto complex lines. Furthermore, if $J : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is the conjugation $J(z) = \bar{z}$, then either $d h(0) : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is a \mathbb{C} -linear isomorphism, or $d h(0) = Q \circ J$, where $Q : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is a \mathbb{C} -linear isomorphism. Thus, $d h(0)$ induces a diffeomorphism of \mathbb{P}^{n-1} onto itself.*

Proof. — let L be a complex line, $0 \in L \subset \mathbb{C}^n$. There exists \mathbb{C} -linear functions $A_i : \mathbb{C}^n \rightarrow \mathbb{C}$ for $i = 1, \dots, (n - 1)$, such that

$$L = \{z \in \mathbb{C}^n : A_i(z) = 0 \text{ for all } i = 1, \dots, (n - 1)\}.$$

Let $V : U \rightarrow \mathbb{C}^n$ be a holomorphic vector field which generates \mathcal{F} . The set:

$$B = \{z \in \mathbb{C}^n : A_i \circ V(z) = 0 \text{ for all } i = 1, \dots, (n - 1)\}$$

is an analytic variety and it is easy to see that $0 \in B$. Then, there exists a complex curve contained in B and passing through 0. In particular there exists a sequence of points $z_k \in \mathbb{C}^n \setminus \{0\}$, $z_k \rightarrow 0$, such that $A_i \circ V(z_k) = 0$ for all $k \in \mathbb{N}$ and all $i = 1, 2, \dots, (n - 1)$. In other words, $T_{z_k} \mathcal{F} = L$ for all $k \in \mathbb{N}$. Now, since h is a C^1 equivalence, $d h_{z_k}(T_{z_k} \mathcal{F}) = T_{h(z_k)} \tilde{\mathcal{F}}$, that is, $d h_{z_k}(L) = T_{h(z_k)} \tilde{\mathcal{F}}$ is a complex line for all $k \in \mathbb{N}$. Making $k \rightarrow \infty$, since $h \in C^1$ and the space of complex lines of \mathbb{C}^n is compact, we obtain that $d h_0(L)$ is also a complex line. The second part of the proposition is an immediate consequence of the following lemma. □

LEMMA 4.3. — *Let $A : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ ($n \geq 2$) be a \mathbb{R} -linear isomorphism. Identify \mathbb{R}^{2n} with \mathbb{C}^n and assume that A maps complex lines onto complex lines. Then, either A is a \mathbb{C} -linear isomorphism, or $A = Q \circ J$ with $Q : \mathbb{C}^n \rightarrow \mathbb{C}^n$ a \mathbb{C} -linear isomorphism.*

Proof. — Since A maps any complex line onto a complex line, for all $v \in \mathbb{C}^n \setminus \{0\}$ there exists $\theta(v) \in \mathbb{C} \setminus \{0\}$ such that $A(iv) = \theta(v)A(v)$. Let v_1 and v_2 be two \mathbb{C} -linearly independent vectors. Then

$$A(iv_1 + iv_2) = A(iv_1) + A(iv_2) = \theta(v_1)A(v_1) + \theta(v_2)A(v_2).$$

Moreover:

$$\begin{aligned} A(iv_1 + iv_2) &= A(i(v_1 + v_2)) = \theta(v_1 + v_2)A(v_1 + v_2) \\ &= \theta(v_1 + v_2)A(v_1) + \theta(v_1 + v_2)A(v_2). \end{aligned}$$

From the equations above, we obtain:

$$(4.1) \quad (\theta(v_1) - \theta(v_1 + v_2))A(v_1) + ((\theta(v_2) - \theta(v_1 + v_2))A(v_2) = 0.$$

Let L_1 and L_2 be the complex lines generated by v_1 and v_2 respectively. Since v_1 and v_2 are \mathbb{C} -linearly independent, we have that L_1 and L_2 are different. This implies, since A is an isomorphism, that $A(L_1)$ and $A(L_2)$ are different complex lines. Then, since $A(L_1)$ and $A(L_2)$ are generated by $A(v_1)$ and $A(v_2)$ respectively, we have that $A(v_1)$ and $A(v_2)$ are \mathbb{C} -linearly independent. Thus, it follows from equation (4.1) that

$$\theta(v_1) = \theta(v_1 + v_2) = \theta(v_2).$$

It is now easy to see that $\theta(v) = \theta_0, \forall v \in \mathbb{C}^n \setminus \{0\}$. We know that there exists two \mathbb{C} -linear transformations $P : \mathbb{C}^n \rightarrow \mathbb{C}^n$ and $Q : \mathbb{C}^n \rightarrow \mathbb{C}^n$ such that

$$A(z) = P(z) + Q(\bar{z}), \text{ for all } z \in \mathbb{C}^n.$$

Then

$$A(iz) = iP(z) - iQ(\bar{z}).$$

On the other hand

$$A(iz) = \theta_0 A(z) = \theta_0 P(z) + \theta_0 Q(\bar{z}), \text{ for all } z \in \mathbb{C}^n.$$

consequently

$$(\theta_0 - i)P(z) + (\theta_0 + i)Q(\bar{z}) = 0.$$

Since, as functions of z , $(\theta_0 - i)P$ and $(\theta_0 + i)Q \circ J$ are holomorphic and anti-holomorphic respectively, we have that

$$(\theta_0 - i)P \equiv 0, \quad (\theta_0 + i)Q \circ J \equiv 0.$$

From this it is easy to see that either $P = 0$, or $Q = 0$. This proves the lemma. \square

DEFINITION 4.4. — Let $\{z_k\}$ be a sequence of points in $\mathbb{C}^n \setminus \{0\}$. Let L be a complex line in \mathbb{C}^n . We say that $\{z_k\}$ is tangent to L at 0 if $z_k \rightarrow 0$ and every accumulation point of $\{z_k/||z_k||\}$ is contained in L .

Let $\pi : \widehat{\mathbb{C}^n} \rightarrow \mathbb{C}^n$ be the blow up at $0 \in \mathbb{C}^n$. We know that $\pi^{-1}(0)$ is naturally isomorphic to \mathbb{P}^{n-1} . Thus, for each $p \in \pi^{-1}(0)$ we denote by L_p the respective complex line in \mathbb{C}^n . The following fact is well known and we left the proof to the reader:

PROPOSITION 4.5. — Let $\{p_k\}$ be a sequence of points in $\widehat{\mathbb{C}^n} \setminus \pi^{-1}(0)$. Then $p_k \rightarrow p \in \pi^{-1}(0)$ if and only if $\{\pi(p_k)\}$ is tangent to L_p at 0.

Proof of Proposition 4.1. — Let $p \in \pi^{-1}(0)$ and $\{p_k\}$ any sequence of points in $\pi^{-1}(U) \setminus \pi^{-1}(0)$ such that $p_k \rightarrow p$.

Since $h \in C^1$, we have

$$h(\pi(p_k)) = dh_0(\pi(p_k)) + r(\pi(p_k)), \text{ where } \frac{r(\pi(p_k))}{||\pi(p_k)||} \rightarrow 0 \text{ as } k \rightarrow \infty.$$

Then

$$(4.2) \quad \frac{h(\pi(p_k))}{||\pi(p_k)||} = dh_0 \left(\frac{(\pi(p_k))}{||\pi(p_k)||} \right) + \frac{r(\pi(p_k))}{||\pi(p_k)||}.$$

By proposition 4.5, $\pi(p_k)$ is tangent to L_p at 0, hence any point of accumulation of the sequence $\{(\pi(p_k))/||\pi(p_k)||\}$ is contained in L_p . Thus, it is easy to see from equation (4.2) that any point of accumulation of the sequence $\{h(\pi(p_k))/||\pi(p_k)||\}$ is contained in $dh_0(L_p)$ and the same holds for the sequence

$$\frac{h(\pi(p_k))}{||h(\pi(p_k))||} = \frac{h(\pi(p_k))}{||\pi(p_k)||} \frac{||\pi(p_k)||}{||h(\pi(p_k))||}.$$

From proposition 4.2 we have that $dh_0(L_p)$ is a complex line. Then $\{h(\pi(p_k))\}$ is tangent to $dh_0(L_p)$ at 0. It follows by proposition 4.5 that $\pi^{-1} \circ h \circ \pi(p_k) = h(p_k) \rightarrow q$, where $q \in \pi^{-1}(0)$ is such that $L_q = dh_0(L_p)$. We extend h by making $h(p) = dh_0(L_p)$ for all p in $\pi^{-1}(0)$. Finally, it is easy to prove that $h : \pi^{-1}(U) \rightarrow \pi^{-1}(\tilde{U})$ is a homeomorphism. \square

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