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AN EXTENSION OF RAÏS' THEOREM AND SEAWEED SUBALGEBRAS OF SIMPLE LIE ALGEBRAS

by Dmitri I. PANYUSHEV (*)

The ground field \mathbb{k} is algebraically closed and of characteristic zero. Let \mathfrak{q} be a Lie algebra over \mathbb{k} and $\xi \in \mathfrak{q}^*$. Let \mathfrak{q}_ξ denote the stationary subalgebra of ξ in the coadjoint representation of \mathfrak{q} . In other words, $\mathfrak{q}_\xi = \{x \in \mathfrak{q} \mid \xi([x, y]) = 0, \forall y \in \mathfrak{q}\}$. The *index* of \mathfrak{q} , denoted $\text{ind } \mathfrak{q}$, is defined by

$$\text{ind } \mathfrak{q} = \min_{\xi \in \mathfrak{q}^*} \dim \mathfrak{q}_\xi.$$

If \mathfrak{q} is an algebraic Lie algebra and Q is an algebraic group with Lie algebra \mathfrak{q} , then $\text{ind } \mathfrak{q}$ equals the transcendence degree of the field of Q -invariant rational functions on \mathfrak{q}^* . If \mathfrak{q} is reductive, then \mathfrak{q} and \mathfrak{q}^* are isomorphic as \mathfrak{q} -modules and hence $\text{ind } \mathfrak{q} = \text{rk } \mathfrak{q}$. It is an important invariant-theoretic problem to study the index and, more generally, the coadjoint representation for non-reductive Lie algebras.

A very interesting class of not necessarily reductive Lie algebras consists of the so-called *seaweed subalgebras* of reductive Lie algebras. This class includes both parabolic and Levi subalgebras, see Section 3 for the details. Combinatorial formulae for the index of seaweed subalgebras in \mathfrak{gl}_n are obtained in [5]. It seems, however, that the proof of the main result in [5] is not quite convincing. In [8], we studied seaweed subalgebras of classical simple Lie algebras. We obtained convenient inductive formulae for the index that always apply in case of \mathfrak{sl}_n and \mathfrak{sp}_{2n} , and sometimes work for \mathfrak{so}_n . Then some complementary results in the orthogonal case were obtained by Dvorsky [6]. One of the goals of this paper is to show

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that inductive constructions from [8] allow, in fact, to obtain much stronger results.

We begin with a general result which concerns \mathbb{N} -graded Lie algebras with at most three summands. Let $\mathfrak{h} = \mathfrak{h}(0) \oplus \mathfrak{h}(1) \oplus \mathfrak{h}(2)$ be such a Lie algebra. It is shown that under certain constraints there is a subalgebra $\mathfrak{q} \subset \mathfrak{h}(0)$ such that: (a) $\mathbb{k}(\mathfrak{h}^*)^H$ is naturally isomorphic to $\mathbb{k}(\mathfrak{q}^*)^Q$, and (b) if the action $(Q : \mathfrak{q}^*)$ has a generic stabiliser, then so does $(H : \mathfrak{h}^*)$, and these generic stabilisers are equal. Here H and Q are connected groups with Lie algebras \mathfrak{h} and \mathfrak{q} , respectively. This can be regarded as an extension of Raïs’ theorem on the index of semi-direct products [10]. (See Section 2 for the details.) Actually, an \mathbb{N} -grading of a Lie algebra sometimes allows us to prove that the coadjoint representation has no regular invariants. And we prove that this is always the case for parabolic subalgebras of semisimple Lie algebras. This curious fact seems to have not been observed before.

Using the result on gradings with at most three summands, we show that, for series \mathfrak{sl}_n and \mathfrak{sp}_{2n} , the coadjoint representation of any seaweed subalgebra possesses some properties, similar to those of the adjoint representation in the reductive case. That is, if \mathfrak{s} is an arbitrary seaweed subalgebra of \mathfrak{sl}_n or \mathfrak{sp}_{2n} with the corresponding connected group S , then

$$(0.1) \quad \left\{ \begin{array}{l} \text{(i) the field } \mathbb{k}(\mathfrak{s}^*)^S \text{ is rational (i.e., is a purely transcendental} \\ \text{extension of } \mathbb{k}); \\ \text{(ii) the representation } (S : \mathfrak{s}^*) \text{ has a generic stabiliser whose} \\ \text{identity component is a torus.} \end{array} \right.$$

The proofs are based on the fact that, for the classical series, any seaweed subalgebra admits a suitable \mathbb{N} -grading with at most three summands. For \mathfrak{sl}_n and \mathfrak{sp}_{2n} , this grading always satisfies the necessary constraints, and we can argue by induction on n . Unfortunately, this is not always the case for \mathfrak{so}_n , so that we have only partial results in the orthogonal case. In fact, there is an example of a parabolic subalgebra of \mathfrak{so}_8 such that its coadjoint representation has no generic stabiliser [12, Sect. 3]. Our results for \mathfrak{sl}_n (resp. \mathfrak{sp}_{2n} and \mathfrak{so}_n) are given in Section 4 (resp. Section 5). Actually, our theorem on 3-term gradings applies not only to classical Lie algebras. One can present a number of other cases, where it works and yields the answer similar to Equation (0.1). A couple of examples of such sort is given for the exceptional algebra of type \mathbf{F}_4 . Motivated by all these examples, we conjecture that the field of invariants of any seaweed subalgebra is always rational and if a generic stabiliser exists, then its identity component is necessarily a torus.

In Section 6, we show that our general affirmative results for \mathfrak{sl}_n and \mathfrak{sp}_{2n} are, in a sense, the best possible. For any simple \mathfrak{g} such that the highest root, θ , is fundamental, we give a uniform description of a parabolic subalgebra such that its coadjoint representation has no generic stabiliser. Let α be the unique simple root that is not orthogonal to θ . Then we take \mathfrak{p} to be the minimal parabolic subalgebra corresponding to α . Our proof is based on some curious relations between α and the *canonical string* of strongly orthogonal positive roots (alias: *Kostant's cascade construction*). For \mathfrak{so}_8 , we recover the above-mentioned example from [12]. Finally, we recall that the highest root is fundamental if and only if \mathfrak{g} is neither \mathfrak{sl}_n nor \mathfrak{sp}_{2n} .

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1. Invariant-theoretic preliminaries.

Algebraic groups are denoted by capital latin letters and their Lie algebras are denoted by the corresponding lower-case gothic letters.

If an affine algebraic group H acts regularly on an algebraic variety X , then H_x stands for the stabiliser of $x \in X$. Similarly, the stationary subalgebra of x in $\mathfrak{h} = \text{Lie } H$ is denoted by \mathfrak{h}_x . We say that the action $(H : X)$ has a *generic stabiliser*, if there exists a dense open subset $\Omega \subset X$ such that all stabilisers H_ξ , $\xi \in \Omega$, are conjugate in H . Then each of the subgroups H_ξ , $\xi \in \Omega$, is called a *generic stabiliser*. Similarly, one defines the notion of a *generic stationary subalgebra*, which is a subalgebra of \mathfrak{h} . Clearly, the existence of a generic stabiliser implies that of a generic stationary subalgebra. That the converse is also true is proved by Richardson [11, §4]. The points in Ω are said to be *generic*. The reader is referred to [14, §7] for basic facts on generic stabilisers.

If two actions $(H_1 : X_1)$ and $(H_2 : X_2)$ are given, where $H_1 \subset H_2$ and $X_1 \subset X_2$, then we say that their generic stabilisers are equal, if (a) both generic stabilisers exist and (b) there exist generic points $x_i \in X_i$ such that $(H_1)_{x_1} = (H_2)_{x_2}$.

Suppose now that X is irreducible. Then $\mathbb{k}(X)^H$ stands for the field of rational H -invariants on X . A celebrated theorem of M. Rosenlicht says that there is a dense open H -stable subset $\Omega \subset X$ such that $\mathbb{k}(X)^H$ separates the H -orbits in Ω , see e.g. [14, 2.3]. In particular, $\text{trdeg } \mathbb{k}(X)^H = \dim X - \max_{x \in X} \dim H \cdot x$.

2. On the coadjoint representation of some \mathbb{N} -graded Lie algebras.

Let \mathfrak{h} be an algebraic Lie algebra. Assume that it has an \mathbb{N} -grading of the form $\mathfrak{h} = \mathfrak{h}(0) \oplus \mathfrak{h}(1) \oplus \mathfrak{h}(2)$. We also say that \mathfrak{h} has a *3-term structure*. Clearly, $\mathfrak{h}(1) \oplus \mathfrak{h}(2)$ is a nilpotent Lie algebra. Therefore the algebraicity of \mathfrak{h} is equivalent to that of $\mathfrak{h}(0)$. Let $H(0)$ be a connected algebraic group with Lie algebra $\mathfrak{h}(0)$. Then $H = H(0) \rtimes \exp(\mathfrak{h}(1) \oplus \mathfrak{h}(2))$ is a connected group with Lie algebra \mathfrak{h} . The subspaces $\mathfrak{h}(i)$ are $H(0)$ -stable and the decomposition $\mathfrak{h}^* = \mathfrak{h}(0)^* \oplus \mathfrak{h}(1)^* \oplus \mathfrak{h}(2)^*$ is therefore $H(0)$ -invariant, too. More precisely, the coadjoint representation of \mathfrak{h} , denoted ad^* , satisfies the relation $\text{ad}^*(\mathfrak{h}(i)) \cdot \mathfrak{h}(j)^* \subset \mathfrak{h}(j - i)^*$.

(\diamond_1) $H(0)$ has an open orbit in $\mathfrak{h}(2)^*$, say \mathcal{O} ;

(\diamond_2) if $\xi \in \mathcal{O}$, then $H_\xi \subset H(0) \rtimes \exp(\mathfrak{h}(2))$. In particular, $\mathfrak{h}_\xi \subset \mathfrak{h}(0) \oplus \mathfrak{h}(2)$.

In the rest of the section, ξ is an arbitrary but fixed point in \mathcal{O} .

THEOREM 2.1. — *Suppose H satisfies conditions (\diamond_1) and (\diamond_2). Then:*

(i) *There is a natural isomorphism $\mathbb{k}(\mathfrak{h}^*)^H \simeq \mathbb{k}(\mathfrak{h}(0)_\xi^*)^{H(0)_\xi}$.*

(ii) *Let (f) be the ideal of the union of all divisors in $\mathfrak{h}(2)^* \setminus \mathcal{O}$. Then, regarding f as a function on the whole of \mathfrak{h}^* , we have*

$$\mathbb{k}[\mathfrak{h}^*]^H \subset \mathbb{k}[\mathfrak{h}(0)_\xi^*]^{H(0)_\xi} \subset \mathbb{k}[\mathfrak{h}_{(f)}^*]^H.$$

(Here $\mathfrak{h}_{(f)}^* = \{x \in \mathfrak{h}^* \mid f(x) \neq 0\}$.) *In particular, if $\mathfrak{h}(2)^* \setminus \mathcal{O}$ does not contain divisors, then $\mathbb{k}[\mathfrak{h}^*]^H \simeq \mathbb{k}[\mathfrak{h}(0)_\xi^*]^{H(0)_\xi}$.*

(iii) *If the action $(H(0)_\xi : \mathfrak{h}(0)_\xi^*)$ has a generic stabiliser, then so does $(H : \mathfrak{h}^*)$, and these generic stabilisers are equal.*

Proof. — Our plan is to construct a section $\mathfrak{S} \subset \mathfrak{h}^*$ and a subgroup $\bar{H} \subset H$, acting on \mathfrak{S} , such that $\mathbb{k}(\mathfrak{h}^*)^H \simeq \mathbb{k}(\mathfrak{S})^{\bar{H}} \simeq \mathbb{k}(\mathfrak{h}(0)_\xi^*)^{H(0)_\xi}$ and $\mathbb{k}[\mathfrak{S}]^{\bar{H}} \simeq \mathbb{k}[\mathfrak{h}(0)_\xi^*]^{H(0)_\xi}$. Recall that $\mathcal{O} = H(0) \cdot \xi$.

1) Set $\mathcal{S} = \{\xi\} \times \mathfrak{h}(0)^*$ and $\overline{H} = H(0)_\xi \rtimes \exp \mathfrak{h}(2) \subset H$. Then \mathcal{S} is an affine subspace in \mathfrak{h}^* and clearly it is \overline{H} -stable. Condition (\diamond_2) and a simple computation show that

$$(2.2) \quad H \cdot \eta \cap \mathcal{S} = \overline{H} \cdot \eta,$$

$$(2.3) \quad H \cdot \eta = \overline{H} \cdot \eta,$$

for any $\eta \in \mathcal{S}$. Furthermore,

$$(2.4) \quad H \cdot \mathcal{S} = \mathcal{O} \times (\mathfrak{h}(1)^* \oplus \mathfrak{h}(0)^*).$$

Since $H \cdot \mathcal{S}$ is dense in \mathfrak{h}^* , the field $\mathbb{k}(\mathfrak{h}^*)^H$ is identified with a subfield of $\mathbb{k}(\mathcal{S})^{\overline{H}}$. It then follows from Equation 2.2 and Rosenlicht's theorem that actually

$$(2.5) \quad \mathbb{k}(\mathfrak{h}^*)^H \simeq \mathbb{k}(\mathcal{S})^{\overline{H}}.$$

2) Again, since $H \cdot \mathcal{S}$ is dense in \mathfrak{h}^* , the restriction homomorphism $\mathbb{k}[\mathfrak{h}^*]^H \rightarrow \mathbb{k}[\mathcal{S}]^{\overline{H}}, f \mapsto f|_{\mathcal{S}}$, is injective. Therefore $\mathbb{k}[\mathfrak{h}^*]^H$ is identified with a subalgebra of $\mathbb{k}[\mathcal{S}]^{\overline{H}}$. Notice that $H \cdot \mathcal{S}$ is open in \mathfrak{h}^* , and the complement $\mathfrak{h}^* \setminus H \cdot \mathcal{S}$ contains a divisor if and only if $\mathfrak{h}(2)^* \setminus \mathcal{O}$ does. If $g \in \mathbb{k}[\mathcal{S}]^{\overline{H}}$, then, in view of Equation (2.5), it extends to a rational function, say \widehat{g} , on the whole of \mathfrak{h}^* . Consider the natural map $\psi : H \times \mathcal{S} \rightarrow H \cdot \mathcal{S} \subset \mathfrak{h}^*$. It easily follows from Equations (2.2) and (2.3) that the irreducible components of all fibres of ψ have the same dimension, namely, $\dim \overline{H}$. Therefore ψ is open. It is easily seen that $\psi^*(\widehat{g})(h, \xi) = g(\xi)$; that is, $\psi^*(\widehat{g})$ is a regular function on $H \times \mathcal{S}$. From this and the normality of $H \cdot \mathcal{S}$, one deduces that \widehat{g} is also regular on $H \cdot \mathcal{S}$. Because \mathfrak{h}^* is normal, \widehat{g} may only have poles on divisors in $\mathfrak{h}^* \setminus H \cdot \mathcal{S}$. From this we conclude that:

- if $\mathfrak{h}(2)^* \setminus \mathcal{O}$ contains divisors, and (f) is the ideal of the union of all divisors, then

$$(2.6) \quad \mathbb{k}[\mathfrak{h}^*]^H \subset \mathbb{k}[\mathcal{S}]^{\overline{H}} \subset \mathbb{k}[\mathfrak{h}^*_{(f)}]^H;$$

- in particular, if $\mathfrak{h}(2)^* \setminus \mathcal{O}$ does not contain divisors, then

$$(2.7) \quad \mathbb{k}[\mathfrak{h}^*]^H \simeq \mathbb{k}[\mathcal{S}]^{\overline{H}}.$$

Here we extend f to the whole of \mathfrak{h}^* using the natural projection $\mathfrak{h}^* \rightarrow \mathfrak{h}(2)^*$.

3) Thus, we may forget about H and \mathfrak{h}^* and work only with the \overline{H} -action on \mathcal{S} . As $N := \exp(\mathfrak{h}(2))$ is a (commutative unipotent) normal subgroup of \overline{H} , we first understand the structure of N -orbits in \mathcal{S} . It is

easily seen that N acts as a group of translation and all its orbits in \mathcal{S} have one and the same dimension; i.e., for any $(\xi, v) \in \mathcal{S}$, we have

$$N \cdot (\xi, v) = (\xi, v + \text{ad}^*(\mathfrak{h}(2)) \cdot \xi).$$

Here $\text{ad}^*(\mathfrak{h}(2)) \cdot \xi \subset \mathfrak{h}(0)^*$ and the annihilator of $\text{ad}^*(\mathfrak{h}(2)) \cdot \xi$ in $\mathfrak{h}(0)$ is $\mathfrak{h}(0)_\xi$. Hence, all N -orbits are parallel affine subspaces of dimension $\dim \mathfrak{h}(0) - \dim \mathfrak{h}(0)_\xi = \dim \mathfrak{h}(2)$. This implies that the mapping

$$\mathcal{S} = \{\xi\} \times \mathfrak{h}(0)^* \longrightarrow \mathfrak{h}(0)^* / \text{ad}^*(\mathfrak{h}(2)) \cdot \xi \simeq \mathfrak{h}(0)_\xi^*$$

is the geometric quotient for the N -action on \mathcal{S} . Thus,

$$(2.8) \quad \begin{cases} \mathbb{k}[\mathcal{S}]^{\overline{H}} \simeq (\mathbb{k}[\mathcal{S}]^N)^{H(0)_\xi} = \mathbb{k}[\mathfrak{h}(0)_\xi^*]^{H(0)_\xi}, \\ \mathbb{k}(\mathcal{S})^{\overline{H}} \simeq (\mathbb{k}(\mathcal{S})^N)^{H(0)_\xi} = \mathbb{k}(\mathfrak{h}(0)_\xi^*)^{H(0)_\xi}. \end{cases}$$

Now, combining Equations (2.5)–(2.8) yields parts (i) and (ii) in the theorem.

4) Suppose $Q \subset H(0)_\xi$ is a generic stabiliser for the $H(0)_\xi$ -action on $\mathfrak{h}(0)_\xi^*$. Then Q is also a generic stabiliser for the \overline{H} -action on \mathcal{S} , since N acts freely on \mathcal{S} . Indeed, let $\eta \in \mathfrak{h}(0)^*$ and $\bar{\eta} \in \mathfrak{h}(0)^* / \text{ad}^*(\mathfrak{h}(2)) \cdot \xi$. If the stabiliser in $H(0)_\xi$ of $\bar{\eta}$ equals Q , then the stabiliser in \overline{H} of $(\xi, \eta) \in \mathcal{S}$ equals Q as well.

Finally, it follows from Equations (2.3) and (2.4) that generic stabilisers for $(\overline{H} : \mathcal{S})$ and $(H : \mathfrak{h}^*)$ are equal. □

Remarks. — 1) In view of condition (\diamond_1) , the polynomial $f \in \mathbb{k}[\mathfrak{h}(2)^*]$ cannot be $H(0)$ -invariant. It is a semi-invariant of $H(0)$ with a non-trivial weight. Its natural extension to the whole of \mathfrak{h}^* is a semi-invariant of H .

2) The group $H(0)_\xi$ can be disconnected.

3) In [8, Prop. 1.5], we obtained a formula for the index of algebras with 3-term structure satisfying condition (\diamond_2) for generic points of $\mathfrak{h}(2)^*$. With the presence of condition (\diamond_1) , that formula simplifies to the equality $\text{ind } \mathfrak{h} = \text{ind } \mathfrak{h}(0)_\xi$. (Condition (\diamond_1) was not considered there.) Here, having stronger hypotheses, we proved a stronger result that the corresponding fields of invariants are naturally isomorphic. A relationship between generic stabilisers is also new. Anyway, the point is that, for applications we have in mind, condition (\diamond_1) is always satisfied.

The simplest situation, where Theorem 2.1 applies, is that of semi-direct product. Let Q be a connected algebraic group with Lie algebra \mathfrak{q} .

If $\rho: Q \rightarrow \text{GL}(V)$ is a finite-dimensional representation of Q , then we denote the corresponding representation of \mathfrak{q} by the same letter.

The linear space $\mathfrak{q} \times V$ has a natural structure of Lie algebra, with bracket $[\cdot, \cdot]^\sim$ defined by the equality

$$[(s_1, v_1), (s_2, v_2)]^\sim = ([s_1, s_2], \rho(s_1)v_2 - \rho(s_2)v_1).$$

The resulting Lie algebra is denoted by $\mathfrak{s} = \mathfrak{q} \rtimes_\rho V$. It is a semi-direct product of \mathfrak{q} and an Abelian ideal V . It is a particular case of \mathbb{N} -graded Lie algebras considered above. Namely, we have here $\mathfrak{h}(0) = \mathfrak{q}$, $\mathfrak{h}(1) = 0$, and $\mathfrak{h}(2) = V$. Since condition (\diamond_2) is trivially satisfied here, the following is a straightforward consequence of Theorem 2.1.

COROLLARY 2.9. — *Let $\mathfrak{s} = \mathfrak{q} \rtimes_\rho V$ be a semi-direct product as above. Suppose Q has an open orbit in V^* , and $\xi \in V^*$ is a point in the open Q -orbit. Then:*

- (i) $\mathbb{k}(\mathfrak{s}^*)^S \simeq \mathbb{k}(\mathfrak{q}_\xi^*)^{Q_\xi}$;
- (ii) *if $V^* \setminus Q \cdot \xi$ does not contain divisors, then $\mathbb{k}[\mathfrak{s}^*]^S \simeq \mathbb{k}[\mathfrak{q}_\xi^*]^{Q_\xi}$;*
- (iii) *if the the coadjoint representation of Q_ξ has a generic stabilizer, then the coadjoint representation of S has, and these generic stabilisers are equal.*

There is a famous formula of M. Raïs for the index of semi-direct products [10]. When Q has an open orbit in V^* , it amounts to the equality $\text{trdeg } \mathbb{k}(\mathfrak{s}^*)^S = \text{trdeg } \mathbb{k}(\mathfrak{q}_\xi^*)^{Q_\xi}$. Hence Corollary 2.9 (i) can be regarded as a refinement of Raïs' theorem in this situation.

Let \mathfrak{h} be an \mathbb{N} -graded Lie algebra, i.e., $\mathfrak{h} = \bigoplus_{i=0}^d \mathfrak{h}(i)$. We assume that $\mathfrak{h}_+ := \bigoplus_{i \geq 1} \mathfrak{h}(i) \neq 0$. Then the group H is a semi-direct product of $H(0)$ and $H_+ = \exp(\mathfrak{h}_+)$.

LEMMA 2.10. — *Suppose \mathfrak{h}_+ is a faithful $\mathfrak{h}(0)$ -module and there is a (semisimple) element $x \in \mathfrak{h}(0)$ such that $[x, y] = jy$ for any j and $y \in \mathfrak{h}(j)$. Then $\mathbb{k}[\mathfrak{h}^*]^H = \mathbb{k}$.*

Proof. — Let $T_1 \subset H(0)$ be the 1-dimensional torus with Lie algebra $\mathbb{k}x$. Then $\mathbb{k}[\mathfrak{h}^*]^H \subset \mathbb{k}[\mathfrak{h}^*]^{T_1} = \mathbb{k}[\mathfrak{h}(0)^*]$. Here $\mathbb{k}[\mathfrak{h}(0)^*]$ is regarded as subalgebra of $\mathbb{k}[\mathfrak{h}^*]$ using the surjection of H -modules $\mathfrak{h}^* \rightarrow \mathfrak{h}(0)^*$. On the other hand, no functions in $\mathbb{k}[\mathfrak{h}(0)^*] \setminus \mathbb{k}$ can be H_+ -invariant. Indeed, let x_1, \dots, x_m be a basis for $\mathfrak{h}(0)$ and $F = F(x_1, \dots, x_m) \in \mathbb{k}[\mathfrak{h}(0)^*]$.

For any $y \in \mathfrak{h}_+$ and $t \in \mathbb{k}$, we have

$$\exp(ty) \cdot F = F + t(y * F) + (\text{terms of higher degree in } t).$$

Here $y * F = \sum_{i=1}^m \partial F / \partial x_i [y, x_i]$. Notice that $[y, x_i]$ belongs to \mathfrak{h}_+ and $\partial F / \partial x_i$ to $\mathbb{k}[\mathfrak{h}(0)^*]$. Hence, the faithfulness guarantee us that for any $F \neq 0$ there is y such that $y * F \neq 0$. Thus, $\mathbb{k}[\mathfrak{h}^*]^H \subset \mathbb{k}[\mathfrak{h}^*]^{T_1} \cap \mathbb{k}[\mathfrak{h}^*]^{H_+} = \mathbb{k}$. \square

It seems that the following interesting assertion was not noticed before.

COROLLARY 2.11. — *Let \mathfrak{p} be a proper parabolic subalgebra of a semisimple Lie algebra \mathfrak{g} . Then $\mathbb{k}[\mathfrak{p}^*]^P = \mathbb{k}$.*

Proof. — It is well known that any proper parabolic subalgebra has a non-trivial \mathbb{N} -grading which is determined by a semisimple element in the centre of a Levi subalgebra of \mathfrak{p} . For this grading, the algebra \mathfrak{p}_+ is just the nilpotent radical, $\mathfrak{p}^{\text{nil}}$. \square

Example 2.12. — Let \mathfrak{p} be the maximal parabolic subalgebra of \mathfrak{gl}_{2n} whose Levi subalgebra is isomorphic to $\mathfrak{gl}_n \oplus \mathfrak{gl}_n$. In the matrix form, we have

$$\mathfrak{p} = \left\{ \begin{pmatrix} X & Y \\ 0 & Z \end{pmatrix} \mid X, Y, Z \in \mathfrak{gl}_n \right\}.$$

The dual space \mathfrak{p}^* can be identified with $\mathfrak{gl}_{2n} / \mathfrak{p}^{\text{nil}}$, so that we regard \mathfrak{p}^* as the set of matrices

$$\mathfrak{p}^* = \left\{ \nu = \begin{pmatrix} \mathfrak{m} & * \\ \mathfrak{r} & \mathfrak{n} \end{pmatrix} \mid \mathfrak{m}, \mathfrak{r}, \mathfrak{n} \in \mathfrak{gl}_n \right\},$$

where the contents of the right upper corner is irrelevant. We write a generic element of P as

$$p = \begin{pmatrix} A & AB \\ 0 & D \end{pmatrix} = \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \begin{pmatrix} I_n & B \\ 0 & I_n \end{pmatrix},$$

where $A, D \in GL_n$, $B \in \mathfrak{gl}_n$, and I_n is the identity matrix. The action of p on \mathfrak{p}^* is given in the matrix form by the formulae

$$p: \begin{cases} \mathfrak{m} \mapsto AmA^{-1} + A(B\mathfrak{r})A^{-1}, \\ \mathfrak{n} \mapsto D\mathfrak{n}D^{-1} - D(\mathfrak{r}B)D^{-1}, \\ \mathfrak{r} \mapsto D\mathfrak{r}A^{-1}. \end{cases}$$

It follows that p takes $\mathfrak{r}\mathfrak{m} + \mathfrak{n}\mathfrak{r}$ to $D(\mathfrak{r}\mathfrak{m} + \mathfrak{n}\mathfrak{r})A^{-1}$. Therefore the matrix entries of \mathfrak{r} and $\mathfrak{r}\mathfrak{m} + \mathfrak{n}\mathfrak{r}$ are regular invariants of the unipotent radical P^u , i.e., the

elements of the algebra $\mathbb{k}[\mathfrak{p}^*]^{P^u}$. Consider the open subset $\Omega \subset \mathfrak{p}^*$, where τ is invertible. On this open subset, p takes the matrix $\mathfrak{m} + \tau^{-1}\mathfrak{n}\tau = \tau^{-1}(\tau\mathfrak{m} + \mathfrak{n}\tau)$ to $A(\tau^{-1}(\tau\mathfrak{m} + \mathfrak{n}\tau))A^{-1}$. Hence the rational functions $\widehat{g}(\nu) = \text{tr}(\mathfrak{m} + \tau^{-1}\mathfrak{n}\tau)^i$, $i = 1, \dots, n$, lie in the field of invariants $\mathbb{k}(\mathfrak{p}^*)^P$. Using the scheme of the proof of Theorem 2.1, one can prove that these functions generate the field $\mathbb{k}(\mathfrak{p}^*)^P$. Here \mathfrak{p} has a semi-direct product structure, i.e., $\mathfrak{p}(0) = \mathfrak{gl}_n \oplus \mathfrak{gl}_n$, $\mathfrak{p}(1) = 0$, and $\mathfrak{p}(2) = \mathfrak{p}^{\text{nil}}$. Then

$$\xi = \begin{pmatrix} 0 & * \\ I_n & 0 \end{pmatrix}, \quad \mathcal{S} = \left\{ \begin{pmatrix} \mathfrak{m} & * \\ I_n & \mathfrak{n} \end{pmatrix} \mid \mathfrak{m}, \mathfrak{n} \in \mathfrak{gl}_n \right\},$$

$$\bar{P} = \left\{ \begin{pmatrix} A & AB \\ 0 & A \end{pmatrix} \mid A \in \text{GL}_n, B \in \mathfrak{gl}_n \right\}.$$

The algebra $\mathbb{k}[\mathcal{S}]^{\bar{P}}$ is freely generated by the functions $g_i(\mathfrak{m}, \mathfrak{n}) = \text{tr}(\mathfrak{m} + \mathfrak{n})^i$, $i = 1, \dots, n$. It is easily checked that each g_i extends to the rational function \widehat{g}_i defined on Ω .

Because in this example \mathfrak{g} is not semisimple, we have $\mathbb{k}[\mathfrak{p}^*]^P \neq \mathbb{k}$. Indeed, the algebra $\mathbb{k}[\mathfrak{p}^*]^P$ is generated by $\widehat{g}_1(\nu) = \text{tr}(\mathfrak{m} + \mathfrak{n})$. This means that $\mathbb{k}[\mathfrak{p}^*]^P = \mathbb{k}[\mathcal{S}]^{\bar{P}}$ if and only if $n = 1$, whereas the corresponding fields of invariants are always isomorphic. Of course, the reason is that the complement of Ω is a divisor.

3. Seaweed subalgebras of simple Lie algebras.

Seaweed subalgebras of \mathfrak{gl}_n are introduced in [5]. A general definition is given in [8]. Two parabolic subalgebras \mathfrak{p} and \mathfrak{p}' of \mathfrak{g} are said to be *weakly opposite*, if $\mathfrak{p} + \mathfrak{p}' = \mathfrak{g}$. Then the intersection $\mathfrak{s} = \mathfrak{p} \cap \mathfrak{p}'$ is called a *seaweed subalgebra* of \mathfrak{g} . If \mathfrak{p} and \mathfrak{p}' are opposite in the usual sense, then \mathfrak{s} is a Levi subalgebra in either of them. At the other extreme, if $\mathfrak{p}' = \mathfrak{g}$, then $\mathfrak{s} = \mathfrak{p}$. That is, theory of seaweed subalgebras can be regarded as a common generalisation of the theory of parabolic subalgebras and Levi subalgebras.

Fix a Borel subalgebra $\mathfrak{b} \subset \mathfrak{g}$ and a Cartan subalgebra \mathfrak{t} in it, and let \mathfrak{b}^- denote the opposite Borel subalgebra. It was remarked in [8] that any seaweed subalgebra is G -conjugate to a subalgebra containing \mathfrak{t} and such that $\mathfrak{p} \supset \mathfrak{b}$ and $\mathfrak{p}' \supset \mathfrak{b}^-$. Such seaweed subalgebras are said to be *standard*. A standard seaweed subalgebra is determined by two subsets of the set of simple roots of \mathfrak{g} . It was conjectured in [8] that $\text{ind } \mathfrak{s} \leq \text{rk } \mathfrak{g}$ and the equality

holds if and only if \mathfrak{s} is a reductive (i.e., Levi) subalgebra. This is recently proved by Tauvel and Yu [13].

In the next two sections, we consider seaweed subalgebras in classical Lie algebras. This can be regarded as a sequel to our article [8]. The content of these sections can be summarised in the following recipe:

Repeat the constructions of [8] and use Theorem 2.1 and Corollary 2.9 in place of Proposition 1.5 in [8] in order to obtain stronger conclusions.

4. Seaweed subalgebras of \mathfrak{gl}_n .

It is harmless but technically easier to deal with \mathfrak{gl}_n in place of \mathfrak{sl}_n . Recall necessary results and notation from [8]. An ordered sequence of positive integers $\underline{a} = (a_1, \dots, a_m)$ is called a *composition* of the number $\sum a_i$. The numbers a_i are said to be the *parts* or *coordinates* of the composition.

Let V be an n -dimensional \mathbb{k} -vector space. It is well known that there is a bijection between the conjugacy classes of parabolic subalgebras of $\mathfrak{gl}_n = \mathfrak{gl}(V)$ and the compositions of n . Under this bijection, the parabolic subalgebra that corresponds to (a_1, \dots, a_m) is one preserving a flag $\{0\} \subset V_1 \subset \dots \subset V_{m-1} \subset V_m = V$, where $\dim V_i/V_{i-1} = a_i$. Then a seaweed subalgebra of $\mathfrak{gl}(V)$ can be defined as the subalgebra preserving two “opposite” flags in V .

DEFINITION 4.1. — Let $\underline{a} = (a_1, \dots, a_m)$ and $\underline{b} = (b_1, \dots, b_t)$ be two compositions of $n = \dim V$. Fix a basis (e_1, \dots, e_n) for V , and consider two flags $\{0\} \subset V_1 \subset \dots \subset V_{m-1} \subset V_m = V$ and $V = W_0 \supset W_1 \supset \dots \supset W_{t-1} \supset W_t = \{0\}$, where $V_i = \langle e_1, \dots, e_{a_1+\dots+a_i} \rangle$ and $W_j = \langle e_{b_1+\dots+b_j+1}, \dots, e_n \rangle$. The subalgebra of $\mathfrak{gl}(V)$ preserving these two flags is called a *seaweed subalgebra* of $\mathfrak{gl}(V)$ or a *seaweed of degree n* . It will be denoted by $\mathfrak{s}(\underline{a}|\underline{b})$.

Remark. — A basis-free exposition requires an intrinsic definition of “opposite” flags. Two flags $\{0\} \subset V_1 \subset \dots \subset V_{m-1} \subset V_m = V$ and $V = W_0 \supset W_1 \supset \dots \supset W_{t-1} \supset W_t = \{0\}$ are called *opposite*, if $\dim(V_i \cap W_j) = \max\{0, \dim V_i + \dim W_j - n\}$ for all i, j . It is not hard to show that two flags are opposite if and only if there exists a basis for V satisfying the properties of Definition 4.1.

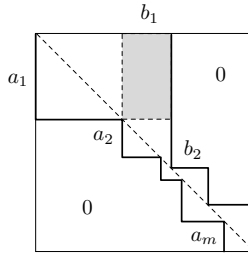


Figure 1. A seaweed subalgebra of $\mathfrak{gl}(V)$

A standard seaweed algebra is depicted in Figure 1. It is convenient to think of seaweeds in \mathfrak{gl}_n as matrix algebras of such form. The following proposition immediately follows from the definition. (A quick look on Figure 1 is also sufficient.)

PROPOSITION 4.2.

- 1) $\mathfrak{s}(\underline{a} | \underline{b})$ is parabolic if and only if $\underline{a} = (n)$ or $\underline{b} = (n)$.
- 2) $\mathfrak{s}(\underline{a} | \underline{b})$ is reductive if and only if $\underline{a} = \underline{b}$.

3) If $a_1 + \dots + a_k = b_1 + \dots + b_\ell$ for some $k < m$ and $\ell < t$, then $\mathfrak{s}(\underline{a} | \underline{b})$ is isomorphic to a direct sum of two proper subalgebras, either of which is a seaweed algebra (of smaller degree) in its own sense. In particular, if $a_1 = b_1$, then $\mathfrak{s}(\underline{a} | \underline{b}) \simeq \mathfrak{gl}_{a_1} \dot{+} \mathfrak{s}(a_2, \dots, a_m | b_2, \dots, b_t)$. □

It was shown in [8] that every seaweed subalgebra of $\mathfrak{gl}(V)$ has a semi-direct product structure satisfying the assumptions of Corollary 2.9. We used that structure to derive inductive formulae for the index of seaweed subalgebras. That is to say, we kept track of only the transcendence degree of the field of invariants. Now, having at hand Theorem 2.1, we observe that the very same procedure gives much more information.

THEOREM 4.3. — Let \mathfrak{s} be a seaweed subalgebra of \mathfrak{gl}_n . Then:

- (i) $\mathbb{k}(\mathfrak{s}^*)^S$ is a rational field;
- (ii) the action $(S : \mathfrak{s}^*)$ has a generic stabiliser, which is a torus.

Proof. — Suppose that $\mathfrak{s} = \mathfrak{s}(\underline{a} | \underline{b})$, where $\underline{a} = (a_1, \dots, a_m)$ and $\underline{b} = (b_1, \dots, b_t)$ are compositions of n . If $a_1 = b_1$, then we split up \mathfrak{s} using Proposition 4.2, 3). Therefore, we may assume that $a_1 < b_1$. It was shown in [8, Thm 4.2] that $\mathfrak{s} \simeq \mathfrak{q} \rtimes_\rho V$, where $\mathfrak{q} = \mathfrak{s}(\underline{a} | a_1, b_1 - a_1, b_2, \dots, b_t)$ and V is a commutative ideal of dimension $a_1(b_1 - a_1)$. The ideal V is represented

by the stripped region in Figure 1. Here Q has an open orbit $\mathcal{O} \subset V^*$ and the stationary subalgebra \mathfrak{q}_ξ , $\xi \in \mathcal{O}$, is isomorphic to

$$\begin{aligned} \mathfrak{s}(a_2, \dots, a_m \mid b_1 - 2a_1, a_1, b_2, \dots, b_t) & \text{ if } a_1 \leq \frac{1}{2} b_1, \\ \mathfrak{s}(2a_1 - b_1, a_2, \dots, a_m \mid a_1, b_2, \dots, b_t) & \text{ if } a_1 > \frac{1}{2} b_1. \end{aligned}$$

The structure of the Q -module V also shows that the stabiliser Q_ξ is connected (see [8, Prop. 4.1] for more details). Therefore applying Corollary 2.9 we reduce the problem to a seaweed of smaller size. Eventually, we arrive at the case of a reductive seaweed (i.e., Levi) subalgebra, where the assertion of the theorem is well-known to be true. \square

However, this procedure does not always preserve the algebra of invariants. So, it is not at all clear what can be said about $\mathbb{k}[\mathfrak{s}^*]^S$.

5. Seaweed subalgebras of \mathfrak{sp}_{2n} and \mathfrak{so}_n .

The results for symplectic and orthogonal Lie algebras are quite similar up to a certain point. But after that one encounters with different phenomena. This is explained in [8, Sect. 5–6]. Here we recall the main steps, but without reproducing all the notation and results.

We begin with an arbitrary seaweed subalgebra of $\mathfrak{g} = \mathfrak{sp}(V)$ or $\mathfrak{so}(V)$.

THEOREM 5.1. — *Let \mathfrak{s} be a seaweed subalgebra of $\mathfrak{sp}(V)$ (resp. $\mathfrak{so}(V)$) that is not parabolic. Then there exists a parabolic subalgebra \mathfrak{p} in $\mathfrak{sp}(U)$ (resp. $\mathfrak{so}(U)$) with $\dim U < \dim V$ such that:*

- (i) $\mathbb{k}(\mathfrak{s}^*)^S$ is isomorphic to a purely transcendental extension of $\mathbb{k}(\mathfrak{p}^*)^P$.
- (ii) If the action $(P: \mathfrak{p}^*)$ has a generic stabiliser, then so does $(S: \mathfrak{s}^*)$, and these generic stabilisers are equal.

Proof. — We argue by induction on $\dim V$. We also say that $\dim V$ is the size of \mathfrak{s} . If \mathfrak{s} is a non-parabolic seaweed subalgebra of \mathfrak{g} , then there is an inductive procedure, similar to that described in Section 4 for $\mathfrak{gl}(V)$, see [8, Thm 5.2]. The inductive step replaces \mathfrak{s} with a seaweed subalgebra of smaller size, say \mathfrak{s}' . This \mathfrak{s}' may split into a direct sum of a reductive subalgebra \mathfrak{l} and a seaweed subalgebra \mathfrak{q} of an even smaller size. Since Corollary 2.9 applies at each step, we conclude that: (a) $\mathbb{k}(\mathfrak{s}^*)^S$ is a pure transcendental extension of $\mathbb{k}(\mathfrak{q}^*)^Q$ of degree equal to the rank of \mathfrak{l} ; (b) if

the action $(Q : \mathfrak{q}^*)$ has a generic stabiliser, then so does $(S : \mathfrak{s}^*)$, and these generic stabilisers are equal. If \mathfrak{q} is not parabolic, then we continue further with \mathfrak{q} . □

This result completely reduces the problem to considering parabolic subalgebras in $\mathfrak{sp}(V)$ and $\mathfrak{so}(V)$. It is worth mentioning that $\dim V - \dim U$ is even, so that the above reduction preserves the type (B or D) in the orthogonal case.

Next, any parabolic subalgebra of \mathfrak{g} has a suitable 3-term structure [8]. Hence, we may try to proceed further using results of Section 2 in full strength.

• *In the symplectic case*, this 3-term structure always satisfies hypothesis (\diamond_1) and (\diamond_2) , see the proof of Theorem 5.5 in [8]. So, a further reduction, using Theorem 2.1 is always possible. This leads to the following result.

THEOREM 5.2. — *Let \mathfrak{p} be a parabolic subalgebra of \mathfrak{sp}_{2n} . Then:*

- (i) $\mathbb{k}(\mathfrak{p}^*)^P$ is a rational field;
- (ii) the action $(P : \mathfrak{p}^*)$ has a generic stabiliser whose identity component is a torus.

Proof. — Let \mathfrak{p} be a parabolic subalgebra of $\mathfrak{g} = \mathfrak{sp}_{2n}$ and $\mathfrak{p} = \mathfrak{p}(0) \oplus \mathfrak{p}(1) \oplus \mathfrak{p}(2)$ the 3-term structure introduced in Theorem 5.5 in [8]. A brief description of it is as follows. Let $\alpha_1, \dots, \alpha_n$ be the usual set of simple roots for \mathfrak{sp}_{2n} , i.e., $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$, $i < n$, and $\alpha_n = 2\varepsilon_n$. We may assume that \mathfrak{p} is standard. Let r be the minimal index such that α_r is not a root of the standard Levi subalgebra of \mathfrak{p} .

If $r = n$, then \mathfrak{p} is a maximal parabolic subalgebra, and we obtain the symplectic analogue of Example 2.12. Here everything can be computed quite concretely, see Example 5.3 below.

If $r < n$, we define the \mathbb{Z} -grading of \mathfrak{g} by letting $\mathfrak{g}(i)$ be the the sum of all root spaces \mathfrak{g}_γ with $[\gamma : \alpha_r] = i$. Here $[\gamma : \alpha_r]$ is the coefficient of α_r in the expansion of γ via the simple roots. (Of course, the Cartan subalgebra \mathfrak{t} is included in $\mathfrak{g}(0)$.) Restricting this grading to \mathfrak{p} , we obtain the required \mathbb{N} -grading. It follows from the construction that $\mathfrak{p}(0) \simeq \mathfrak{gl}_r \oplus \mathfrak{p}'$, where \mathfrak{p}' is a parabolic subalgebra in \mathfrak{sp}_{2n-2r} . Applying Theorem 2.1, we reduce the problem to the subalgebra $\mathfrak{p}(0)_\xi$, where $\xi \in \mathfrak{p}(2)^*$ is a point

in the dense $P(0)$ -orbit. Here \mathfrak{p}' acts trivially on $\mathfrak{p}(2)$, and, as \mathfrak{gl}_r -module, $\mathfrak{p}(2)$ is isomorphic to the space of symmetric $r \times r$ -matrices. It follows that $\mathfrak{p}(0)_\xi \simeq \mathfrak{so}_r \oplus \mathfrak{p}'$. Here $P(0)_\xi = O_r \times P'$, i.e., it is disconnected. However disconnectedness of O_r only results in the fact that a generic stabiliser for $(P(0)_\xi : \mathfrak{p}(0)_\xi)$, and hence for $(P : \mathfrak{p}^*)$, appears to be disconnected, but this does not affect further reduction steps applied to \mathfrak{p}' . \square

Combining Theorems 5.1 and 5.2, we obtain a complete answer for the seaweed subalgebras in $\mathfrak{sp}(V)$.

Example 5.3. — Let \mathfrak{p} the maximal parabolic subalgebra of \mathfrak{sp}_{2n} whose Levi subalgebra is isomorphic to \mathfrak{gl}_n . Choose a basis for $V = \mathbb{k}^{2n}$ such that the skew-symmetric bilinear form has the matrix $\begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$. Then

$$\mathfrak{p} = \left\{ \begin{pmatrix} X & Y \\ 0 & -X^t \end{pmatrix} \mid X, Y \in \mathfrak{gl}_n, Y = Y^t \right\}.$$

The dual space \mathfrak{p}^* can be identified with $\mathfrak{sp}_{2n}/\mathfrak{p}^{\text{nil}}$, so that we regard \mathfrak{p}^* as the set of matrices

$$\mathfrak{p}^* = \left\{ \nu = \begin{pmatrix} \mathfrak{m} & * \\ \mathfrak{r} & -\mathfrak{m}^t \end{pmatrix} \mid \mathfrak{m}, \mathfrak{r} \in \mathfrak{gl}_n, \mathfrak{r} = \mathfrak{r}^t \right\},$$

where the contents of the right upper corner is irrelevant. We write a generic element of P as

$$p = \begin{pmatrix} A & AB \\ 0 & (A^t)^{-1} \end{pmatrix},$$

where $A \in GL_n$ and $B = B^t$. The action of p on \mathfrak{p}^* is given in the matrix form by the formulae

$$p : \mathfrak{m} \mapsto A\mathfrak{m}A^{-1} + A(B\mathfrak{r})A^{-1}, \quad \mathfrak{r} \mapsto (A^t)^{-1}\mathfrak{r}A^{-1}.$$

It follows that p takes $\mathfrak{r}\mathfrak{m} - \mathfrak{m}^t\mathfrak{r}$ to $(A^t)^{-1}(\mathfrak{r}\mathfrak{m} - \mathfrak{m}^t\mathfrak{r})A^{-1}$. Therefore the matrix entries of \mathfrak{r} and $\mathfrak{r}\mathfrak{m} - \mathfrak{m}^t\mathfrak{r}$ are regular invariants of the unipotent radical P^u , i.e., the elements of the algebra $\mathbb{k}[\mathfrak{p}^*]^{P^u}$. Consider the open subset $\Omega \subset \mathfrak{p}^*$, where \mathfrak{r} is invertible. On this open subset, p takes the matrix $\mathfrak{m} - \mathfrak{r}^{-1}\mathfrak{m}^t\mathfrak{r}$ to $A(\mathfrak{m} - \mathfrak{r}^{-1}\mathfrak{m}^t\mathfrak{r})A^{-1}$. Hence the rational functions $\widehat{g}(\nu) = \text{tr}(\mathfrak{m} - \mathfrak{r}^{-1}\mathfrak{m}^t\mathfrak{r})^{2i}$, $i = 1, 2, \dots, [\frac{1}{2}n]$, lie in the field of invariants $\mathbb{k}(\mathfrak{p}^*)^P$. (Clearly, the trace of an odd power equals zero.)

Using the scheme of the proof of Theorem 2.1, one can prove that these functions generate the field $\mathbb{k}(\mathfrak{p}^*)^P$. Here \mathfrak{p} has a semi-direct product

structure, i.e., $\mathfrak{p}(0) = \mathfrak{gl}_n$, $\mathfrak{p}(1) = 0$, and $\mathfrak{p}(2) = \mathfrak{p}^{\text{nil}}$. Then

$$\xi = \begin{pmatrix} 0 & * \\ I_n & 0 \end{pmatrix}, \quad \mathcal{S} = \left\{ \begin{pmatrix} \mathfrak{m} & * \\ I_n & -\mathfrak{m}^t \end{pmatrix} \mid \mathfrak{m} \in \mathfrak{gl}_n \right\},$$

$$\bar{P} = \left\{ \begin{pmatrix} A & AB \\ 0 & A \end{pmatrix} \mid A \in O_n, B = B^t \right\}.$$

The algebra $\mathbb{k}[\mathcal{S}]^{\bar{P}}$ is freely generated by the functions $g_i(\mathfrak{m}) = \text{tr}(\mathfrak{m} - \mathfrak{m}^t)^{2i}$, $i = 1, \dots, [\frac{1}{2}n]$. (For, the mapping $\mathfrak{m} \mapsto (\mathfrak{m} - \mathfrak{m}^t)$ is the factorisation with respect to the action of \bar{P}^u on \mathcal{S} , and then one has to take O_n -invariants of the quotient obtained.) It is easily checked that each g_i extends to the rational function \widehat{g}_i defined on Ω .

Since $P(0)_\xi = O_n$, the identity component of the generic stabiliser is a torus (of dimension $[\frac{1}{2}n]$).

• *In the orthogonal case*, the 3-term structure that we constructed in [8] satisfies (\diamond_1) , but does not always satisfy (\diamond_2) . Let $\alpha_1, \dots, \alpha_n$ be the usual set of simple roots for either \mathfrak{so}_{2n+1} or \mathfrak{so}_{2n} , i.e., $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ for $i < n$, and $\alpha_n = \varepsilon_{n-1} + \varepsilon_n$ if $\mathfrak{g} = \mathfrak{so}_{2n}$ and $\alpha_n = \varepsilon_n$ if $\mathfrak{g} = \mathfrak{so}_{2n+1}$.

Let \mathfrak{p} be a standard parabolic subalgebra of \mathfrak{so}_N , $N = 2n$ or $2n + 1$, and let r be the minimal index such that α_r is not a root of the standard Levi subalgebra of \mathfrak{p} . Using this root, one constructs an \mathbb{N} -grading of \mathfrak{p} , as above. Then condition (\diamond_2) is satisfied for this \mathbb{N} -grading if and only if r is even, modulo the following adjustment for \mathfrak{so}_{2n} . If n is even, then both $r = n - 1, n$ are acceptable; if n is odd, then neither of them is acceptable. This yields the following assertion.

THEOREM 5.4. — *Let \mathfrak{p} be a standard parabolic subalgebra in \mathfrak{so}_N . Let $\alpha_{r_1}, \alpha_{r_2}, \dots$ be all simple roots that are not in the standard Levi subalgebra of \mathfrak{p} . If all numbers r_1, r_2, \dots are even (modulo the above adjustment for \mathfrak{so}_{2n}), then:*

- (i) $\mathbb{k}(\mathfrak{p}^*)^P$ is a rational field;
- (ii) the action $(P: \mathfrak{p}^*)$ has a generic stabiliser, which is a torus.

Proof. — We argue as in the proof of Theorem 5.2, starting with $r = r_1$. The inductive step bring us from $\mathfrak{p}(0) = \mathfrak{gl}_r \oplus \mathfrak{p}'$ to $\mathfrak{p}(0)_\xi = \mathfrak{sp}_r \oplus \mathfrak{p}'$. Here \mathfrak{p}' is a parabolic subalgebra of \mathfrak{so}_{N-2r} and $P(0)_\xi = \text{Sp}_r \times P'$ is connected. Therefore a generic stabiliser at the very end will be connected, too. It is also clear from this outline, why it is important that r is even. \square

Thus, our inductive method does not apply to every parabolic subalgebra of $\mathfrak{so}(V)$. Recent results of Tauvel and Yu [12] show that this is not a drawback of our approach. For, they constructed an example of a parabolic subalgebra in \mathfrak{so}_8 such that the coadjoint representation does not have generic stabilisers. Namely, \mathfrak{p} is the minimal parabolic subalgebra corresponding to α_2 (the branching node on the Dynkin diagram). Here $\dim \mathfrak{p} = 17$ and the maximal dimension of P -orbits in \mathfrak{p}^* is 16. That is, there is a dense open subsets of \mathfrak{p}^* consisting of a 1-parameter family of P -orbits of dimension 16. The stabiliser of each orbit is a 1-dimensional unipotent subgroup. But these subgroups are not conjugate in P . Still, the field of invariants in this example is rational, in view of the Lüroth theorem. Moreover, no examples is known with a non-rational field of invariants for the coadjoint representation of a parabolic (or seaweed) subalgebra.

One can notice that whenever our inductive procedure applies, it gives the rationality of the field of invariants and the existence of a generic stabiliser. Furthermore, the identity component of a generic stabiliser appears to be a torus.

Example 5.5. — Let \mathfrak{g} be an algebra of type \mathbf{F}_4 . Take a maximal parabolic subalgebra whose Levi subalgebra is of semisimple type \mathbf{B}_3 or \mathbf{C}_3 . Then the natural \mathbb{N} -grading of both this parabolics satisfies Theorem 2.1. This is because both $(20 \Rightarrow 00)$ and $(00 \Rightarrow 02)$ are weighted Dynkin diagrams of quadratic nilpotent elements (“quadratic” means that $(\operatorname{ad} e)^3 = 0$, see [8, Example 1.6] about this). Here $\mathfrak{p}(0)_\xi$ is isomorphic to \mathfrak{so}_6 in the \mathbf{B}_3 -case and \mathfrak{sp}_6 in the \mathbf{C}_3 -case. From this we immediately obtain that in both cases the field of invariants is rational, and generic stabilisers are 3-dimensional tori.

Based on these observations, we propose the following

CONJECTURE 5.6. — *Let \mathfrak{g} be a simple Lie algebra and $\mathfrak{s} \subset \mathfrak{g}$ a seaweed subalgebra. Then:*

- (i) *the field $\mathbb{k}(\mathfrak{s}^*)^S$ is rational;*
- (ii) *if a generic stabiliser for $(S: \mathfrak{s}^*)$ exists, then its identity component is a torus.*

6. Constructing coadjoint representations without generic stabilisers.

In this section, \mathfrak{g} is a simple Lie algebra with a fixed triangular decomposition $\mathfrak{g} = \mathfrak{u}^+ \oplus \mathfrak{t} \oplus \mathfrak{u}^-$. The corresponding set of roots (resp. positive roots) is Δ (resp. Δ^+), and the highest root is θ . If $\gamma \in \Delta$, then \mathfrak{g}_γ is the corresponding root space and $e(\gamma)$ is a nonzero vector in \mathfrak{g}_γ . As usual, we assume that all roots live in a \mathbb{Q} -vector space \mathbb{V} of dimension $\text{rk } \mathfrak{g}$, and that \mathbb{V} is equipped with a W -invariant scalar product (\cdot, \cdot) , where W is the Weyl group.

Recall the construction of the *canonical string* of (strongly orthogonal) roots in Δ^+ . Sometimes, it is called *Kostant's cascade construction*. We start with $\mu_1 = \theta$, and then consider $\Delta_1 = \{\gamma \in \Delta \mid (\gamma, \mu_1) = 0\}$. Here Δ_1 is a root system in its own right, which can be reducible. If $\Delta_1 = \bigsqcup_{j=2}^s \Delta_1^{(j)}$, then we choose the highest root in each irreducible subsystem. These are the following elements of the canonical string μ_2, \dots, μ_s ; here μ_2 belongs to $\Delta_1^{(2)}$, etc. Then we do the same thing with each $\Delta_1^{(j)}$, and so on. This procedure eventually terminates, and we obtain the canonical string $\mathfrak{D} = \{\mu_1, \dots, \mu_\ell\}$. Each member of \mathfrak{D} is the highest root in a certain irreducible subsystem of Δ , and the roots in \mathfrak{D} are pairwise mutually strongly orthogonal. In particular, the roots in \mathfrak{D} are linearly independent and $\ell \leq \text{rk } \mathfrak{g}$. It is clear that the numbering of roots arising in each step is not essential. What is essential is a poset structure of on \mathfrak{D} . Namely, μ_1 is the unique maximal element, and the elements covered by μ_1 are precisely μ_2, \dots, μ_s . The elements of \mathfrak{D} covered by μ_2 are precisely the highest roots of the irreducible subsystems of $\{\gamma \in \Delta_1^{(2)} \mid (\gamma, \mu_2) = 0\}$, and likewise in each step. The Hasse diagrams of these posets for all \mathfrak{g} can be found in [7, Table III].

The canonical strings are of interest for us because of the following result. We may identify the dual space $\mathfrak{b}^* \simeq \mathfrak{g}/\mathfrak{u}^+$ with the vector space $\mathfrak{b}^- = \mathfrak{u}^- \oplus \mathfrak{t}$. In order to distinguish the true \mathfrak{b}^- and \mathfrak{b}^* , a nonzero root vector in \mathfrak{b}^* corresponding to a root γ is denoted by $\xi(\gamma)$.

PROPOSITION 6.1. — *The vector $\xi_0 = \sum_{i=1}^{\ell} \xi(-\mu_i)$ is a generic point in \mathfrak{b}^* and the identity component of B_{ξ_0} is a torus of dimension $\text{rk } \mathfrak{g} - \ell$. Actually, \mathfrak{b}_{ξ_0} is equal to $\mathfrak{h} = \{x \in \mathfrak{t} \mid \mu_i(x) = 0, \forall i\}$. Furthermore, if we regard \mathfrak{h} as a subspace of \mathfrak{b}^* , then each point in the affine subspace $\xi_0 + \mathfrak{h}$ is generic and $B \cdot (\xi_0 + \mathfrak{h})$ contains a dense open subset of \mathfrak{b}^* .*

This is implicit in Joseph's article [7], and was known for many years

as a folklore. In fact, Joseph shows that ξ is a generic point in the \mathfrak{b} -module $(\mathfrak{u}^+)^* \simeq \mathfrak{g}/\mathfrak{b}$. Then a minor adaptation of his arguments, together with rudiments of invariant-theoretic technique, is sufficient to get the above result. In case of \mathfrak{gl}_n , a proof of the proposition was given in [1, §3]. A general proof based on the cascade construction is given in [4, Thm 3.7]. Essentially the same proof appears recently in [13].

LEMMA 6.2. — *Let w_0 be the longest element of W . Consider the subspace $\mathbb{V}' = \{x \in \mathbb{V} \mid w_0(x) = -x\}$. Then the elements of \mathcal{D} form a basis for \mathbb{V}' .*

Proof. — It can be shown *a priori* (or by a straightforward verification) that $l = \dim \mathbb{V}'$. In particular, $\ell = \text{rk } \mathfrak{g}$ if and only if $\mathbb{V}' = \mathbb{V}$. Therefore, it remains only to verify that for $\mathfrak{g} \in \{\mathbf{A}_n, \mathbf{D}_{2n+1}, \mathbf{E}_6\}$ the roots μ_i lie in \mathbb{V}' . \square

Now, we are ready to provide a series of examples.

THEOREM 6.3. — *Suppose \mathfrak{g} is such that θ is a fundamental weight. Let α be the unique simple root that is not orthogonal to θ . Let $\mathfrak{p} = \mathfrak{b} \oplus \mathfrak{g}_{-\alpha}$ be the standard minimal parabolic subalgebra corresponding to α . Then $\text{ind } \mathfrak{p} = \text{ind } \mathfrak{b} + 1$ and the coadjoint representation $(P: \mathfrak{p}^*)$ does not have a generic stabiliser.*

Practically, the hypothesis on fundamentality means that \mathfrak{g} is neither \mathfrak{sl}_n nor \mathfrak{sp}_{2n} . Therefore, taking into account our results in Sections 4 and 5, we obtain

COROLLARY 6.4. — *Given a simple Lie algebra \mathfrak{g} , the following conditions are equivalent:*

- (i) $\mathfrak{g} = \mathfrak{sl}_n$ or \mathfrak{sp}_{2n} ;
- (ii) for any seaweed subalgebra $\mathfrak{s} \subset \mathfrak{g}$, the coadjoint representation $(S: \mathfrak{s}^*)$ has a generic stabiliser.

Proof of Theorem 6.3. — The proof occupies the rest of this section. It exploits an interesting relation between \mathcal{D} and α .

Recall that $(-w_0)$ is an involutory linear transformation of \mathbb{V} , and $(-w_0)\Delta^+ = \Delta^+$. Since $(-w_0)\theta = \theta$, it follows from our hypothesis that $(-w_0)\alpha = \alpha$, as well. Therefore, by Lemma 6.2, α lies in the \mathbb{Q} -linear span of \mathcal{D} . Hence, $\alpha = \sum_i k_i \mu_i$. We are interested in the coefficients of this expansion.

LEMMA 6.5.

- 1) $k_1 = \frac{1}{2}$; if $k_i \neq 0$ for $i \geq 2$, then $(\mu_i, \alpha) < 0$;
- 2) if $i \geq 2$ and $(\mu_i, \alpha) < 0$, then $k_i = -\|\alpha\|/(2\|\mu_i\|)$ is negative;
- 3) $\sum_{i \geq 2} k_i = -\frac{3}{2}$ or, equivalently, $\sum_i k_i = -1$.

Proof. — 1) Recall that $\mu_1 = \theta$. By the assumption, we have $1 = (\alpha, \theta^\vee) = k_1(\theta, \theta^\vee) = 2k_1$. Since the μ_i 's are pairwise mutually orthogonal, $k_i \neq 0$ if and only if $(\alpha, \mu_i) \neq 0$. If $(\alpha, \mu_i) \neq 0$, $i \geq 2$, then this number cannot be positive. For, otherwise $\mu_i - \alpha$ would be a positive root and then $0 \leq (\theta, \mu_i - \alpha) = -(\theta, \alpha) < 0$, which is absurd.

2) Since θ is fundamental, we have $(\theta, \alpha^\vee) = 1$. That is, α is necessarily a long root. Therefore, if $(\mu_i, \alpha) < 0$, then actually, $(\mu_i, \alpha^\vee) = -1$. Hence

$$2k_i = k_i(\mu_i, \mu_i^\vee) = (\alpha, \mu_i^\vee) = -\frac{\|\alpha\|}{\|\mu_i\|}.$$

3) Now,

$$2 = (\alpha, \alpha^\vee) = k_1(\mu_1, \alpha^\vee) + \sum_{i \geq 2} k_i(\mu_i, \alpha^\vee) = \frac{1}{2} - \sum_{i \geq 2} k_i,$$

and we are done. □

COROLLARY 6.6. — Set $I = \{i \mid (\mu_i, \alpha) < 0\} \subset \{2, \dots, \ell\}$. Then $\#I \leq 3$ and

$$\alpha = \frac{1}{2} \left(\theta - \sum_{i \in I} \frac{\|\alpha\|}{\|\mu_i\|} \mu_i \right) = \sum_{i \in I \cup \{1\}} k_i \mu_i.$$

Part I. — For convenience, we first consider the case in which $\text{ind } \mathfrak{b} = 0$. This means that $\ell = \text{rk } \mathfrak{g}$ and \mathfrak{h} , the space introduced in Proposition 6.1, is zero. Although this is not needed for our proof, we notice that this means that $\mathfrak{g} \in \{\mathbf{B}_n (n \geq 3), \mathbf{D}_{2n} (n \geq 2), \mathbf{E}_7, \mathbf{E}_8, \mathbf{F}_4, \mathbf{G}_2\}$.

Again, we identify the dual space $\mathfrak{p}^* = \mathfrak{g}/\mathfrak{p}^{\text{nil}}$ with the space $\mathfrak{b}^- \oplus \mathfrak{g}_\alpha \subset \mathfrak{g}$, with the same notation for root vectors in \mathfrak{p} and \mathfrak{p}^* .

Set $\xi_a = \sum_{i=1}^\ell \xi(-\mu_i) + a\xi(\alpha)$, $a \in \mathbb{k}$. We are going to prove that the affine line $L = \{\xi_a \mid a \in \mathbb{k}\}$ has the property that $P \cdot L$ contains a dense open subset of \mathfrak{p}^* ; $\dim \mathfrak{p}_\xi = 1$ for every $\xi \in L$ and neither of the stabilisers \mathfrak{p}_ξ can be a generic stabiliser.

Notice that the image of ξ_a in \mathfrak{b}^* is the generic point given in Proposition 6.1. Therefore $\dim P \cdot \xi_a \geq \dim B$ for all a . Since $\dim \mathfrak{p} = \dim \mathfrak{b} + 1$, we conclude, for parity reasons, that $\dim P \cdot \xi_a = \dim B$ and $\dim \mathfrak{p}_{\xi_a} = 1$ for all a . We are going to give explicit expressions for all

these stationary subalgebras. To simplify the formulae, we assume that the root vectors in \mathfrak{p}^* are already fixed, but the explicit choice (normalisation) of vectors $e(\gamma) \in \mathfrak{p}$ is still at our disposal. We use the notation of Corollary 6.6.

PROPOSITION 6.7. — *Under a suitable choice of root vectors, the one-dimensional space \mathfrak{p}_{ξ_a} is generated by*

$$\begin{aligned} e_a &= e(-\alpha) + \sum_{i \in I} e(\theta - \alpha - \mu_i) - a \sum_{i \in I \cup \{1\}} e(\mu_i) \\ &= \sum_{i \in I \cup \{1\}} e(\theta - \alpha - \mu_i) - a \sum_{i \in I \cup \{1\}} e(\mu_i). \end{aligned}$$

Proof. — 1) We begin with the case $a = 0$. Computing the expression $e_0 \cdot \xi_0$ we obtain

$$\begin{aligned} &\left(e(-\alpha) + \sum_{i \in I} e(\theta - \alpha - \mu_i) \right) \cdot \left(\sum_{i=1}^{\ell} \xi(-\mu_i) \right) \\ &= \sum_{i \in I} (e(-\alpha) \cdot \xi(-\mu_i) + e(\theta - \alpha - \mu_i) \cdot \xi(-\theta)) \\ &\quad + \left(\sum_{i \in I} e(\theta - \alpha - \mu_i) \right) \cdot \left(\sum_{i=2}^{\ell} \xi(-\mu_i) \right). \end{aligned}$$

In the passage to the second row we used the fact that $e(-\alpha) \cdot \xi(-\mu_i) \neq 0$ if and only if $\alpha + \mu_i$ is a root, i.e., $i \in I$. It is clear that under suitable choice of $e(\gamma)$'s each summand of the first sum in the second row can be made zero. As for the second sum, it is just equals zero. To see this, we show that $\eta_{ij} := \theta - \alpha - \mu_i - \mu_j$ never belongs to $\Delta^- \cup \{0\} \cup \{\alpha\}$. Indeed, $(\eta_{ij}, \theta^\vee) = 1$. Hence $\eta_{ij} \neq 0$, and if it is a root, then it must be α . But $(\eta_{ij}, \alpha^\vee) = 1 - 2 + 1 - (\mu_j, \alpha) \neq 2$, since $\mu_j \neq \alpha$. Hence $\eta_{ij} \neq \alpha$.

2) Now, we consider ξ_a with an arbitrary $a \in \mathbb{k}$. The root vectors $e(\theta - \alpha - \mu_i)$, $i \in I \cup \{1\}$, are already chosen, but all other are still at our disposal. Computing the expression $e_a \cdot \xi_a$ and using the fact that $e_a \cdot \xi_a = 0$, we obtain

$$\begin{aligned} (6.8) \quad &(e_0 - a \sum_{i \in I \cup \{1\}} k_i e(\mu_i)) \cdot (\xi_0 + a \xi(\alpha)) \\ &= a e_0 \cdot \xi(\alpha) - a \sum_{i \in I \cup \{1\}} e(\mu_i) \cdot \xi_0 \\ &= a e(-\alpha) \cdot \xi(\alpha) - a \sum_{i \in I \cup \{1\}} e(\mu_i) \cdot \xi(-\mu_i). \end{aligned}$$

It is easily seen that all other summands are equal to zero. For instance, $e(\mu_i) \cdot \xi(\alpha) = 0$, since $\alpha + \mu_i$ is either not a root at all, or not a root of \mathfrak{p}^* . Also, for $i \in I$ we have $e(\theta - \alpha - \mu_i) \cdot \xi(\alpha) = 0$, because $\theta - \mu_i$ is not a root.

Now, the last expression in Equation (6.8) is a sum of elements lying in $\mathfrak{t} \in \mathfrak{p}^*$. Under the identification of \mathfrak{t} and \mathfrak{t}^* , we have $e(-\alpha) \cdot \xi(\alpha)$ is proportional to α and $e(\mu_i) \cdot \xi(-\mu_i)$ is proportional to μ_i . Since, by Corollary 6.6, α lies in the \mathbb{Q} -span of $\{\mu_i \mid i \in \{1\} \cup I\}$, we may choose the $e(\mu_i)$'s such that these summands will cancel out.

This completes the proof of the proposition. □

PROPOSITION 6.9. — *For any $a \in \mathbb{k}$, the algebra \mathfrak{p}_{ξ_a} cannot be a generic stationary subalgebra for the coadjoint representation $(P: \mathfrak{p}^*)$.*

Proof. — Let us show that there us an $h \in \mathfrak{t}$ such that $[h, e_a] = e_a$. (One and the same element for all e_a 's.) Choose any $h \in \mathfrak{t}$ subject to the requirement that $\mu_i(h) = 1$ for $i \in \{1\} \cup I$. It then follows from Lemma 6.5, 3) that $-\alpha(h) = 1$ as well. Hence $(\theta - \alpha - \mu_i)(h) = 1$, too. But this exactly means that $[h, e_a] = e_a$.

Thus, $[\mathfrak{p}, \mathfrak{p}_{\xi_a}] \cap \mathfrak{p}_{\xi_a} \neq 0$ for each a . By [12, Cor. 1.8 (i)], this means that \mathfrak{p}_{ξ_a} cannot be a generic stationary subalgebra.⁽¹⁾ □

LEMMA 6.10. — *The set $P \cdot L$ is dense in \mathfrak{p}^* .*

Proof. — It is a standard exercise in Invariant Theory (cf. [2, Lemma 1] and [14, Thm 7.3]). We have the natural morphism $\phi: P \times L \rightarrow \mathfrak{p}^*$, $(p, \xi_a) \mapsto p \cdot \xi_a$. It suffices to prove that the differential of ϕ is onto at some point. As such a point, we take $z = (1_P, \xi_0)$, where 1_P is the unit of the group P . Then $d\phi_z(\mathfrak{p}, \mathbb{k}\xi(\alpha)) = \mathfrak{p} \cdot \xi_0 + \mathbb{k}\xi(\alpha)$. Here $\mathfrak{p} \cdot \xi_0$ is a subspace of codimension one in \mathfrak{p}^* . Since $\mathfrak{p} \cdot \xi_0$ is the annihilator of $\mathfrak{p}_{\xi_0} = \mathbb{k}e_0$, it follows from Proposition 6.7 that the line $\mathbb{k}\xi(\alpha)$ is not contained in $\mathfrak{p} \cdot \xi_0$. □

Now, combining Proposition 6.9 and Lemma 6.10, we complete the proof of Theorem 6.3 in case, where $\text{ind } \mathfrak{b} = 0$.

Part II. — In general, the argument does not essentially change. Now, we have the vector space $\mathfrak{h} \subset \mathfrak{t}$ of dimension $d := \text{rk } \mathfrak{g} - \ell$, and we set

$$L = \{ \xi_0 + x + a\xi(\alpha) \mid x \in \mathfrak{h}, a \in \mathbb{k} \} \subset \mathfrak{p}^*.$$

⁽¹⁾ A non-algebraic proof of this criterion was given in [3]. The result also immediately follows from Elashvili's lemma [2, Lemma 1]. Actually, considerable part of [12] consists in providing a longer proof of Elashvili's result.

It is an affine space of dimension $d + 1$. By Proposition 6.1, the projection $\mathfrak{p}^* \rightarrow \mathfrak{b}^*$ takes all points of L to generic points of \mathfrak{b}^* . Hence, for any $\xi \in L$ we have $\dim \mathfrak{p} \cdot \xi \geq \dim \mathfrak{b} - \dim \mathfrak{h}$, i.e., $\dim \mathfrak{p}_\xi \leq \dim \mathfrak{h} + 1$. On the other hand, we have

PROPOSITION 6.11. — *For any $\xi = \xi_0 + x + a\xi(\alpha) \in L$, we have $\mathfrak{p}_\xi \supset \mathfrak{h} \oplus \mathbb{k}e_\alpha$.*

The proof of Proposition 6.7 goes through verbatim in this situation, since all the roots involved are orthogonal to \mathfrak{h} . Thus, we actually have an equality in the last proposition. Then we prove in the same fashion that $P \cdot L$ is dense in \mathfrak{p}^* and neither of \mathfrak{p}_ξ , $\xi \in L$, can be a generic stabiliser.

Thus, Theorem 6.3 is proved. \square

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