ON HARDY SPACES IN COMPLEX ELLIPSOIDS

by Thomas HANSSON

1. Introduction.

A fundamental tool in the study of holomorphic functions of one complex variable is the Cauchy integral formula. Hence when studying holomorphic functions in a domain $\Omega \subset \mathbb{C}^n$ one wants a suitable generalisation of the Cauchy integral. One possible choice is the Szegö projection $S$, i.e. the $L^2(\partial \Omega)$ orthogonal projection on the space of (boundary values of) holomorphic functions. However, except for a few special domains, the kernel of $S$ have no explicit expression. Thus to understand the mapping properties of the Szegö operator one has to estimate its kernel. For strictly pseudoconvex domains such estimates were obtained by Fefferman, [F].

Another, less canonical choice, is to use integral operators generated by Cauchy-Fantappié forms. In contrast to the Szegö operator, these integral operators have rather explicit kernels. More precisely, if $\Omega$ is a bounded domain in $\mathbb{C}^n$ with $C^2$-boundary and $q: \partial \Omega \times \Omega$ is a $C^1$-map that satisfies $\langle q(\zeta, z), \zeta - z \rangle \neq 0$, for every $\zeta \in \partial \Omega$ and $z \in \Omega$, then the operator $H$ defined by

$$Hf(z) = \left(\frac{1}{2\pi i}\right)^n \int_{\partial \Omega} \frac{f(\zeta)q \wedge (\bar{\zeta}q)^{n-1}}{\langle q, \zeta - z \rangle^n}, \quad z \in \Omega,$$

reproduces holomorphic functions. For example, if $\Omega$ is strictly geometrically convex with defining function $\rho$, we can take $q(\zeta, z) = \partial \rho(\zeta)$. To understand the mapping properties of $H$, the main difficulty is to prove

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that $H$ is a bounded operator on $L^2(\partial \Omega)$. This was proved for strictly pseudoconvex domains (with some natural choice of $q$) in [KS].

Recently a lot of work has been done in this area on domains of finite type. For instance in [NRSW], estimates of the kernel of $S$ have been obtained for pseudoconvex domains of finite type in $\mathbb{C}^2$. Such estimates have later also been achieved for convex domains of finite type in $\mathbb{C}^n$ ([McN], [McNS], [KL]). In these papers the Szegö projection has been defined with respect to the Euclidean surface measure on $\partial \Omega$. However there is another natural measure on the boundary to consider, as suggested by the integral defining $H$, namely the measure represented by the form $(2\pi)^{-n}\partial \rho \wedge (\bar{\partial} \rho)^{n-1}$. Denote this measure by $dS$. In strictly pseudoconvex domains, $dS$ is equivalent to the ordinary surface measure $d\sigma$, but for domains of finite type it is essentially smaller near Levi-flat points. This can be compared with the situation in the polydisc, where one usually consider Hardy spaces with respect to the uniform measure on the torus. It is the object of this paper to illustrate the advantages of using the weighted measure $dS$, when studying for example boundedness properties of $H$. As far as we know, this measure has not been considered before in that context.

Now set $d(\zeta, z) = |\langle \partial \rho(\zeta), \zeta - z \rangle| + |\langle \partial \rho(z), z - \zeta \rangle|$. Then, if $\Omega$ is strictly pseudoconvex, it is known that $d$ is a quasimetric on the boundary of the domain and that $dS(\sim d\sigma)$ satisfies the doubling condition with respect to $d$. Thus $(\partial \Omega, dS, d)$ is a space of homogeneous type (see [C]). Moreover, in this setting, the operator $H$ can be viewed as a singular integral operator, and by the $T1$-theorem for spaces of homogeneous type it can be proved to be bounded on $L^2(\partial \Omega)$. (See also [Ha] or [KS] for an elementary proof of this fact.)

The object of this paper is to give a thorough investigation of these matters for the complex ellipsoids $B^p$, $p = (p_1, \ldots, p_n)$, $p_j \in \mathbb{Z}^+$, with defining function $\rho(z) = \sum_{j=1}^{n} |z_j|^{2p_j} - 1$. $B^p$ can be viewed as a model case for domains of finite type and has previously been studied in for example [R1] and [BC]. We will see that $(\partial \Omega, dS, d)$ is a space of homogeneous type. Furthermore, in this setting we will be able to prove some important representation theorems for the Hardy space $H^1(B^p, dS)$, following classical lines.

Let $L^q(\partial B^p)$ and $H^q(B^p)$, $q \geq 1$, be defined with respect to the
measure $dS$. Hence an analytic function $f$ in $B^p$ belongs to $H^q(B^p)$ if

$$
||f||_{H^q} = \sup_{0 < r < 1} \left( \int_{\partial B^p_r} |f|^q dS_r \right)^{1/q} < \infty,
$$

where $B^p_r = \{ z \in \mathbb{C}^n, \rho(z) < -r \}$ and $dS_r$ is the measure on $\partial B^p_r$, represented by the form $(2\pi)^{-n} \partial \rho \wedge (\bar{\partial} \rho)^{n-1}$. Then our results are as follows.

**Theorem 1.** — The operator $H$ maps $L^2(\partial B^p)$ boundedly into $H^2(B^p)$.

Thus defining $Hf(z_0)$ for $z_0 \in \partial B^p$ as the limit of $Hf(z)$ when $z \in B^p$ approaches $z_0$ in the normal direction, then $H$ is bounded, considered as an operator on $L^2(\partial B^p)$. Hence in particular $H$ has an adjoint operator, denoted by $H^*$, on $L^2(\partial B^p)$. Moreover, defining $BMO(\partial B^p)$ in the usual way (see § 3) in terms of the quasimetric $d$ and surface measure $dS$, we also have

**Theorem 2.** — The operators $H$ and $H^*$ are bounded on $BMO(\partial B^p)$.

In particular we can regard $H^*(BMO)$ as a subspace of $BMO$.

In the unit ball $B$ it is known that all bounded linear functionals on $H^1$ can be represented by functions that are holomorphic in $B$ and with boundary function in $BMO$ (see [FS]). This has also been proved to hold for all strictly pseudoconvex domains in $\mathbb{C}^n$ (see for example [AC]). In $B^p$ though, we will be content with the following analogous statement.

**Theorem 3.** — The space $H^*(BMO)$ operates on $H^1(B^p)$ in the sense that the pairing

$$
\langle f, H^*b \rangle = \int_{\partial B^p} f \bar{H}^*bdS, \quad b \in BMO(\partial B^p),
$$

has a continuous extension from $C^1(\overline{B^p}) \cap H^1(B^p)$ to $H^1(B^p)$. Conversely, any bounded linear functional on $H^1(B^p)$ occurs in this way, and the operator norm is comparable to the $BMO$ norm of $H^*b$.

Hence Theorem 3 states that $(H^1)^* \cong H^*(BMO)$. The proof actually gives that $(H^1)^* \cong H^*(L^\infty)$, and thus in particular $H^*(BMO) = H^*(L^\infty)$.

Next we consider the atomic space $\mathcal{H}^1_{at}$ on the boundary, where atoms are defined with respect to the measure $dS$ and quasimetric $d$. 
More precisely, an atom is a measurable function \( a \) on \( \partial B^p \) that is either identically one or else there is some ball \( B_r(z_0) = \{ z \in \partial B^p, d(z, z_0) < \varepsilon \} \) centred at \( z_0 \in \partial B^p \) such that
\[
\text{supp } a \subset B_r(z_0), \\
\|a\|_L^\infty \leq \frac{1}{|B_r(z_0)|}
\]
and
\[
\int_{\partial B^p} a(z) dS(z) = 0,
\]
where \( |B_r(z_0)| = \int_{B_r(z_0)} dS \). The atomic space \( \mathcal{H}^1_{at}(\partial B^p) \) then consists of functions \( f \) such that \( f = \sum_{j=1}^{\infty} \lambda_j a_j \) (in \( L^1 \)), where \( \lambda_j \in \mathbb{C} \) satisfies \( \sum_{j=1}^{\infty} |\lambda_j| < \infty \) and \( a_j \) are atoms. The norm \( \|f\|_{at} \) is defined as the infimum of \( \sum_{j=1}^{\infty} |\lambda_j| \) over all representations \( \sum_{j=1}^{\infty} \lambda_j a_j \) for \( f \). The “real variable” spaces \( \mathcal{H}^1_{at} \) and \( \text{BMO} \) are related by (see \([CW]\))
\[
(\mathcal{H}^1_{at})^* \cong \text{BMO}, \quad \text{via the pairing } \langle f, b \rangle = \int_{\partial B^p} f \tilde{b} dS \text{ for } b \in \text{BMO}.
\]

Note that, by (1.4) and Theorem 2, \( H \) can be extended to a bounded operator on \( \mathcal{H}^1_{at} \). Moreover, we define the holomorphic atomic space \( \mathcal{H}^1_{at}(B^p) \) to consist of all functions \( F \) such that \( F = Hf \) for some \( f \in \mathcal{H}^1_{at}(\partial B^p) \), with norm \( \|F\|_{at} = \inf \{ \|f\|_{at}; F = Hf, f \in \mathcal{H}^1_{at}(\partial B^p) \} \). Then it is easy to see that every \( F \in \mathcal{H}^1_{at} \) is a \( H^1 \)-function, but the converse is also true.

**Theorem 4.** — Every \( F \in H^1(B^p) \) is in \( \mathcal{H}^1_{at}(B^p) \), and conversely, with \( \|F\|_{at} \sim \|F\|_{H^1} \).

A classical result for the unit disk in \( \mathbb{C} \) is that every \( H^1 \)-function can be factorized as a product of two \( H^2 \)-functions. This can be shown by first factorizing out the zeros by means of a Blaschke product and then simply taking square roots. In higher dimensions there are no analogue of the Blaschke products available. Nevertheless, using the atomic decomposition for \( H^1 \), Coifman, Rochberg and Weiss, \([CRW]\), proved a substitute result for the ball \( B \) in \( \mathbb{C}^n \). This is generalized in our setting to \( B^p \) as follows

**Theorem 5.** — For every \( F \in H^1(B^p) \) there are two sequences \( \{G_j\} \) and \( \{H_j\} \) in \( H^2(B^p) \) such that
\[
F(z) = \sum_{j=1}^{\infty} G_j(z)H_j(z), \quad z \in B^p.
\]
with
\[ \sum_{j=1}^{\infty} ||G_j||_{H^2} ||H_j||_{H^2} \sim ||F||_{H^1}. \]

Note that, by Theorem 4, it is enough to prove that every holomorphic atom \( H \alpha \) admits such a decomposition. In fact we will prove that \( H \alpha = G_j H_j \) where \( ||G_j||_{H^2} ||H_j||_{H^2} \leq C. \)

**Remarks and notations.** — In this paper \( \lesssim \) means \( \leq C \), where \( C \) is a nonzero constant at most depending on the dimension \( n \) and the index \( p \) in \( B^p \), and \( \sim \) means both \( \lesssim \) and \( \gtrsim \). Moreover, when \( f \) is a function defined in \( B^p \) and its values over the boundary are to be considered, then \( f \) is understood to be replaced by its limit function at the boundary (i.e. \( f(z_0) \), \( z_0 \in \partial B^p \) is the limit of \( f(z) \) when \( z \in B^p \) approaches \( z_0 \) in the normal direction). In this way, \( H^1 \) can be considered as a closed subspace of \( L^1 \), and \( ||f||_{H^1} \sim ||f||_{L^1} \). Also a function in \( H^1 \) can be approximated by holomorphic functions that are continuous up to the boundary (i.e. if \( f \in H^1 \) then \( f_r \to f \) in \( L^1 \), where \( f_r , 0 < r < 1 \), is defined by \( f_r(z) = f(rz), z \in \overline{B^p}. \) )

**The paper is organized as follows.** — In Section 2 the appropriate geometry of \( B^p \) is presented in more detail. In Section 3 we prove the \( L^2 \)-boundedness of the operator \( H \) (Theorem 1) by applying the \( T1 \)-theorem for spaces of homogeneous type. The boundedness on \( BMO \) (Theorem 2) is also considered. In Section 4 the duality in Theorem 3 is proved. The principal idea in the proof is due to Fefferman and Stein. Also the atomic decomposition of \( H^1 \) (Theorem 4) is proved in this section. It formalizes the idea that \( H^1 \) and \( H^1_{at} \) are equal since they have the same dual space. Finally in Section 5 we prove the factorization theorem (Theorem 5).

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**2. The boundary as a space of homogeneous type.**

In this section the appropriate geometry of \( B^p \) is presented in more detail. In the integral operator \( H \) defined in the introduction, we chose
$q = \partial \rho$ where $\rho(z) = \sum_{j=1}^{n} |z_j|^{2p_j} - 1$. Since the real part of $\langle \partial \rho(\zeta), \zeta - z \rangle$ is, apart from a nonzero smooth factor, the Euclidean distance from $z$ to the real tangent plane at $\zeta \in \partial B^p$ and since $B^p$ is convex this distance is positive if $z \in B^p$.

Moreover, with this choice of $q$, the form $(2\pi i)^{-n} \partial \rho(\zeta) \wedge (\bar{\partial} \rho(\zeta))^{n-1}$ represents a positive measure $dS$ on the boundary. Recall that a $(2n-1)$-form $\alpha$ represents the Euclidean surface measure on $\partial B^p$ if $\frac{d\rho}{|d\rho|} \wedge \alpha = \bigwedge_{j=1}^{n} dx_j \wedge dy_j$. As we have

$$\partial \rho(\zeta) \wedge (\bar{\partial} \rho(\zeta))^{n-1} = (n-1)! \sum_{j=1}^{n} \left( p_j |z_j|^{2(p_j-1)} \bar{z_j} \prod_{k \neq j} p_k^2 |z_k|^{2(p_k-1)} d\zeta_j \wedge \bigwedge_{k \neq j} d\zeta_k \wedge d\bar{\zeta}_k \right)$$

and

$$\frac{d\rho(\zeta)}{|d\rho(\zeta)|} \wedge \partial \rho(\zeta) \wedge (\bar{\partial} \rho(\zeta))^{n-1} = \frac{(n-1)!}{|d\rho(\zeta)|} \sum_{j=1}^{n} |z_j|^{2p_j} \prod_{j=1}^{n} p_j^2 |z_j|^{2(p_j-1)} \bigwedge_{j=1}^{n} d\zeta_j \wedge d\bar{\zeta}_j,$$

we see that $dS \sim w d\sigma$ where

$$w(\zeta) = \prod_{j=1}^{n} p_j^2 |z_j|^{2(p_j-1)}.$$

Later on we will consider the volume measure $dV$ represented by the form $(2\pi i)^{-n} (\bar{\partial} \rho)^n$. It corresponds naturally to $dS$, as they (essentially) are induced by the same Hermitian metric corresponding to the $(1,1)$-form $i \partial \bar{\partial} \rho$ (see §4). Note that $(2\pi i)^{-n} (\bar{\partial} \rho)^n = d((2\pi i)^{-n} \partial \rho(\zeta) \wedge (\bar{\partial} \rho(\zeta))^{n-1})$ and that $dV \sim w d\lambda$, where $d\lambda$ is Lebesgue measure. For further use we write $|U| = \int_{U} dS$ when $U \subset \partial B^p$.

Now set $d(\zeta, z) = |v(\zeta, z)| + |v(z, \zeta)|$, where $v(\zeta, z) = \langle \partial \rho(\zeta), \zeta - z \rangle = \sum_{j=1}^{n} p_j |z_j|^{2(p_j-1)} \bar{z}_j (\zeta_j - z_j)$, and let $B_{\epsilon}(z) = \{ \zeta \in \partial B^p, d(\zeta, z) < \epsilon \}$ be the corresponding balls. Then, generalizing the idea from the strictly pseudoconvex case, we will see that $(\partial B^p, dS, d)$ is a space of homogeneous type. The distance $d$ have been considered before in for example [BL] and is in fact equivalent to the quasimetric used by McNeal for convex domains (see for instance [McN]). Hence for the proofs of Lemmas 1, 2 and 4 below,
which concern estimates on $v$ and $d$, we refer to the paper [BL]. Detailed proofs can also be found in [Ha].

Since clearly $d(\zeta, z)$ is symmetric and equals zero if and only if $\zeta = z$, the following lemma shows that $d$ is a quasimetric on the boundary.

**Lemma 1.** — For $z, \zeta, w \in \partial B^p$ we have

i) $|v(\zeta, z)| \sim |v(z, \zeta)|$

and

ii) $d(\zeta, z) \lesssim d(\zeta, w) + d(w, z)$.

**Remark.** — Note that if $z \in B_\epsilon(z_0)$ and $\zeta \in B_\epsilon^C(z_0) = \partial B^p \setminus B_\epsilon(z_0)$, for $C$ sufficiently large, then by Lemma 1 we have $d(\zeta, z) \sim d(\zeta, z_0)$.

For later purposes we also need estimates of $v(\zeta, z)$ near the boundary.

**Lemma 2.** — For $z \in \mathbb{C}^n$ let $\tilde{z}$ denote the projection onto $\partial B^p$ determined by $z_j = (1 + \rho(z))^{\frac{1}{\delta_j}} \tilde{z}_j$. Then for $\zeta \in \overline{B^p}$ we have

i) $|v(z, \zeta) - \rho(z)| \sim |v(\tilde{z}, \tilde{\zeta})| - \rho(z) - \rho(\zeta)$, if $z \in \overline{B^p}$

and

ii) $|v(z, \zeta)| \sim |v(\tilde{z}, \tilde{\zeta})| + \rho(z) - \rho(\zeta)$, if $z \in (B^p)^c$.

To see that $(\partial B^p, d, dS)$ is a space of homogeneous type it remains to check the doubling condition $|B_{2\epsilon}(z_0)| \lesssim |B_{\epsilon}(z_0)|$. This clearly follows from the stronger statement $|B_{\epsilon}(z_0)| \sim \epsilon^n$, that is contained in the following lemma.

**Lemma 3.** — If $z \in \partial B^p$ then

i) $\int_{|v(\zeta, z)| < \epsilon} dS(\zeta) \sim \epsilon^n$,

ii) $\int_{|v(z, \zeta)| < \epsilon, \zeta \in B^p} dV(\zeta) \sim \epsilon^{n+1}$

and

iii) $\int_{|v(\zeta, z)| < \epsilon, \zeta \in (B^p)^c} dV(\zeta) \sim \epsilon^{n+1}$.

**Proof.** — The estimate i) is known for the ball. The general case can be proved by a change of variables $\zeta_j \rightarrow \zeta_j^{p_j}$. To do this we need a simple connection between $v$ and the ball related $\tilde{v}$ defined by $\tilde{v}(\zeta, z) = \langle \tilde{\zeta}^p, \zeta^p - z^p \rangle$ where $z^p = (z_1^{p_1}, ..., z_n^{p_n})$, i.e. $\tilde{v}(\zeta, z) = v_B(\zeta^p, z^p)$ where $v_B$ is
the corresponding \( v \) for the ball. They are related by

\[
v(\zeta, z) = \hat{v}(\zeta, z) + \sum_{j=1}^{n} \sum_{k=2}^{p_j} \left( \frac{p_j}{k} \right) \zeta_j^{p_j} \zeta_j^{-k} (z_j - \zeta_j)^k.
\]

Now one can prove that \(|\hat{v}(\zeta, z)| \lesssim |v(\zeta, z)|\) (see [BL]) and hence

\[
\int_{|v(\zeta, z)| < \epsilon} dS(\zeta) \leq \int_{|\hat{v}(\zeta, z)| \leq \epsilon} dS(\zeta) \sim \int_{|\hat{v}(\zeta, z)| \leq \epsilon} d\sigma_B(\zeta) \sim \epsilon^n.
\]

Likewise we get the converse inequality by observing that if we restrict the integration to \( \zeta \in E_z \), where \( E_z = \{ \zeta \in \partial B^p, \arg \zeta_j - \arg z_j < \pi/p_j \} \), then \(|v(\zeta, z)| \lesssim |\hat{v}(\zeta, z)|\) (see [BL]).

By using i) and Lemma 2, the statements ii) and iii) now easily follow. If we change coordinates \( \zeta \rightarrow (t, \tilde{\zeta}) \), where \( t = -\rho(\zeta) \) and \( \tilde{\zeta} \) is the projection of \( \zeta \) onto \( \partial B^p \) (in the meaning of Lemma 2), then \( dV(\zeta) \sim dt d\tilde{S}(\tilde{\zeta}) \) and hence

\[
\int_{|v(z, \zeta)| < \epsilon, \zeta \in B^p} dV(\zeta) \sim \int_{|v(z, \zeta)| + t < \epsilon} dt d\tilde{S}(\tilde{\zeta})
\]

\[
= \int_{0}^{\epsilon} \left( \int_{|v(z, \zeta)| < \epsilon - t} d\tilde{S}(\tilde{\zeta}) \right) dt \sim \int_{0}^{\epsilon} (\epsilon - t)^n dt \sim \epsilon^{n+1}.
\]

Similarly iii) is proved.

Note that i) in Lemma 3 implies that for \( z \in \partial B^p \) and \( \alpha > 0 \) we have

\[
\int_{d(\zeta, z) > \epsilon} \frac{dS(\zeta)}{d(\zeta, z)^{n+\alpha}} \lesssim \frac{1}{\epsilon^\alpha},
\]

where the constant in the inequality depend on \( \alpha \). This is most easily seen by splitting the integral in dyadic parts. In the same way one can see that ii) in Lemma 3 implies that for \( z \in \partial B^p \) and \( \alpha, \beta > 0 \) such that \( \beta - \alpha > -1 \), we have

\[
(2.2) \quad \int_{B^p} \frac{(-\rho(\zeta))^{\beta-\alpha} dV(\zeta)}{|v(z, \zeta)|^{\alpha+1-\alpha}} < \infty.
\]

For further use we also need the following smoothness result on \( v(\zeta, z) \).

**Lemma 4.** — With \( \delta = (2 \max\{p_j\})^{-1} \) we have for \( z, \zeta, w \in \partial B^p \)

i) \(|v(w, \zeta) - v(z, \zeta) + v(z, w)| \lesssim d(w, \zeta)^{\delta} d(z, w)^{1-\delta} + d(w, \zeta)^{1-\delta} d(z, w)^{\delta}\)

and

ii) there exists a constant \( C \) such that if \( z, w \in B_{\epsilon}(z_0) \) and \( \zeta \in B_{C\epsilon}(z_0) \) then

\[
|v(w, \zeta) - v(z, \zeta)| + |v(\zeta, w) - v(\zeta, z)| \lesssim \epsilon^{\delta} d(\zeta, z_0)^{1-\delta}.
\]
Moreover, with $\delta$ as in Lemma 4, we also have the estimate $|z - \zeta| \lesssim |v(z, \zeta) - \rho(z)|^\delta$, for $z \in B^p$ and $\zeta \in \partial B^p$ (see [BL]).


With $v(\zeta, z)$ and $dS$ as in Section 2 the operator $H$ defined for $f \in L^1(\partial B^p)$ by

$$Hf(z) = \int_{\partial B^p} \frac{f(\zeta) \, dS(\zeta)}{v(\zeta, z)^n}, \quad z \in B^p$$

reproduces holomorphic functions that is sufficiently smooth up to the boundary. In fact, since $C^1(\overline{B^p}) \cap H^1(B^p)$ is dense in $H^1(B^p)$, we have $Hf = f$ when $f \in H^1(B^p)$.

In this section we prove that the operator $H$ is bounded from $L^2$ to $H^2$. This is done by applying Coifman’s generalization of the $T1$-theorem to spaces of homogeneous type. Then we use this $L^2$-boundedness to prove that $H$ and its adjoint $H^*$ are bounded operators on $BMO$. The proof is an adaption of the classical argument in [FS] to the non-isotropic geometry of $\partial B^p$.

We begin by recalling the $T1$-theorem. Before we state it, we need some basic definitions concerning singular integral operators. A nice presentation of the $T1$-theorem, for a general space of homogeneous type, can be found in [C, Ch. 6]. Here we just consider the specific space $(\partial B^p, d, dS)$, where (by the $C^\infty$-manifold structure of $\partial B^p$) the presentation can be slightly simplified. A kernel $k : \partial B^p \times \partial B^p \setminus \{\zeta = z\} \to \mathbb{C}^n$ is said to be standard if there exist constants $c$ and $\delta > 0$ such that for all $\zeta, \zeta, \omega \in \partial B^p$, with $\epsilon = d(z, \zeta) > 0$ and $d(z, \omega) < \epsilon$, we have

$$|k(z, \zeta)| \lesssim \frac{1}{|B_\epsilon(z)|} \quad \text{(3.2)}$$

and

$$|k(\omega, \zeta) - k(z, \zeta)| + |k(\zeta, \omega) - k(\zeta, z)| \lesssim \left(\frac{d(z, \omega)}{d(z, \zeta)}\right)^\delta \frac{1}{|B_\epsilon(z)|}. \quad \text{(3.3)}$$

Then we say that $T$ is a singular integral operator if it is a continuous linear operator from $C^\infty(\partial B^p)$ to the space of distributions on $\partial B^p$ that is associated to a standard kernel $k$ in the sense that

$$(Tf, g) = \int_{\partial B^p} \int_{\partial B^p} k(\zeta, z)f(z)g(\zeta)\, dS(z)\, dS(\zeta).$$
for all $f, g \in C^\infty$ with disjoint supports. The transpose $T^t$ of a singular integral operator $T$ is defined by the relation

$$\langle Tf, g \rangle = \langle f, T^t g \rangle \text{ for all } f, g \in C^\infty.$$  

To formulate the $T1$-theorem we also need the notion of weak boundedness. For $w \in \partial B^p$ and $\delta, \epsilon > 0$ define $A(\delta, w, \epsilon)$ to be the set of all $\phi \in C^\infty$ supported in $B_\epsilon(w)$ satisfying $||\phi||_\infty \leq 1$ and for all $\zeta, z \in \partial B^p$

$$|\phi(\zeta) - \phi(z)| \leq \frac{d(\zeta, z)^\delta}{\epsilon^\delta}.$$  

Then we say that a singular integral operator $T$ is weakly bounded if there exist $\delta$ small enough (see [C] for enough requirements) such that for all $w \in \partial B^p, \epsilon > 0$ and $\phi, \tau \in A(\delta, w, \epsilon)$

$$|\langle T\phi, \tau \rangle| \lesssim |B_\epsilon(w)|.$$  

The $T1$-theorem can then be stated as follows  

**Proposition 1.** — A singular integral operator $T$ on a space of homogeneous type is bounded on $L^2$ if and only if it is weakly bounded and $T_1, T_1^t \in BMO$.

Recall also that any singular integral operator that is bounded on $L^2$ is bounded on $L^q$ for $q > 1$. For the definition of $BMO$ see (3.7) below. However, in the proof of Theorem 1 we show that $H^1, H_1 \in L^\infty$ and thus (as $H1 = 1$) it is enough to know that $L^\infty \subset BMO$.

**Proof of Theorem 1.** — To apply the $T1$-theorem to $H$ we first note that $1/v(\zeta, z)^n$ is a standard kernel. By Lemmas 1 and 3 the estimate in (3.2) is clearly fulfilled. Also making use of Lemma 4, the estimate in (3.3) follows. For example

$$\left| \frac{1}{v(w, \zeta)^n} - \frac{1}{v(z, \zeta)^n} \right| = \left| \frac{(v(z, \zeta) - v(w, \zeta)) \sum_{j=0}^{n-1} v(z, \zeta)^j v(w, \zeta)^{n-1-j}}{v(z, \zeta)^n v(w, \zeta)^n} \right| \leq \frac{d(z, w)^\delta \epsilon^{1-\delta}}{\epsilon^{n+1}} \sim \left( \frac{d(z, w)}{d(z, \zeta)} \right)^\delta \frac{1}{|B_\epsilon(w)|},$$

since if $\epsilon$ is sufficiently small then $d(z, \zeta) \sim d(w, \zeta)$ when $d(z, w) < \epsilon d(z, \zeta)$.

Next we need to define $H$ as an operator on $\partial B^p$. Let $Hf(z_0), \ z_0 \in \partial B^p$, be the limit of $Hf(z)$ when $z \in B^p$ approaches $z_0$ in the normal
direction. When \( f \in L^2 \) it is not immediately clear that this limit exist. However, since \( H1 = 1 \), we have

\[
Hf(z) = \int_{\partial B_p} \frac{f(\zeta) - f(z_0)}{v(\zeta, z)^n} \, dS(\zeta) + f(z_0), \quad z \in B_p,
\]

and if \( f \) is say \( C^1 \), the integral on the right is easily seen to converge when \( z \to z_0 \in \partial B_p \), so

\[
(3.4) \quad Hf(z_0) = \int_{\partial B_p} \frac{f(\zeta) - f(z_0)}{v(\zeta, z_0)^n} \, dS(\zeta) + f(z_0).
\]

This can equally well be taken as definition of \( Hf \) when \( f \) is a \( C^1 \)-function. Moreover, it is easy to check that \( H \) is a singular integral operator associated to \( 1/v(\zeta, z)^n \).

To see that \( H \) is bounded on \( L^2 \) it remains to verify the conditions in Proposition 2. As \( H \) reproduces holomorphic functions we have \( H1 = 1 \in \text{BMO} \). Thus we turn our attention directly to \( H1 \). Since \( v(\zeta, z) \) is holomorphic in \( z \) we have by Stokes’ theorem

\[
\int_{\partial B_p} \frac{\partial \rho(z) \wedge (\partial \partial \rho(z))^{n-1}}{v(\zeta, z)^n} = \int_{B_p} \frac{(\partial \partial \rho(z))^{n}}{v(\zeta, z)^n},
\]

where \( B_p = \{ z \in \mathbb{C}^n, \rho(z) < -r \} \). Note here that, by (2.2), the right hand side converges (uniformly in \( \zeta \in \partial B_p \)) when \( r \to 1 \). Then by Fubini’s theorem

\[
(3.5) \quad \int_{\partial B_p} Hf \, dS = \int_{\partial B_p} f(\zeta) \left( \int_{B_p} \frac{dV(z)}{v(\zeta, z)^n} \right) \, dS(\zeta).
\]

If \( f \in C^\infty \) then \( Hf \) is continuous on \( \overline{B_p} \), so letting \( r \to 1 \) in (3.5) we get

\[
\int_{\partial B_p} fHt1 \, dS = \int_{\partial B_p} Hf \, dS = \int_{\partial B_p} f(\zeta) \left( \int_{B_p} \frac{dV(z)}{v(\zeta, z)^n} \right) \, dS(\zeta).
\]

Hence we must have

\[
(3.6) \quad Ht1(z) = \int_{B_p} \frac{dV(\zeta)}{v(z, \zeta)^n}, \quad z \in \partial B_p.
\]

In particular, by (2.2), we have \( Ht1 \in L^\infty \subset \text{BMO} \).

Thus it remains to check that \( H \) is weakly bounded. This follows from

**Lemma 5.** — If \( z \in \partial B_p \) then

\[
\left| \int_{d(\zeta, z) > \epsilon} \frac{dS(\zeta)}{v(\zeta, z)^n} \right| \lesssim 1.
\]
Proof. — Since \(d(\zeta, z) \lesssim |v(\zeta, z)|\) we have
\[
\left| \int_{d(\zeta, z) > \epsilon} \frac{dS(\zeta)}{v(\zeta, z)^n} \right| \leq \left| \int_{|v(\zeta, z)| > \epsilon} \frac{dS(\zeta)}{v(\zeta, z)^n} \right| + \int_{|v(\zeta, z)| > \epsilon} \frac{dS(\zeta)}{|v(\zeta, z)|^n}.
\]
Here the second integral on the right is clearly bounded. To estimate the first one we use Stokes’ theorem on the domain \(\{\zeta \in (B^p)^c, |v(\zeta, z)| > \epsilon, \rho(\zeta) < 1\}\). Since \(\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n/|v(\zeta, z)|\) is a closed form where \(\zeta \neq z\), and as
\[
\int_{\rho(\zeta) = 1} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n} = 1
\]
(by Proposition 1 applied to the domain \(\{\rho < 1\}\)), we deduce that
\[
\int_{|v(\zeta, z)| > \epsilon} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n} = 1 - \int_{|v(\zeta, z)| = \epsilon, \zeta \in (B^p)^c} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n}.
\]
When integrating with respect to \(\zeta\) such that \(|v(\zeta, z)| = \epsilon\), we can write \(1/|v(\zeta, z)| = \epsilon, \rho(\zeta, z) < \epsilon^2\). Thus if we use Stokes’ theorem again, now on the domain \(\{\zeta \in (B^p)^c, |v(\zeta, z)| < \epsilon\}\), we get
\[
\int_{|v(\zeta, z)| = \epsilon, \zeta \in (B^p)^c} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n} = \frac{1}{\epsilon^{2n}} \int_{|v(\zeta, z)| = \epsilon, \zeta \in (B^p)^c} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n}.
\]
\[
= \frac{1}{\epsilon^{2n}} \int_{|v(\zeta, z)| < \epsilon} \frac{\partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n} + \frac{1}{\epsilon^{2n}} \int_{|v(\zeta, z)| < \epsilon, \zeta \in (B^p)^c} \frac{d\zeta v(\zeta, z)^n \wedge \partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n}{v(\zeta, z)^n}.
\]
Since \(|d\zeta v(\zeta, z)^n \wedge \partial \rho(\zeta) \wedge (\bar{\partial} \partial \rho(\zeta))^n| \leq |v(\zeta, z)|^{n-1} w(\zeta)|\) we conclude that
\[
\left| \int_{|v(\zeta, z)| > \epsilon} \frac{dS(\zeta)}{v(\zeta, z)^n} \right| \lesssim \frac{1}{\epsilon^n} \int_{|v(\zeta, z)| < \epsilon} dS(\zeta) + \frac{1}{\epsilon^{n+1}} \int_{|v(\zeta, z)| < \epsilon, \zeta \in (B^p)^c} dV(\zeta) + \frac{1}{\epsilon^n} \int_{|v(\zeta, z)| < \epsilon, \zeta \in (B^p)^c} dV(\zeta).
\]
Hence, by i) and iii) in Lemma 3, we are done. □

Remark. — In a similar way one can prove that
\[
\int_{d(\zeta, z) > \epsilon} \frac{dS(\zeta)}{v(\zeta, z)^n} \lesssim 1.
\]
To see that $H$ is weakly bounded is now easy. If $\phi \in A(\delta, w, \epsilon)$ and $C$ is sufficiently large then

$$H\phi(z) = \int_{d(\zeta, w) \leq C\epsilon} \frac{\phi(\zeta) - \phi(z)}{v(\zeta, z)^n} dS(\zeta) + \phi(z) \left( 1 - \frac{1}{\epsilon} \int_{d(\zeta, w) > C\epsilon} \frac{1}{v(\zeta, z)^n} dS(\zeta) \right).$$

By Lemma 5 the second term on the right is uniformly bounded, and for the first one we have

$$\left| \int_{d(\zeta, w) \leq C\epsilon} \frac{\phi(\zeta) - \phi(z)}{v(\zeta, z)^n} dS(\zeta) \right| \lesssim \frac{1}{\epsilon^\delta} \int_{d(\zeta, w) \leq C\epsilon} \frac{1}{d(\zeta, z)^{n-\epsilon}} dS(\zeta) \lesssim \frac{\epsilon}{\epsilon^\delta} = 1,$$

so $||H\phi(z)||_\infty \lesssim 1$ for all $\phi \in A(\delta, w, \epsilon)$. Hence if also $\tau \in A(\delta, w, \epsilon)$ then

$$|\langle H\phi, \tau \rangle| \lesssim \int_{\partial B^p} |\tau| dS = \int_{d(\zeta, w) < \epsilon} |\tau| dS \leq |\langle B_\epsilon(w) \rangle|.$$

Thus by the T1-theorem it follows that $H$, defined on the boundary by (3.4) for $C^1$-functions, can be extended to a bounded operator on $L^2$. Then to see that $H$ (as defined in $B^p$ by (3.1)) takes $L^2$ boundedly into $H^2$ is easy. For $f \in L^2$ take a sequence of functions $f_n \in C^1(\overline{B^p})$ such that $f_n \to f$ in $L^2$. Then

$$\int_{\partial B^p} |Hf_n|^2 dS \lesssim \int_{\partial B^p} |Hf|^2 dS \lesssim \int_{\partial B^p} |f|^2 dS,$$

and hence letting $n \to \infty$ we get $\int_{\partial B^p} |Hf|^2 dS \lesssim \int_{\partial B^p} |f|^2 dS$ for all $r > 0$. Thus $||Hf||_{H^2} \lesssim ||f||_{L^2}$ and Theorem 1 is proved.

Now for $f \in C^1$ consider $Hf(z)$ as defined on the boundary by taking limits from the interior. For functions $F$ in $H^2$ this limit exist almost everywhere and we have $||F||_{H^2} \sim ||F||_{L^2}$. Hence we conclude by Theorem 1 that $Hf(z)$, $z \in \partial B^p$, can be defined in this way for all $L^2$-functions. Moreover, this limit operator is bounded on $L^2$. In fact it is the same operator as the extended operator given by the T1-theorem (since they coincide on smooth functions). Then, as an operator on $L^2$, it has an adjoint operator which we denote by $H^*$. Note that $H^*$ is a singular integral operator associated to the standard kernel $1/v(z, \zeta)^n$.

Before we turn to the proof of Theorem 2 we recall the definition of $BMO$. The space $BMO(\partial B^p)$ consists of those $b \in L^1(\partial B^p)$ such that the norm

$$||b||_{BMO} = \sup_{z_0, \epsilon} \frac{1}{|B_\epsilon(z_0)|} \int_{B_\epsilon(z_0)} |b(z) - b_{B_\epsilon(z_0)}| dS(z) + \int_{\partial B^p} |b(z)| dS(z)$$

(3.7)
is finite, where \( b_{B_e(z_0)} \) is the mean value of \( b \) on \( B_e(z_0) \). Recall also that by
the John-Nirenberg inequality for BMO-functions we have

\[
\frac{1}{|B_e(z_0)|} \int_{B_e(z_0)} |b(z) - b_{B_e(z_0)}|^q dS(z) \lesssim \|b\|^q_{BMO}, \quad q > 1.
\]  

**Proof of Theorem 2.** — The idea in the proof of Theorem 2 is standard and in fact the same as in the original paper by Fefferman and Stein on Hardy Spaces ([FS]). Hence some details below are left for the reader. To prove that \( H \) is bounded on BMO let \( b \in \text{BMO} \) and fix a ball \( B_e \) with center in \( z_0 \in \partial B^p \). Then write \( b = b_1 + b_2 + b_3 \) where \( b_1 = b_{B_e(z_0)}, b_2 = (b - b_1) \chi_{B_e(z_0)} \) and \( b_3 = (b - b_1)(1 - \chi_{B_e(z_0)}) \). Here \( \chi_{B_e(z_0)} \) is the characteristic function for \( B_e(z_0) \) and \( C \) is a sufficiently large constant (depending on the constant in the triangle inequality for \( d \)). As \( Hb_1 = b_1 \) is constant we have

\[
\frac{1}{|B_e|} \int_{B_e} |Hb_1(z) - (Hb_1)_{B_e}| dS(z) = 0.
\]

Moreover, since \( H \) is bounded on \( L^2 \), we have by Jensen’s inequality and (3.8)

\[
\left( \frac{1}{|B_e|} \int_{B_e} |Hb_2 - (Hb_2)_{B_e}| dS \right)^2 \lesssim \left( \frac{1}{|B_e|} \int_{B_e} |Hb_2| dS \right)^2 \leq \frac{1}{|B_e|} \int_{\partial B^p} |Hb_2|^2 dS \lesssim \frac{1}{|B_e|} \int_{\partial B^p} |b_2|^2 dS \Rightarrow \frac{1}{|B_e|} \int_{B_e} |b - b_{B_e}|^2 dS \lesssim \|b\|^2_{BMO}.
\]

Finally by Lemma 4 we have

\[
\frac{1}{|B_e|} \int_{B_e} |Hb_3(z) - (Hb_3)_{B_e}| dS(z)
\]

\[
\lesssim \frac{1}{|B_e|^2} \int_{B_e} \left( \int_{\partial B^p} \left( \int_{\partial B^p} \frac{1}{v(\zeta, z)^n} - \frac{1}{v(\zeta, w)^n} \left| b_3(\zeta) |dS(\zeta)\right| dS(w) \right) dS(z)
\]

\[
\lesssim \int_{\partial B^p} \epsilon^\delta d(\zeta, z_0)^{1-\delta}|b_3(\zeta)| |dS(\zeta)| \lesssim \epsilon^\delta \int_{\partial B^p} \frac{|b(\zeta) - b_{B_e}|}{d(\zeta, z_0)^{n+\delta}} |dS(\zeta)|
\]

\[
\lesssim \|b\|_{BMO}
\]

where \( \delta = (2 \max\{p_j\})^{-1} \). The last inequality can be seen by splitting the integral in dyadic parts (compare for instance [FS]).

To see that \( \|Hb\|_{L^1} \lesssim \|b\|_{BMO} \) write instead \( b = b_{\partial B^p} + (b - b_{\partial B^p}) \). Then \( \|Hb_{\partial B^p}\|_{L^1} = \|b_{\partial B^p}\|_{L^1} \lesssim \|b\|_{L^1} \leq \|b\|_{BMO} \) and the term \( H(b -
can be estimated as $H b_2$ above. This proves that $H$ is bounded on $BMO$.

In the same way we can prove that $H^*$ is bounded on $BMO$, with some extra effort on the term $H^* b_1$. Although $H^* 1$ is not identically 1, one can easily see by Lemma 4 that it is Hölder continuous of order $\delta$ (recall the explicit expression (3.6) for $H^* 1$). Moreover one can show that $|b_1| \lesssim \log \frac{1}{\epsilon} ||b||_{BMO}$ (see for example [FS]) and hence

$$\frac{1}{|B_\epsilon|} \int_{B_\epsilon} |H^* b_1(z) - (H^* b_1)_{B_\epsilon}| dS(z)$$

$$\leq \frac{|b_1|}{|B_\epsilon|^2} \int_{B_\epsilon} \int_{B_\epsilon} |H^* 1(z) - H^* 1(\zeta)| dS(\zeta) dS(z)$$

$$\lesssim |b_1| \cdot \epsilon^\delta \lesssim ||b||_{BMO} \epsilon^\delta \log \frac{1}{\epsilon} \lesssim ||b||_{BMO}.$$  

The terms $H^* b_2$ and $H^* b_3$ are treated as the corresponding ones for $H$, and it follows that also $H^*$ is bounded on $BMO$.  

\[\Box\]

4. Duality and atomic decomposition of $H^1$.

In this section we prove Theorem 3 and Theorem 4. In the proof of Theorem 3 we need a version of Green’s identity with respect to the non-isotropic structure of $B^p$. For this reason we begin by considering the Hermitian metric corresponding to the $(1, 1)$-form $\omega = i \partial \bar{\partial} \rho$, where $\rho(z) = \sum_{j=1}^n |z_j|^{2p_j} - 1$ (A general presentation of Hermitian metrics can be found in [R2, Ch.3]). That is, for $(1, 0)$-forms $u$ and $v$, we define the inner product by

$$\langle u, v \rangle_\omega = i u \wedge \bar{v} \wedge \frac{\omega^{n-1}}{(n-1)!}.$$  

This is extended to all 1-forms by setting $\langle u, \bar{v} \rangle_\omega = \langle u, v \rangle_\omega$, $\langle u, \bar{v} \rangle_\omega = 0$ and $\langle u, v \rangle_\omega = 0$. It should be noted that $\langle \cdot, \cdot \rangle_\omega$ degenerates at points $z \in \mathbb{C}^n$ where $z_j = 0$ for some $j$ such that $p_j \neq 1$. Since

$$\omega^n/n! = i^n \prod_{k=1}^n p_k^2 |z_k|^{2(p_k-1)} \wedge d z_k \wedge d \bar{z}_k$$

and

$$\omega^{n-1}/(n-1)! = i^{n-1} \sum_{j=1}^n \left( \prod_{k \neq j} p_k^2 |z_k|^{2(p_k-1)} \wedge d z_k \wedge d \bar{z}_k \right),$$
we have
\[\langle u, v \rangle_\omega = \sum_{k=1}^n dz_k \wedge d\bar{z}_k = u \wedge \bar{v} \wedge \sum_{j=1}^n \left( \frac{1}{p_j^2 |z_j|^{2(p_j-1)}} \wedge dz_k \wedge d\bar{z}_k \right),\]
for \((1, 0)\)-forms \(u\) and \(v\). In particular
\[|\partial f(z)|^2_\omega = \sum_{j=1}^n \frac{1}{p_j^2 |z_j|^{2(p_j-1)}} |\partial f|_{\partial z_j}^2.\]
Thus, if we set \(e_j = p_j |z_j|^{p_j-1} dz_j\), then \(\{e_j, \bar{e}_j\}_{j=1}^n\) is an orthonormal basis for the set of all \(1\)-forms, in the metric \(\langle \cdot, \cdot \rangle_\omega\). The metric \(\langle \cdot, \cdot \rangle_\omega\) induces a volume measure, denoted by \(dV_\omega\), and a surface measure, denoted by \(dS_\omega\).

The volume measure \(dV_\omega\) is represented by \(\bigwedge_{j=1}^n ie_j \wedge \bar{e}_j = \omega^n / n!\), and a \((2n-1)\)-form \(\alpha\) represents \(dS_\omega\) if \((d\rho / |d\rho|_\omega) \wedge \alpha = \omega^n / n!\). Since on \(\partial B^p\)
\[|d\rho|^2_\omega = 2|d\rho|^2_{\omega^n/n!} = 2 \sum_{j=1}^n \frac{p_j^2 |z_j|^{2(p_j-1)}}{p_j^2 |z_j|^{2(p_j-1)}} = 2 \sum_{j=1}^n |z_j|^{2p_j} = 2,
\]
we see that, up to a constant, \(dS_\omega\) coincides with the measure \(dS\), represented by the form \((2\pi i)^{-n} \partial \rho \wedge (\partial \partial \rho)^{n-1}\) (see § 2). Note also that \(dV_\omega\) only differs by a constant from the measure \(dV\), represented by the form \((2\pi i)^{-n} (\partial \partial \rho(\zeta))^{n}\) (see § 2). Next we calculate the Laplacian \(\Delta_\omega = d^*d\), where \(d^*\) is the adjoint of \(d\) with respect to the metric \(\langle \cdot, \cdot \rangle_\omega\). On every test function \(\varphi\), with compact support in \(B^p\), we have by Stokes’ theorem
\[
\int_{\partial B^p} d^* \varphi \wedge d\omega = \int_{\partial B^p} \langle df, d\varphi \rangle_{\omega} d\omega = \int_{\partial B^p} i (\partial - \bar{\partial}) f \wedge d\varphi \wedge \frac{\omega^{n-1}}{(n-1)!} = \int_{\partial B^p} \left( \sum_{j=1}^n \frac{-2}{p_j^2 |z_j|^{2(p_j-1)}} \frac{\partial^2 f}{\partial z_j \partial \bar{z}_j} \right) \varphi d\omega.
\]
Hence
\[
\Delta_\omega f = \sum_{j=1}^n \frac{-2}{p_j^2 |z_j|^{2(p_j-1)}} \frac{\partial^2 f}{\partial z_j \partial \bar{z}_j},
\]
and Green’s identity with respect to the metric \(\langle \cdot, \cdot \rangle_\omega\) is valid, i.e.
\[
\int_{\partial B^p} (f \Delta_\omega g - g \Delta_\omega f) d\omega = \int_{\partial B^p} \left( \frac{\partial f}{\partial \bar{n}} - f \frac{\partial g}{\partial \bar{n}} \right) dS_\omega,
\]
where \(\partial f / \partial \bar{n} = \langle df, d\rho / |d\rho|_\omega \rangle_{\omega}\). As the metric degenerates, and the Laplacian has singularities, at points \(z \in \mathbb{C}^n\) where \(z_j = 0\) for some \(j\) such that \(p_j \neq 1\), one could be worried about the validity of (4.1). However there
is no singularity in $\Delta_\omega h dV_\omega$ and $(\partial h / \partial n) dS_\omega$, if $h$ is sufficiently smooth. Hence (4.1) can be obtained, for instance by first deriving Green's identity with respect to $i\partial \bar{\partial} (\rho + \epsilon \rho_B)$, where $\rho_B$ is the defining function for the ball, and taking limits as $\epsilon \to 0$.

Before we proceed with the proof of Theorem 3 we also need a result on Carleson measures. We say that $\mu$ is a Carleson measure on $B^p$ if $\mu(Q_\epsilon(z_0)) \leq |B_\epsilon(z_0)|$ for all $\epsilon > 0$ and $z_0 \in \partial B^p$, where $Q_\epsilon(z_0) = \{z \in B^p, |v(z_0, z)| < \epsilon\}$. Such measures satisfy the Carleson-Hörmander inequality.

**Lemma 6.** — If $q > 1$ then
\[
\int_{B^p} |f|^q d\mu \lesssim \int_{\partial B^p} |f|^q dS
\]
for all plurisubharmonic functions $f$ such that $\sup_{0 < r < 1} \int_{\partial B^p} |f|^q dS_r < \infty$.

**Proof of Lemma 6.** — The Carleson-Hörmander inequality is known for the ball $B = B^{(1, \ldots, 1)}$ (see [H]), and the general case can be obtained by the change of variables $z \rightarrow z^p$ (i.e. $z_j \rightarrow z_j^p$, $j = 1, \ldots, n$). In fact, if $\mu$ is a Carleson measure on $B^p$ then the measure $\tilde{\mu}$, defined by
\[\tilde{\mu}(U) = \mu\left(\{z \in \mathbb{C}^n, z^p \in U\}\right)\]
is a Carleson measure on $B$ (this follows from results obtained in § 2). Moreover, if $f$ is a plurisubharmonic function on $B^p$, then $\tilde{f}(w) = \sum_{z^p = w} |f(z)|$ defines a plurisubharmonic function on $B$.

Hence, by the Carleson Hörmander inequality in the ball, we get
\[
\int_{B^p} |f(z)|^q d\mu(z) \lesssim \int_{B^p} |\tilde{f}(z^p)|^q d\mu(z) = \int_B |\tilde{f}(z)|^q d\tilde{\mu}(z) \lesssim \int_{\partial B} |\tilde{f}(z)|^q d\sigma(z) \sim \int_{\partial B^p} |\tilde{f}(z^p)|^q dS(z) \lesssim \int_{\partial B^p} |f(z)|^q dS(z).
\]

If we require $f$ to be holomorphic, and hence $\log |f|$ is plurisubharmonic, the inequality in Lemma 6 is satisfied also for $q \leq 1$. In particular the inequality is satisfied with $q = 1$ and $f \in H^1$, which is needed in the following proof.

**Proof of Theorem 3.** — The main difficulty in proving the duality $(H^1)^* \cong H^*(BMO)$ is to obtain the estimate
\[
\left| \int_{\partial B^p} f \bar{b} dS \right| \lesssim ||f||_{H^1} ||b||_{BMO}, \tag{4.2}
\]
for \(f \in C^1(\overline{B^p}) \cap H^1(B^p)\) and \(b \in BMO\). From (4.2) it follows that every \(BMO\)-function, defined as a functional on \(C^1(\overline{B^p}) \cap H^1(B^p)\) by \(\langle f, b \rangle = \int_{\partial B^p} f\bar{b}dS\), can be extended to a continuous linear functional on \(H^1\). Conversely, any functional on \(H^1\) is given by some \(u \in L^\infty\) and hence also by \(H^*u\), since \(\int_{\partial B^p} f\bar{u}dS = \int_{\partial B^p} \bar{H}f\bar{u}dS = \int_{\partial B^p} f\bar{H}^*\bar{u}dS\) if \(f \in H^1\).

Moreover, if \(\langle f, H^*u \rangle = 0\) for all \(f \in H^1\), then we must have \(H^*u = 0\), since taking \(f = H\bar{H}^*u\) we get 0 = \(\langle HH^*u, H^*u \rangle = \langle H^*u, H^*u \rangle = ||H^*u||_{L^2}^2\). Thus the representation is unique, and by the open mapping theorem it follows that \(||H^*u||_{BMO} \sim ||H^*u||_{(H^1)^*}\).

To prove (4.2) we will use the representation \(f(z) = Hf(z)\) which has no (simple) meaning when \(z \in \partial B^p\). Therefore, instead we prove that

\[
\left| \int_{\partial B^p} f\bar{b}dS \right| \lesssim ||f||_{H^1}||b||_{BMO},
\]

for all \(r > 0\), where \(B^p_r = \{z \in \mathbb{C}^n, \rho(z) < -r\}\). Here \(b\) is extended from \(\partial B^p\) to a function defined on \(\overline{B^p}\) by letting \(b\) be constant in the normal direction near the boundary. From this then (4.2) immediately follows.

By Lemma 2 we can define, for \(z \in B^p\),

\[
H^*_rb(z) = \int_{\partial B^p_r} \frac{b(\zeta)dS(\zeta)}{\bar{v}(z, \zeta) - \rho(z)),}
\]

Then \(H^*_rb \in C^\infty(\overline{B^p})\), and by Fubini's theorem

\[
\int_{\partial B^p} f\bar{b}dS = \int_{\partial B^p} Hf\bar{b}dS = \int_{\partial B^p} f\bar{H}^*_rbdS.
\]

In what follows we write \(H^*b\) instead of \(H^*_rb\) and leave it for the reader to examine the independence of \(r\) in the estimates. By Stokes' theorem we get

\[
\int_{\partial B^p} f\bar{H}^*b \bar{\partial}\rho \wedge (\bar{\partial}\rho)^{n-1} = \int_{B^p} \bar{H}^*b \partial f \wedge \bar{\partial}\rho \wedge (\bar{\partial}\rho)^{n-1} + \int_{B^p} f \partial\bar{H}^*b \wedge \bar{\partial}\rho \wedge (\bar{\partial}\rho)^{n-1} - \int_{B^p} f\bar{H}^*b (\bar{\partial}\rho)^n = I_1 + I_2 + I_3.
\]

Here \(I_1\) can be regarded as the main term. Another application of Stokes' theorem gives

\[
I_1 = \int_{B^p} \rho\bar{\partial}\bar{H}^*b \wedge \partial f \wedge (\bar{\partial}\rho)^{n-1}.
\]

To estimate this integral we need
LEMMA 7. — With \( f \) and \( b \) as above we have

i) \( \int_{B^p} -\rho|\partial f|^2 |f|^{q-2} dV \lesssim \int_{\partial B^p} |f|^q dS \)

and

ii) \(-\rho|\partial H^*b|^2 \) is a Carleson measure with norm less than \( ||b||_{BMO}^2 \).

Before we turn to the proof of Lemma 7 we complete the proof of Theorem 3. By Lemma 7, Schwarz' inequality and the Carleson-Hörmander inequality for functions in \( H^1 \) we have

\[
\left| \int_{B^p} \rho \frac{\partial H^*b \wedge \partial f \wedge (\partial \partial \rho)^{n-1}}{\partial B^p} \right| \lesssim \int_{B^p} -\rho|\partial H^*b|\omega|\partial f|\omega dV \\
\lesssim \left( \int_{B^p} -\rho|\partial H^*b|^2 |f|dV \right)^{1/2} \left( \int_{B^p} -\rho \frac{|\partial f|^2}{|f|} dV \right)^{1/2} \lesssim ||b||_{BMO} ||f||_{H^1}.
\]

The estimate of \( I_2 \) and \( I_3 \) is easier. By writing

\[
v(z, \zeta) - \rho(z) = 1 - \sum_{j=1}^n \zeta_j p_j \zeta_j p_j + \sum_{j=1}^n \sum_{k=1}^{p_j} \left( \begin{array}{c} p_j \\ k \end{array} \right) \zeta_j p_j \zeta_j p_j - k (\zeta_j - \zeta_j)^k
\]

we see, by the comment after Lemma 4, that

\[
(4.3) \quad \left| \frac{\partial}{\partial z_j} (v(z, \zeta) - \rho(z)) \right| \lesssim |z_j| |\zeta - \zeta| \lesssim |z_j| |\zeta| v(z, \zeta) - \rho(z) |^\delta.
\]

Hence \( b \to (-\rho(z))^{1-\delta/2} |\partial H^*b(z)| \) is an operator with more than integrable kernel, and thus it can easily be seen to take BMO boundedly into \( L^\infty \). In particular \( |\partial H^*b(z)|dV \) is a Carleson measure with norm less than \( ||b||_{BMO} \) and by the Carleson-Hörmander inequality it follows that \( |I_2| \lesssim ||f||_{H^1} ||b||_{BMO} \). Similarly \( I_3 \) is estimated, by using that \( (-\rho(z))^{\delta/2} |H^*b(z)| \lesssim ||b||_{BMO} \).

Proof of Lemma 7. — Set \( \phi = \frac{q}{2} \log |f|^2 \). Green's identity (with respect to the metric \( \langle \cdot, \cdot \rangle_{\omega} \)) yields

\[
\int_{B^p} (\rho \Delta_\omega e^\phi - e^\phi \Delta_\omega \rho) dV_\omega = \int_{\partial B^p} e^\phi \frac{\partial \rho}{\partial n} dS_\omega.
\]

Here \( \Delta_\omega e^\phi = e^\phi \Delta_\omega \phi - 2|\partial \phi|^2 e^\phi \) and \( \partial \rho/\partial n = \sqrt{2} \). Thus, since \( \Delta_\omega \rho \) and \( \Delta_\omega \phi \) both are negative, we have

\[
\int_{B^p} -\rho|\partial \phi|^2 e^\phi dV_\omega \lesssim \int_{\partial B^p} e^\phi dS_\omega.
\]
Hence i) in Lemma 7 follows, as $\partial \phi = q \partial f/2f$.

Finally, to prove ii) we have to show that

$$\int_{Q_\epsilon(z_0)} -\rho |\partial H^* b_1|^2 dV \lesssim \| b \|^2_{BMO(B_\epsilon(z_0))}$$

for every $z_0 \in \partial B^p$ and $\epsilon > 0$. As in the proof of Theorem 2 write $b = b_1 + b_2 + b_3$, where $b_1 = B_{C_\epsilon(z_0)}$, $b_2 = (b - b_1)\chi_{B_{C_\epsilon(z_0)}}$ and $b_3 = (b - b_1)(1 - \chi_{B_{C_\epsilon(z_0)}})$. Then we extend $b_1, b_2$ and $b_3$ to functions defined on $\partial B^p$ in the same way as $b$. Since $dS$ also can be represented by the form $-(2\pi i)^{-n} \vec{\partial} \omega \wedge (\vec{\partial} \rho)^{n-1}$, and since $\partial \zeta(v, \zeta - \rho(z)) = 0$, we have by Stokes' theorem

$$H^*1(z) = \left(\frac{1}{2\pi i}\right)^n \int_{\partial B^p} \frac{-\vec{\partial}\rho(\zeta) \wedge (\vec{\partial}\rho(\zeta))^{n-1}}{(v(z, \zeta) - \rho(z))^n}$$

Since $|(\partial/\partial z_j)(v(z, \zeta) - \rho(z))| \lesssim |z_j|^{p_j-1}$ and $-\rho(z) \leq |v(z, \zeta) - \rho(z)|$ we have by (2.2)

$$-\rho(z) \left|\frac{\partial}{\partial z_j} H^*1(z)\right|^2 \lesssim \left( \int_{B^p} \frac{|(\partial/\partial z_j)(v(z, \zeta) - \rho(z))dV(\zeta)|}{|v(z, \zeta) - \rho(z)|^{n+1/2}} \right)^2 \lesssim |z_j|^{2(p_j-1)}.$$ 

Thus

$$\int_{Q_\epsilon(z_0)} -\rho |\partial H^* b_1|^2 dV \lesssim \| b_1 \|^2 \int_{Q_\epsilon(z_0)} dV \lesssim \| b \|^2_{BMO} \epsilon^{n+1} \log^2(1/\epsilon) \lesssim \| b \|^2_{BMO(B_\epsilon(z_0))}.$$ 

Furthermore, by repeated use of Stokes' theorem, we have

$$\int_{Q_\epsilon(z_0)} -\rho |\partial H^* b_2|^2 dV$$

$$\leq \int_{B^p} -\rho |\partial H^* b_2|^2 dV = \int_{B^p} -\rho i \partial H^* b_2 \wedge \vec{\partial} H^* b_2 \wedge (\vec{\partial} \rho)^{n-1}$$

$$= \int_{B^p} -i H^* b_2 \\vec{\partial} H^* b_2 \wedge \partial \rho \wedge (\vec{\partial} \rho)^{n-1} + \int_{B^p} -i H^* b_2 \partial H^* b_2 \wedge (\vec{\partial} \rho)^{n-1}$$

$$+ \int_{\partial B^p} i |H^* b_2|^2 (\vec{\partial} \rho)^n$$

$$+ \int_{\partial B^p} i |H^* b_2|^2 (\partial \rho)^n + \int_{\partial B^p} -\rho i \partial H^* b_2 \wedge (\vec{\partial} \rho)^{n-1}$$

$$+ \int_{\partial B^p} i H^* b_2 \partial H^* b_2 \wedge (\vec{\partial} \rho)^{n-1} = J_1 + J_2 + J_3 + J_4 + J_5.$$
By (3.8) and the boundedness of $H^*$, considered as an operator on $L^2(\partial B^p)$, we have
\[ |J_3| \lesssim \int_{\partial B^p} |b_2|^2 dS \lesssim \|b\|_{BMO}^2 |B_\varepsilon(z_0)|. \]
The integrals $J_1, J_2, J_4$ and $J_5$ are easier and can be estimated in a similar fashion. For example, by (4.3), we see that
\[ (-\rho(z))^{1-\delta/2} \left| \frac{\partial}{\partial z_j} H^* b_2(z) \right| \lesssim |z_j|^{p_j} \int_{\partial B^p} \frac{|b_2(\zeta)| dS(\zeta)}{|v(z, \zeta) - \rho(z)|^{n-\delta/2}} \]
and hence by (2.2),
\[ |J_4| \lesssim \int_{\partial B^p} \frac{1}{(-\rho(z))^{1-\delta}} \left( \int_{\partial B^p} \frac{|b_2(\zeta)| dS(\zeta)}{|v(z, \zeta) - \rho(z)|^{n-\delta/2}} \right)^2 dV(z) \]
\[ \lesssim \int_{\partial B^p} |b_2(\zeta)|^2 \left( \int_{\partial B^p} \frac{dV(z)}{(-\rho(z))^{1-\delta}|v(z, \zeta) - \rho(z)|^{n-\delta/2}} \right) dS(\zeta) \]
\[ \lesssim \int_{\partial B^p} |b_2(\zeta)|^2 dS(\zeta) \lesssim \|b\|_{BMO}^2 |B_\varepsilon(z_0)|. \]

Finally to estimate the contribution from $b_3$ we observe that if $z \in Q_\varepsilon(z_0)$ then, as in the proof of Theorem 2, we have
\[ \left| \frac{\partial}{\partial z_j} H^* b_3(z) \right| \lesssim |z_j|^{p_j-1} \int_{B_\varepsilon(z_0)} \frac{|b(\zeta) - b_{BC_\varepsilon}| dS(\zeta)}{|v(z, \zeta) - \rho(z)|^{n+1}} \lesssim |z_j|^{p_j-1} \frac{||b||_{BMO}}{\varepsilon}. \]
Hence
\[ \int_{Q_\varepsilon(z_0)} -\rho |\partial H^* b_3|^2 dV \lesssim \frac{||b||_{BMO}^2}{\varepsilon} |Q_\varepsilon(z_0)| \lesssim \|b\|_{BMO}^2 |B_\varepsilon(z_0)|. \]
This concludes the proof of Lemma 7, and hence also of Theorem 3.

Using (1.4) and (4.2) we can now easily prove Theorem 4.

**Proof of Theorem 4.** — We need to prove that $H^1_{at} = H^1$ with equivalent norms. To see that $H^1_{at}$ can be continuously embedded in $H^1$ amounts to prove that $H$ takes $\mathcal{H}^1_{at}$ boundedly into $H^1$. Since
\[ \int_{B^p} \left| H \left( \sum_j \lambda_j a_j \right) \right| dS \leq \sum_j |\lambda_j| \int_{\partial B^p} |Ha_j| dS, \]
this follows from

**Lemma 8.** — $||Ha||_{H^1} \lesssim 1$ for all atoms $a$. 

Proof. — If \( \text{supp} \ a \subset B_\varepsilon(z_0) \) and \( z \notin B_{C\varepsilon}(z_0) \) where \( C \) is sufficiently large, then by Lemma 4 and (1.1)-(1.3)

\[
|Ha(z)| = \left| \int_{\partial B_\varepsilon} \frac{a(\zeta)}{v(\zeta, z)^n} dS(\zeta) \right|
\]

\[
= \left| \int_{\partial B_\varepsilon} \left( \frac{1}{v(\zeta, z)^n} - \frac{1}{v(z_0, z)^n} \right) a(\zeta) dS(\zeta) \right|
\]

\[
= \left| \int_{B_\varepsilon(z_0)} \frac{v(z_0, z)^n - v(\zeta, z)^n}{v(\zeta, z)^n v(z_0, z)^n} a(\zeta) dS(\zeta) \right|
\]

\[
\lesssim \int_{B_\varepsilon(z_0)} \frac{|v(z_0, z) - v(\zeta, z)|}{d(\zeta, z)^{n+1}} |a(\zeta)| dS(\zeta) \lesssim \frac{\epsilon^6}{d(z_0, z)^{n+\delta}}.
\]

Thus by (2.1)

\[
\int_{B_{C\varepsilon}(z_0)} |Ha(z)| dS(z) \lesssim \epsilon^\delta \int_{B_{C\varepsilon}(z_0)} \frac{dS(z)}{d(z_0, z)^{n+\delta}} \lesssim 1.
\]

Since \( H \) is bounded on \( L^2 \) we also have

\[
\int_{B_{C\varepsilon}(z_0)} |Ha(z)| dS(z) \lesssim \left( \int_{B_{C\varepsilon}(z_0)} dS(z) \right)^{1/2} \left( \int_{B_{C\varepsilon}(z_0)} |Ha(z)|^2 dS(z) \right)^{1/2}
\]

\[
\lesssim (C\epsilon)^{n/2} \left( \int_{B_\varepsilon(z_0)} |a(z)|^2 dS(z) \right)^{1/2} \lesssim 1.
\]

The hard part in Theorem 4 is to see that \( H^1 \subset H^1_{at} \). Thus consider \( f \in H^1 \). Since \( f = Hf \) it is enough to prove that \( f \), as a function on the boundary, belongs to \( H^1_{at} \) and that \( ||f||_{at} \lesssim ||f||_{H^1} \). To see this, take an approximating sequence \( f_n \in C^1(\overline{B^p}) \cap H^1(B^p) \) such that \( ||f - f_n||_{H^1} \to 0 \). Since \( f_n \in C^1(\partial B^p) \) we have \( f_n \in H^1_{at} \) and hence by (4.2) and the duality (1.4) we get

\[
||f_n||_{at} = \sup_{||b||_{BMO} \leq 1} \left| \int_{\partial B^p} f_n \tilde{b} dS \right| \lesssim ||f_n||_{H^1}.
\]

It follows (by applying this inequality to \( f_n - f_m \)) that \( f_n \) is a Cauchy sequence in \( H^1_{at} \), and since \( H^1_{at} \) is a Banach space, \( f_n \) converges to some \( g \in H^1_{at} \). As \( f_n \to f \) in \( L^1 \) and \( f_n \to g \) in \( L^1 \) (\( H^1_{at} \) is continuously embedded in \( L^1 \)) we must have \( f = g \) and by above \( ||f||_{at} \lesssim ||f||_{H^1} \). This completes the proof of Theorem 4. \( \square \)
5. The factorization theorem.

In this section we prove Theorem 5. If $F \in H^1$, then by Theorem 4 we have $F = \sum_{k} \lambda_k H a_k$, where $\sum_{k} |\lambda_k| \sim \|F\|_{H^1}$ and $a_k$ are atoms. Therefore it suffices to prove the factorization for holomorphic atoms. This in turn follows from the $L^2$-boundedness and the existence of a good support function.

Suppose that $a$ is an atom with support in $B_\epsilon(z_0)$. Then set $G(z) = (v(z_0, z) + \epsilon)^\alpha H a(z)$ and $H(z) = (v(z_0, z) + \epsilon)^{-\alpha}$, where $\alpha$ is to be specified below. Note that $(v(z_0, z) + \epsilon)^\alpha$ is analytic in $z \in B^p$ even if $\alpha$ is not an integer, because $\text{Re} v(z_0, z) > 0$. We have $H a = G \cdot H$, so we are done if we can prove that $\|G\|_{H^2} \|H\|_{H^2} \lesssim 1$. In fact we show that if $\alpha$ is between $n/2$ and $n/2 + \delta$ (here $\delta$ is the same as in Lemma 4) then

$$
\|G\|_{H^2} \lesssim \epsilon^{\alpha - \frac{n}{2}}
$$

and

$$
\|H\|_{H^2} \lesssim \epsilon^{-\alpha + \frac{n}{2}}.
$$

The estimate (5.3) is easy. We have

$$
\int_{B_\epsilon(z_0)} \frac{dS(z)}{|v(z_0, z) + \epsilon|^{2\alpha}} \lesssim \frac{1}{\epsilon^{2\alpha}} |B_\epsilon(z_0)| \sim \epsilon^{n-2\alpha},
$$

and by (2.1)

$$
\int_{B_\epsilon(z_0)} \frac{dS(z)}{|v(z_0, z) + \epsilon|^{2\alpha}} \lesssim \int_{B_\epsilon(z_0)} \frac{dS(z)}{d(z_0, z)^{2\alpha}} \lesssim \epsilon^{n-2\alpha},
$$

since $\alpha > \frac{n}{2}$. To verify (5.2) recall that, from the proof of Lemma 8, we have

$$
|H a(z)| \lesssim \frac{\epsilon^\delta}{d(z_0, z)^{n+\delta}} \quad \text{if } z \in B_{C\epsilon}(z_0),
$$

where $C$ is a large constant depending on the constant in the triangle inequality for $d$ (see remark after Lemma 1). Hence by (2.1)

$$
\int_{B_{C\epsilon}(z_0)} |v(z_0, z) + \epsilon|^{2\alpha} |H a(z)|^2 dS(z) \lesssim \epsilon^{2\delta} \int_{B_{C\epsilon}(z_0)} \frac{dS(z)}{d(z_0, z)^{2(n+\delta-\alpha)}} \lesssim \epsilon^{2\alpha - n},
$$

since $\alpha < n/2 + \delta$. On the other hand, since $H$ is bounded on $L^2$, we have
\[ \int_{B_{C^r}(z_0)} |v(z_0, z) + h|^{2\alpha} |Ha(z)|^2 dS(z) \lesssim \epsilon^{2\alpha} \int_{B_{C^r}(z_0)} |Ha(z)|^2 dS(z) \]
\[ \lesssim \epsilon^{2\alpha} \int_{B_r(z_0)} |a(\zeta)|^2 dS(\zeta) \lesssim \epsilon^{2\alpha - n}, \]
which proves (5.2). \qed

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ON HARDY SPACES IN COMPLEX ELLIPSOIDS


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