Annales de l'institut Fourier

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Annales de l'institut Fourier, tome 43, nº 4 (1993), p. 1055-1066 http://www.numdam.org/item?id=AIF 1993 43 4 1055 0>

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CONSTRUCTIVE INVARIANT THEORY FOR TORI

by David L. WEHLAU

Introduction.

Let $\rho:G\to GL(V)$ be a rational representation of a reductive algebraic group over the algebraically closed field \mathbf{k} . The action of G on V induces an action of G on $\mathbf{k}[V]$, the algebra of polynomial functions on V, via $(g\cdot f)(v)=f(\rho(g^{-1})v)$ for $g\in G, f\in \mathbf{k}[V]$ and $v\in V$. The functions which are fixed by this action form a finitely generated subalgebra, $\mathbf{k}[V]^G$, the ring of invariants. The problem of constructive invariant theory is to give an algorithm which in a finite number of steps will explicitly construct a minimal set of homogeneous generators for the \mathbf{k} -algebra, $\mathbf{k}[V]^G$.

Now if $\{f_1, \ldots, f_p\}$ is such a set with $\deg f_1 \geq \deg f_2 \geq \ldots \geq \deg f_p$ then although the f_i are not uniquely determined the p-tuple of degrees $(\deg f_1, \ldots, \deg f_p)$ is unique. The number $N_{V,G} = \deg f_1$ is of special interest. It is the minimal integer N such that $\mathbf{k}[V]^G$ is generated by the subspace $\bigoplus_{m=0}^{N} \mathbf{k}[V]_m^G$ of invariants of degree at most N. Clearly an algorithm which constructs $\{f_1, \ldots, f_p\}$ also produces $N_{V,G} = \max\{\deg f_i \mid 1 \leq i \leq p\}$. For many groups, G, (e.g. if char k=0 and G is reductive) the converse is also true: given $N_{V,G}$ there is a finite algorithm which constructs $\{f_1, \ldots, f_p\}$ (cf. [K], [P]).

If G is a finite group and the characteristic of **k** does not divide |G|, then by a celebrated theorem of Emmy Noether's, $N_{V,G} \leq |G|$ (see [N1]),

Research supported in part by NSERC Grant OGP0041784. Key words: Torus invariants – Invariant theory – Torus representations. A.M.S. Classification: 14D25 - 20M14.

[N2]). Recently Schmid has considered the question of whether this bound is sharp ([S]). She has shown that $N_{V,G} < |G|$ if G is not cyclic and has determined $N_{V,G}$ for various groups of small order including all abelian groups of order less than 30.

If G is semi-simple and the characteristic of \mathbf{k} is zero and the representation ρ is almost faithful, then Popov has given in [P] an upper bound for $N_{V,G}$. Following the methods of Popov, Kempf ([K]) derived an upper bound for $N_{V,G}$ in the case that G is a torus and the characteristic of \mathbf{k} is zero. Kempf also observed that these three bounds (for G finite, G semi-simple and G a torus) could be combined (by multiplying them) to obtain a bound for the general reductive group in characteristic zero.

The bounds for infinite groups are very large. In this paper we will consider the case G = T is a torus and give better bounds for $N_{V,T}$. In addition we will construct certain distinguished elements of a minimal generating set for $\mathbf{k}[V]^T$.

I would like to thank John Harris for many helpful conversations.

Diagonalization.

Let \mathbf{k} be an algebraically closed field of any characteristic. Let T be a torus, i.e., T is an algebraic group which is (abstractly) isomorphic to $(\mathbf{k}^*)^r$ and suppose that $\rho: T \to GL(V)$ is a rational representation of V. Let $X^*(T)$ denote the lattice of characters of T. Then $X^*(T)$ is (abstractly) isomorphic to \mathbb{Z}^r . From now on we will assume that we have chosen a fixed basis of V consisting of eigenvectors, $\{v_1,\ldots,v_n\}$, and that $\{x_1,\ldots,x_n\}$, is the corresponding dual basis of V^* . Furthermore we will denote the weight of v_i by ω_i . Then ρ induces an action of T on $V^* \subset \mathbf{k}[V]$ which in terms of weights is given by $t \cdot x_i = -\omega_i(t)x_i$. The action on all of $\mathbf{k}[V] \cong \mathbf{k}[x_1,\ldots,x_n]$ is obtained from the action on V^* by the two requirements $t \cdot (fg) = (t \cdot f)(t \cdot g)$ and $t \cdot (f+g) = t \cdot f + t \cdot g$ for $t \in T$ and $f,g \in \mathbf{k}[V]$.

We will consider monomials $X^A = x_1^{a_1} x_2^{a_2} \cdot \ldots \cdot x_n^{a_n}$ where $A = (a_1, \ldots, a_n) \in \mathbb{N}^n$. Clearly T acts on X^A by $t \cdot X^A = \chi(t) X^A$ where χ is the character $\chi = -(a_1\omega_1 + \ldots + a_n\omega_n)$. We will denote χ by $\operatorname{wt}(X^A)$. The invariant monomials are in one-to-one correspondence with the semi-group, $S := \{A \in \mathbb{N}^n \mid X^A \in \mathbf{k}[V]^T\} = \{A \in \mathbb{N}^n \mid a_1\omega_1 + \ldots + a_n\omega_n = \mathbf{o}\}$ where \mathbf{o} is the trivial character in $X^*(T)$. This semi-group was first studied

by Gordan. He used it to show that $\mathbf{k}[V]^T$ is a finitely generated algebra by showing that S is finitely generated as a semi-group (see [Go]).

Recall that a representation $\rho: G \to GL(V)$ is called *stable* if the union of the closed G-orbits in V contains an open dense subset of V. It is sufficient to consider only faithful stable torus representations, (cf. [W], Lemma 2). From now on we will suppose that ρ is both faithful and stable.

Kempf's bound.

Choosing an explicit isomorphism $\psi: T \longrightarrow (k^*)^r$ induces an explicit isomorphism $\psi^*: X^*(T) \longrightarrow \mathbb{Z}^r$. The isomorphism ψ is determined only up to Aut $(T) \cong GL(r,\mathbb{Z})$. Having fixed a choice for ψ we may write out the weights of V as r-tuples: $\omega_i = (\omega_{i,1}, \ldots, \omega_{i,r}) \in \mathbb{Z}^r$ for $1 \le i \le n$. Then we may define $w := \max\{ |\omega_{i,j}| : 1 \le i \le n, \ 1 \le j \le r \}$. Kempf showed in [K] that $N_{V,T} \le n C(n r! w^r)$ where C(m) is the least common multiple of the integers $1, 2, \ldots, m$. This bound has the disadvantage of being dependent on w which depends on the choice of ψ .

Example 1. — Let $T \cong (\mathbf{k}^*)^2$ and let V be the 4 dimensional representation of T with weights (2,2), (-1,0), (0,-5) and (2,-1). It is fairly simple, for example using the iterative method of the next section, to compute a homogeneous minimal system of generators for $\mathbf{k}[V]^T$. We find that $\mathbf{k}[V]^T = \mathbf{k}[X^{R_1}, X^{R_2}, X^A]$ where $R_1 = (5, 10, 2, 0)$, $R_2 = (1, 6, 0, 2)$ and A = (3, 8, 1, 1). Therefore $N_{V,T} = \deg R_1 = 17$. Here r = 2, n = 4 and w = 5. Hence for this example Kempf's bound gives $N_{V,T} \leq 4 C(4 \cdot 2! \cdot 5^2) = 4 C(200) > 4(3 \times 10^{89}) > 10^{90}$.

An iterative method.

Consider first the case r=1. Here the isomorphism of T with \mathbf{k}^* is determined up to $GL(1,\mathbb{Z})\cong\{\pm 1\}$ and thus w is completely determined in this case. Fixing one of the two choices $\psi:T\longrightarrow k^*$ we may write the weights of V as integers: $\omega_1,\omega_2,\ldots,\omega_n\in\mathbb{Z}$. Set $w_-:=\min\{\omega_i|1\leq i\leq n\}$ and $w_+:=\max\{\omega_i|1\leq i\leq n\}$. Our assumptions that ρ is stable and faithful together imply that $w_-<0$ and $w_+>0$.

Theorem 1. — Let V be a representation of \mathbf{k}^* with weights $\omega_1 \geq \omega_2 \geq \ldots \geq \omega_n$ and set $B := \omega_1 - \omega_n$. Then $N_{V,\mathbf{k}^*} \leq B$.

Proof. — Suppose $X^A \in \mathbf{k}[V]^T$ has degree d. We will construct a sequence of d monomials: h_1, h_2, \ldots, h_d with $\omega_n \leq \operatorname{wt}(h_i) \leq \omega_1 - 1$ for all $1 \leq i \leq d$ as follows. Choose j such that $\omega_j < 0$ and define $h_1 := x_j$. If $\operatorname{wt}(h_m) \geq 0$ then we choose j such that x_j divides X^A/h_m and $\omega_j \leq 0$. Similarly if $\operatorname{wt}(h_m) < 0$ then we choose j such that x_j divides X^A/h_m and $\omega_j > 0$. In either case we define $h_{m+1} := x_j h_m$. If d > B then by the pigeon hole principle, two of the monomials have the same weight: $\operatorname{wt}(h_i) = \operatorname{wt}(h_j)$ where we may assume i < j. But then $h := h_j/h_i \in k[V]^T$ divides X^A and so we see that X^A is not irreducible.

Remark 1. — If $gcd(\omega_1, \omega_n) = 1$ then the invariant $x_1^{-\omega_n} x_n^{\omega_1}$ is irreducible and has degree $B = N_{V,\mathbf{k}^*}$.

Remark 2. — Note that $w = \max\{\omega_1, -\omega_n\}$ and therefore $N_{V,\mathbf{k}^*} \leq 2w$.

Theorem 2. —
$$N_{V,T} \le (2w)^{2^r-1}$$

Proof. — We proceed by induction on r. The theorem is true for the case r=1 by Remark 2. For higher values of r we consider the coordinate decomposition of T induced by the isomorphism ψ , i.e., $T\cong T_1\times\ldots\times T_r$ where $T_j\cong \mathbf{k}^*$ and the weight of x_i with respect to T_j is $\omega_{i,j}$. Set $T'=T_2\times\ldots\times T_r$ so that $T=T_1\times T'$. By induction, there exist monomial generators h_1,\ldots,h_p of $\mathbf{k}[V]^{T'}$ with $\deg h_i\leq (2w)^{(2^{r-1}-1)}$ for all $1\leq i\leq p$. Write $h_i=X^A$ and set $\nu_i:=\operatorname{wt}(h_i)\in X^*(T_1)\cong\mathbb{Z}$. Then $\nu_i=a_1\omega_{1,1}+\ldots+a_n\omega_{n,1}$. Hence $|\nu_i|\leq a_1w+\ldots+a_nw=(\deg h_i)w\leq (2w)^{(2^{r-1}-1)}w$.

Let V_1 be a p dimensional \mathbf{k} -vector space and suppose that T_1 acts on V_1 by the weights $-\nu_1, \ldots, -\nu_p$. Then we have a T_1 -equivariant surjection $\mathbf{k}[V_1] \twoheadrightarrow \mathbf{k}[V]^{T'} = \mathbf{k}[h_1, \ldots, h_p]$. In particular we have the surjection $\mathbf{k}[V_1]^{T_1} \twoheadrightarrow (\mathbf{k}[V]^{T'})^{T_1} = \mathbf{k}[V]^T$. Hence $N_{V,T} \leq N_{V,T'} \cdot N_{V_1,T_1} \leq (2w)^{(2^{r-1}-1)} \cdot 2(2w)^{(2^{r-1}-1)} w = (2w)^{2^{r-1}}$.

For the representation described in Example 1 (for which $N_{V,T}=17$) this theorem gives the bound $N_{V,T}\leq 1000$. This is a better bound than Kempf's for this example but this is only because r is so small in the example. As a function of r the bound given in Theorem 2 grows much much faster than Kempf's bound. This new bound is, however, distinguished by the fact that it is independent of $n=\dim V$.

Geometric bounds.

In this section we will construct a set of distinguished monomials which is a subset of a minimal generating set for $\mathbf{k}[V]^T$. We begin with some notation and definitions. We will use \mathbf{o} to denote the origin in $X^*(T)\otimes\mathbb{Q}\cong\mathbb{Q}^n$. If $Z=(z_1,\ldots,z_n)\in\mathbb{Q}^n$ define $\deg Z:=z_1+\ldots+z_n$. We also define $\mathrm{supp}(Z):=\{i\mid 1\leq i\leq n,\,z_i\neq 0\}$ and the length of $Z,\,\ell(Z):=\#\,\mathrm{supp}(Z)-1$. If $\{Z_1,\ldots,Z_d\}\subset\mathbb{Q}^n$ then $\mathcal{H}(Z_1,\ldots,Z_d)$ denotes the convex hull of the points Z_1,\ldots,Z_d and $\mathcal{P}(Z_1,\ldots,Z_d)$ denotes the convex set $\Big\{\sum_{i=1}^d\alpha_iZ_i\mid\alpha_i\in[0,1]\text{ for }i=1,\ldots,d\Big\}$. Notice that if $\{Z_1,\ldots,Z_d\}$ is linearly independent then $\mathcal{P}(Z_1,\ldots,Z_d)$ is a d-dimensional parallelepiped.

By a polytope we will mean a compact convex set having finitely many vertices. The vertices of a polytope P are characterized by the property that Y is a vertex of P if and only if the set $P \setminus \{Y\}$ is a convex set. A d dimensional polytope having d+1 vertices is a simplex. We will often consider the case of a d dimensional polytope $P \subset \mathbb{Q}^m$ with $m \geq d$. In this case when we refer to the volume of P we mean the (positive) d dimensional volume of P obtained by considering P as a subset of the d dimensional affine space, \mathbb{A}^d , spanned by P. If we wish to consider the m dimensional volume of P (which is zero if d < m) we will write $\operatorname{vol}_m(P)$. Similarly the relative interior of P refers to the interior of P defined by the subspace topology induced by $P \subset \mathbb{A}^d$.

The monomial generators of $\mathbf{k}[V]^T$ correspond to generators of the semi-group S. Gordan showed how to find the generators of S (see for example [O], Proposition 1.1 (ii)). Consider the pointed (half) cone $\Gamma \subset (\mathbb{Q}^+)^n$ generated by S: $\Gamma := (\mathbb{Q}^+ \cdot S)$ where $\mathbb{Q}^+ = \{ q \in \mathbb{Q} \mid q \geq 0 \}$. This cone, Γ , is just the set of solutions $(z_1, \ldots, z_n) \in (\mathbb{Q}^+)^n$ of the system of equations:

$$(*) z_1\omega_1 + \ldots + z_n\omega_n = \mathbf{o}.$$

If \mathcal{L} is an extremal ray of Γ then $\mathcal{L} \cap S$ is a semigroup isomorphic to \mathbb{N} . Let $R_{\mathcal{L}}$ denote the unique generator of this semigroup. Write $\{R_1, \ldots, R_s\} = \{R_{\mathcal{L}} \mid \mathcal{L} \text{ an extremal ray of } C\}$. The intersection $\mathcal{P}(R_1, \ldots, R_s) \cap S$ is a finite generating set for S. Following Stanley ([St]), we call these R_j completely fundamental generators of S. These are characterized by the fact that if $mR_j = A + B$ for some $m \in \mathbb{N}$ and some $A, B \in S$ then $A = kR_j$ and $B = (m - k)R_j$ for some integer $k \leq m$ ([St], p. 36). The elements

 X^{R_1}, \dots, X^{R_s} are the distinguished monomial generators we referred to earlier.

Now we are ready to begin our construction of the completely fundamental generators.

LEMMA 1. — There exists $A \in S$ with $supp(A) = \Omega$ if and only if o lies in the relative interior of $\mathcal{H}(\omega_i \mid i \in \Omega)$.

Proof. — Suppose $0 \neq A \in S$ and $\operatorname{supp}(A) = \Omega$. Then we have $\mathbf{o} = \sum_{i=1}^{n} a_i \omega_i = \sum_{i \in \Omega} a_i \omega_i = \sum_{i \in \Omega} (a_i / \deg A) \omega_i$. Since $a_i \geq 0$ for all i and $\sum_{i \in \Omega} a_i = \deg A$ we see that $\mathbf{o} \in \mathcal{H}(\omega_i \mid i \in \Omega)$. Furthermore, since the coefficient $a_i / \deg A$ is non-zero for each $i \in \Omega$, \mathbf{o} is an interior point of $\mathcal{H}(\omega_i \mid i \in \Omega)$.

Conversely, suppose that \mathbf{o} lies in the relative interior of $\mathcal{H}(\omega_i \mid i \in \Omega)$. Then there exist rational numbers p_i/q where $p_i, q \in \mathbb{N}$ with $1 \leq p_i \leq q$ such that $\sum_{i \in \Omega} (p_i/q) \omega_i = \mathbf{o}$ and $\sum_{i \in \Omega} p_i/q = 1$. Hence if we define $p_i = 0$ if

$$i \notin \Omega$$
 we have $\sum_{i=1}^{n} p_i \omega_i = \mathbf{o}$ and $A := (p_1, \dots, p_n) \in S$ with $\mathrm{supp}(A) = \Omega$.

Define a partial order on $\Gamma \setminus \{\mathbf{o}\}$ by inclusion of supports, i.e., if $Y_1, Y_2 \in \Gamma \setminus \{\mathbf{o}\}$ with $\operatorname{supp}(Y_1) \subseteq \operatorname{supp}(Y_2)$ then $Y_1 \preceq Y_2$. Also given $Y \in \Gamma$, define $\sigma(Y) := \mathcal{H}(\omega_i \mid i \in \operatorname{supp}(Y))$.

PROPOSITION 1. — Let $\mathbf{o} \neq Y \in S$ with $Y/m \notin S$ for all $m \geq 2$. Then the following are all equivalent:

- (1) Y is minimal in Γ .
- (2) $\sigma(Y)$ is an $\ell(Y)$ dimensional simplex with **o** in its relative interior.
- (3) Y is a completely fundamental generator of S.

Proof. — The proof that $(1) \Longrightarrow (2)$ follows from Lemma 1. Let Y be an element of S which is minimal with respect to the partial order. Then by Lemma 1, \mathbf{o} lies in the relative interior of $\sigma(Y)$. Therefore $\sigma(Y)$ is an $\ell(Y)$ dimensional simplex with \mathbf{o} in its relative interior. For if this were not true, by Carathéodory's theorem (see for example [B], Corollary 2.4 or [O], Theorem A.3), we could find a proper subset $\Omega \subseteq \sup(Y)$ such that $\mathbf{o} \in \mathcal{H}(\omega_i \mid i \in \Omega)$. But this would contradict the minimality of Y.

In particular, this implies that any proper subset of $\{\omega_i \mid i \in \text{supp}(Y)\}\$ is linearly independent.

Now to see that $(2) \Longrightarrow (3)$, suppose (2) holds and that there exists $n \in \mathbb{N}$ and $A, B \in S$ with nY = A + B. Since $\sigma(Y)$ is a simplex, \mathbf{o} can be expressed uniquely as a convex linear combination of $\{\omega_i \mid i \in \operatorname{supp}(Y)\}$: $\sum_{i \in \operatorname{supp}(Y)} \alpha_i \omega_i = \mathbf{o} \text{ where } \alpha_i \in [0, 1] \text{ and } \sum_{i \in \operatorname{supp}(Y)} \alpha_i = 1. \text{ Now } \sum_i a_i \omega_i = \mathbf{o}$

 $i\in \operatorname{supp}(Y)$ and $a_i=0$ if $i\notin \operatorname{supp}(Y)$. Hence, by the uniqueness, we have $a_i/\deg(A)=\alpha_i=y_i/\deg(Y)$. Therefore $A=(\deg A/\deg Y)Y$ from which it follows that Y is completely fundamental.

Finally, we prove that $(3) \Longrightarrow (1)$. Suppose Y is a completely fundamental generator of S and $Z \in \Gamma$ with $Z \preceq Y$. Clearly, clearing denominators, we may suppose that $Z \in S$. Since $Z \preceq Y$, for $m \in \mathbb{N}$ sufficiently large we have $my_i \geq z_i$ for all $1 \leq i \leq n$. Hence mY decomposes within S as mY = Z + (mY - Z). Since Y is completely fundamental, this implies that Z = kY for some $k \leq m$. Hence $\sup(Y) = \sup(Z)$ and $Y \preceq Z$.

Thus to each minimal element Y of Γ we have an associated $\ell(Y)$ dimensional simplex, $\sigma(Y) := \mathcal{H}(\omega_i \mid i \in \text{supp}(Y))$. Given supp(Y) we can recover Y since every point in a simplex can be written uniquely as a convex linear combination of the vertices of the simplex. Therefore the map $Y \mapsto \text{supp}(Y)$ is one-to-one. Moreover, if $Y \in \Gamma$ is minimal then $\{\omega_i \mid i \in \text{supp}(Y)\}$ is a minimal linearly dependent subset of $\{\omega_1, \ldots, \omega_n\}$.

Note that the map $Y \mapsto \sigma(Y)$ is not necessarily one-to-one. More precisely, $\operatorname{supp}(Y) \mapsto \sigma(Y)$ is one-to-one if and only if the weights of V are distinct. If V_1 and V_2 are two representations of T having the same weights (except for multiplicities) then clearly, $N_{V_1,T} = N_{V_2,T}$ and thus it would suffice to consider only representations whose weights were distinct.

THEOREM 3. — If the R_j are ordered so that $\deg R_1 \geq \deg R_2 \geq \ldots \geq \deg R_s$ then $N_{V,T} \leq \sum_{j=1}^{n-r} \deg R_j \leq (n-r) \deg R_1$.

Proof. — Suppose $\mathbf{o} \neq A \in S$. By Carathéodory's theorem we may write

$$A = \alpha_1 R_{j_1} + \ldots + \alpha_{n-r} R_{j_{n-r}}$$

where each $\alpha_j \geq 0$. If $\alpha_j > 1$ then we may decompose A within S as $A = (A - R_{j_i}) + R_{j_i}$. Hence if A is a generator of S then each $\alpha_i \leq 1$. But

then $\deg A = \alpha_1 \deg R_{j_1} + \ldots + \alpha_{n-r} \deg R_{j_{n-r}} \le \deg R_{j_1} + \ldots + \deg R_{j_{n-r}} \le \deg R_1 + \ldots + \deg R_{n-r}.$

Remark 3. — Applying these two bounds to the representation of Example 1 we get $N_{V,T} \le 17 + 9 = 26$ and $N_{V,T} \le 2 \cdot 17 = 34$.

A theorem of Ewald and Wessels ([EW], Theorem 2) allows us to improve the preceding theorem. Specifically, (using the notation of Theorem 3) they show that if $\alpha_1 + \ldots + \alpha_{n-r} > n-r-1 \ge 1$ then A is decomposable within S. Thus we have the following corollary.

COROLLARY 1. — If
$$n-r \ge 2$$
 then $N_{V,T} \le (n-r-1) \deg R_1$.

Remark 4. — If we apply this result to Example 1 we find that $N_{V,T} \leq (4-2-1) \cdot 17 = 17$.

The following proposition shows how the completely fundamental solutions are distinguished among the elements of a monomial minimal generating set.

PROPOSITION 2 (Stanley [St], Theorem 3.7). — Suppose $\{X^{A_1}, \ldots, X^{A_q}\}$ is any minimal set of monomials such that $\mathbf{k}[V]^T$ is integral over $\mathbf{k}[X^{A_1}, \ldots, X^{A_q}]$. Then q = s and there exists a permutation π of $\{1, \ldots, s\}$ such that $\operatorname{supp}(R_j) = \operatorname{supp}(A_{\pi(j)})$. In fact, there exist positive integers m_1, \ldots, m_s such that $A_{\pi(j)} = m_j \cdot R_j$.

Remark 5. — Kempf ([K]) also constructed the elements R_1, \ldots, R_s . His method of construction is somewhat less direct than that which we will give in the next section and consequently the bound he gave for $\deg R_j$ is larger than the one we will give.

Computing the completely fundamental generators.

In this section we will give an algorithm for finding the completely fundamental generators. Suppose Ω is a minimal linearly dependent subset of $\{\omega_1,\ldots,\omega_n\}$ with $\mathbf{o}\in\mathcal{H}(\omega\in\Omega)$. Then $\Omega=\{\omega_i\mid i\in\operatorname{supp}(R_j)\}$ for some j. We want to compute R_j . Set $d:=\ell(R_j)\leq r$. Then without loss of generality we may suppose that $\operatorname{supp}(R_j)=\{1,2,\ldots,d+1\}$. Consider the system of r linear equations in d unknowns:

$$(\dagger) y_1\omega_1 + \ldots + y_d\omega_d = -\omega_{d+1}.$$

These r equations impose only d conditions and so in order to solve this system we take the $r \times d$ matrix of rank d, $M := (\omega_1 \ \omega_2 \ \dots \ \omega_d)$ and choose a $d \times d$ non-singular submatrix M'. If M' consists of the rows j_1, \dots, j_d of M then the ith column of M' is $\omega_i' := (\omega_{i,j_1}, \dots, \omega_{i,j_d})$ for $1 \le i \le d$. Also define $\omega_{d+1}' := (\omega_{d+1,j_1}, \dots, \omega_{d+1,j_d})$. Then solving (\dagger) is equivalent to solving

$$(\dagger\dagger) y_1\omega_1' + \ldots + y_d\omega_d' = -\omega_{d+1}'.$$

But we may solve (††) by Cramer's rule :

$$y_1 = \frac{|\omega'_{d+1}, \omega'_2, \dots, \omega'_d|}{|\omega'_1, \omega'_2, \dots, \omega'_d|}, \dots, \quad y_d = \frac{|\omega'_1, \dots, \omega'_{d-1}, \omega'_{d+1}|}{|\omega'_1, \omega'_2, \dots, \omega'_d|}.$$

Then if we define

$$\begin{aligned} q_i &= y_i | \omega_1', \dots, \omega_d' | \\ &= |\omega_1', \dots, \omega_{i-1}', \omega_{d+1}', \omega_{i+1}', \dots, \omega_d' | \text{ for } 1 \leq i \leq d \\ \text{and } q_{d+1} &= -|\omega_1', \omega_2', \dots, \omega_d' | \end{aligned}$$

we have

$$q_1\omega_1+\ldots+q_{d+1}\omega_{d+1}=\mathbf{o}$$

where each $q_i \in \mathbb{Z}$. This solution is unique up to scalar multiplication by an element of \mathbb{Q} . Since $\mathbf{o} \in \mathcal{H}(\omega_1, \ldots, \omega_{d+1})$ all the q_i must have the same sign and, multiplying by -1 if necessary, we get each $q_i \in \mathbb{N}$. If we define $q_i = 0$ for all $i \notin \{1, \ldots, d+1\} (= \operatorname{supp}(R_j))$ and $Q_j := (q_1, \ldots, q_n)$ then $R_j = Q_j/m$ where m is the greatest common divisor of the integers q_1, \ldots, q_{d+1} .

Thus to construct $\{R_1,\ldots,R_s\}$ we consider each minimal linearly dependent subset, Ω , of the weights $\{\omega_1,\ldots,\omega_n\}$. For each such Ω we compute the determinants q_1,\ldots,q_{d+1} . If any two of these determinants have opposite signs then Ω does not correspond to any invariant. If however, all the q_i have the same sign then $(q_1/m,\ldots,q_n/m)$ is one of the completely fundamental generators.

Degrees as volumes.

In this section we will continue to study the fixed R_j of the previous section. We will obtain bounds on $\deg R_j$ and thus on $N_{V,T}$ in terms of volumes of certain polytopes.

THEOREM 4. — Let σ_j be the simplex $\sigma_j = \mathcal{H}(\omega_i \mid i \in \text{supp}(R_j))$. Then $\deg R_j \leq d! \operatorname{vol}(\sigma_j)$.

Proof. — Let Δ denote the perpendicular (coordinate) projection :

$$\Delta: X^*(T) \otimes \mathbb{Q} \cong \mathbb{Q}^r \to \mathbb{Q}^d$$
 given by $\Delta(u_1, \ldots, u_r) = (u_{j_1}, \ldots, u_{j_d})$.

Then $\Delta(\omega_i) = \omega_i'$. Define $\sigma_j(i) := \mathcal{H}(\mathbf{o}, \omega_1, \dots, \omega_{i-1}, \omega_{i+1}, \dots, \omega_{d+1}), \ \sigma_j' := \Delta(\sigma_j)$ and $\sigma_j'(i) := \Delta(\sigma_j(i))$. Notice that q_i is the d dimensional volume of the parallelepiped $\mathcal{P}(\omega_1', \dots, \omega_{i-1}', \omega_{i+1}', \dots, \omega_{d+1}')$. Hence $q_i = d! \text{ vol}(\sigma_j'(i))$.

Now $\sigma'_j = \sigma'_j(1) \cup \ldots \cup \sigma'(d+1)$ is a triangulation of σ'_j by d-simplices since \mathbf{o} lies in the relative interior of σ'_j . Thus $\deg Q_j = q_1 + \ldots + q_{d+1} = d! \operatorname{vol}(\sigma'_j)$. Therefore $\deg R_j \leq \deg Q_j = d! \operatorname{vol}(\sigma'_j) \leq d! \operatorname{vol}(\sigma_j)$ where the last inequality follows for example from [Ga], (30) p. 253.

Let $\mathcal{W} := \mathcal{H}(\omega_1, \dots, \omega_n)$, the convex hull of the weights in $X^*(T) \otimes \mathbb{Q} \cong \mathbb{Q}^r$.

Theorem 5. — $\deg R_j \leq r! \operatorname{vol}(W)$.

Proof. — It is not true in general that $d! \operatorname{vol}(\sigma'_j) \leq r! \operatorname{vol}(\mathcal{W})$ when d < r. Hence to prove this theorem we consider a slightly different construction of R_j (when d < r). Recall that we have assumed that $\sup(R_j) = \{1, \ldots, d+1\}$. Without loss of generality we may assume that $\Sigma := \mathcal{H}(\omega_1, \ldots, \omega_{d+1}, \ldots, \omega_{r+1})$ is an r dimensional simplex. To construct R_j we solve the system of r linearly independent equations in r unknowns:

$$y_2\omega_2 + \ldots + y_{r+1}\omega_{r+1} = -\omega_1.$$

As before we apply Cramer's rule to solve this system and so find $(a_1, \ldots, a_{r+1}) \in \mathbb{N}^{r+1}$ with

$$a_1\omega_1 + \ldots + a_{r+1}\omega_{r+1} = \mathbf{o}$$

and $a_i = r! \operatorname{vol}_r(\mathcal{H}(\mathbf{o}, \omega_1, \ldots, \omega_{i-1}, \omega_{i+1}, \ldots, \omega_{r+1})).$

Again we set $a_{r+2} = \ldots = a_n = 0$ and $A = (a_1, \ldots, a_n)$. Notice that $a_{d+2} = \ldots = a_{r+1} = 0$ and that A is a multiple of R_j . Hence $\deg R_j \leq \deg A = a_1 + \ldots + a_n = r! \operatorname{vol}(\Sigma) \leq r! \operatorname{vol}(W)$.

COROLLARY 2. — If $n-r \ge 2$ then $N_{V,T} \le (n-r-1) r! \operatorname{vol}(W)$. If $1 \le n-r \le 2$ then $N_{V,T} \le r! \operatorname{vol}(W)$.

Remark 6. — This bound is invariant under the action of $\operatorname{Aut}(T) \cong \operatorname{GL}(r,\mathbb{Z})$ and thus is independent of the choice of ψ .

Remark 7. — For the representation of Example 1, W is a quadrilateral of area 23/2. Hence we get the bound $N_{V,T} \leq 2! \cdot (23/2) = 23$.

It seems likely that the factor n-r-1 is unnecessary in the first statement of Corollary 2. I know of no examples of representations where $N_{V,T} > r! \operatorname{vol}(\mathcal{W})$. Conversely for all values of n and r there exist faithful stable n dimensional representations, V, of $T \cong (\mathbf{k}^*)^r$ such that $N_{V,T} = r! \operatorname{vol}(\mathcal{W})$ – for example this often occurs when \mathcal{W} is itself a simplex.

Conjecture. — There is a (small) constant $c \in \mathbb{R}$ such that $N_{V,T} \leq c r! \operatorname{vol}(W)$.

Bounds in terms of w.

Next we bound deg R_j in terms of $w := \max\{|\omega_{i,m}| : 1 \le i \le n, 1 \le m \le r\}$.

Theorem 6. — $\deg R_j \leq \lfloor w^d (d+1)^{(d+1)/2} \rfloor$.

Proof. — We have $\deg R_j \leq d! \operatorname{vol}(\sigma'_j)$ where $\sigma'_j = \mathcal{H}(\omega'_1, \dots, \omega'_{d+1})$ $\subset [-w, w]^d \subset \mathbb{Q}^d$. Define $\widetilde{\sigma'_j} := \mathcal{H}(\omega'_1/2w, \dots, \omega'_{d+1}/2w) + (1/2, \dots, 1/2)$. Then $\widetilde{\sigma'_j}$ is a d dimensional simplex contained in $[0, 1]^d$ with $\operatorname{vol}(\sigma'_j) = (2w)^d \operatorname{vol}(\widetilde{\sigma'_j})$.

Thus we now seek to bound the value $B := \max\{\text{vol}(\tau) \mid \tau \subset [0,1]^d$ is a d dimensional simplex $\}$. By linear programming it is clear that the value B is attained by a simplex μ all of whose vertices are also vertices of the cube $[0,1]^d$. Without loss of generality we may assume that $(0,\ldots,0)$ is one of the vertices of μ . Let ν_1,\ldots,ν_d be the other vertices of μ . Then $\text{vol}(\mu) = |\det(M)|/d!$ where $M = (\nu_1 \ldots \nu_d)$ is a $d \times d$ matrix all of whose entries are either 0 or 1. But then by a theorem of Ryser (see [R], Equation (11)) we have

$$|\det(M)| \le 2 \left(\frac{\sqrt{d+1}}{2}\right)^{d+1} \, .$$

Thus we get the bound $\deg R_j \le w^d (d+1)^{(d+1)/2} \le w^r (r+1)^{(r+1)/2}$.

COROLLARY 3. — If $n-r \ge 2$ then $N_{V,T} \le (n-r-1) \lfloor w^r (r+1)^{(r+1)/2} \rfloor$. If $1 \le n-r \le 2$ then $N_{V,T} \le \lfloor w^r (r+1)^{(r+1)/2} \rfloor$.

Remark 8. — In Example 1 we had n=4, r=2 and w=5. Thus Corollary 3 gives $N_{V,T} \leq \left| 5^2 \cdot (2+1)^{(2+1)/2} \right| = \left| 25 \cdot 3^{3/2} \right| = 129$.

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Note added in proof: The construction of the completely fundamental generators given here was also pointed out by B. Sturmfels in "Gröbner bases of toric varieties", Tôhoku Math. J., second series, vol. 43, no. 2 (1991).

Manuscrit reçu le 27 novembre 1992.

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