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## HOMOGENEOUS HESSIAN MANIFOLDS

by Hirohiko SHIMA

### Introduction.

In [8] [9] [10] we introduced the notion of Hessian manifolds and studied the geometry of such manifolds. We first recall the definition of Hessian manifolds(\*). Let  $M$  be a flat affine manifold, i.e.,  $M$  admits open charts  $(U_\alpha, \{x_\alpha^1, \dots, x_\alpha^n\})$  such that  $M = \cup U_\alpha$  and whose coordinate changes are all affine functions. Such local coordinate systems  $\{x_\alpha^1, \dots, x_\alpha^n\}$  will be called affine local coordinate systems. Throughout this paper the local expressions for geometric concepts on  $M$  will be given in terms of affine local coordinate systems.

A Riemannian metric  $g$  on  $M$  is said to be *Hessian* if for each point  $p \in M$  there exists a  $C^\infty$ -function  $\phi$  defined on a neighbourhood of  $p$  such that  $g_{ij} = \frac{\partial^2 \phi}{\partial x^i \partial x^j}$ . Let  $D$  denote the covariant differential with respect to the flat affine structure on  $M$ . Using  $D$  we may define the exterior differentiation for cotangent bundle valued forms. We know that a Riemannian metric  $g$  is Hessian if and only if the cotangent bundle valued 1-form  $g^0$  corresponding to  $g$  has an exterior differential zero [8];

$$D_X g^0(Y) - D_Y g^0(X) - g^0([X, Y]) = 0$$

for all vector fields  $X, Y$  on  $M$ . A flat affine manifold provided

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(\*) In this paper for the sake of brevity we adopt the term of *Hessian* instead of *locally Hessian* used in [8] [9] [10].

with a Hessian metric is called a *Hessian manifold*. As we see (Proposition 0.1), the tangent bundle over a Hessian manifold admits in a natural way a Kählerian structure. Thus the geometry of Hessian manifolds is related with that of certain Kählerian manifolds.

Let  $M$  be a Hessian manifold. A diffeomorphism of  $M$  onto itself is called an automorphism of  $M$  if it preserves both the flat affine structure and the Hessian metric. The set of all automorphisms of  $M$ , denoted by  $\text{Aut}(M)$ , forms a Lie group. A Hessian manifold  $M$  is said to be homogeneous if the group  $\text{Aut}(M)$  acts transitively on  $M$ .

For homogeneous Kählerian manifolds Vinberg and Gindikin proposed the following conjecture and settled the related problems [1] [14].

*Every homogeneous Kählerian manifold admits a holomorphic fibering, whose base space is holomorphically isomorphic with a homogeneous bounded domain, and whose fiber is, with the induced Kählerian structure, isomorphic with the direct product of a locally flat homogeneous Kählerian manifold and a simply connected compact homogeneous Kählerian manifold.*

In this paper we consider analogous problems for homogeneous Hessian manifolds and obtain the following results.

**MAIN THEOREM.** — *Let  $M$  be a connected homogeneous Hessian manifold. Then we have*

1) *The domain of definition  $E_x$  for the exponential mapping  $\exp_x$  at  $x \in M$  given by the flat affine structure is a convex domain. Moreover  $E_x$  is the universal covering manifold of  $M$  with affine projection  $\exp_x: E_x \rightarrow M$ .*

2) *The universal covering manifold  $E_x$  of  $M$  has a decomposition  $E_x = E_x^0 + E_x^+$  where  $E_x^0$  is a uniquely determined vector subspace of the tangent space  $T_x M$  of  $M$  at  $x$  and  $E_x^+$  is an affine homogeneous convex domain not containing any full straight line. Thus  $E_x$  admits a unique fibering with the following properties:*

(i) *The base space is  $E_x^+$ .*

(ii) *The projection  $p: E_x \rightarrow E_x^+$  is given by the canonical projection from  $E_x = E_x^0 + E_x^+$  onto  $E_x^+$ .*

(iii) The fiber  $E_x^0 + v$  through  $v \in E_x$  is characterized as the set of all points which can be joined with  $v$  by full straight lines contained in  $E_x$ . Moreover each fiber is an affine subspace of  $T_x M$  and is a Euclidean space with respect to the induced metric.

(iv) Every automorphism of  $E_x$  is fiber preserving.

(v) The group of automorphisms of  $E_x$  which preserve every fiber, acts transitively on the fibers.

COROLLARY 1. — Let  $\beta$  denote the canonical bilinear form on a connected homogeneous Hessian manifold  $M$ ;  $\beta_{ij} = \frac{\partial^2 \log F}{\partial x^i \partial x^j}$  where  $F = \sqrt{\det [g_{ij}]}$ . Then we have

(i)  $\beta$  is positive semi-definite.

(ii) The null space of  $\beta$  at  $x \in M$  coincides with  $E_x^0$ . In particular

(iii)  $\beta = 0$  if and only if  $E_x = T_x M$  and it is a Euclidean space with respect to the induced metric.

(iv)  $\beta$  is positive definite if and only if  $E_x$  is an affine homogeneous convex domain not containing any full straight line.

In [5] Kobayashi considered pseudo-distances  $c_M^a$ ,  $c_M$ ,  $d_M^a$  and  $d_M$  on a flat affine (more generally flat projective) manifold  $M$  (see also [11]).

COROLLARY 2. — Let  $M$  be a connected homogeneous Hessian manifold and let  $d$  be one of the pseudo-distances on  $E_x$  listed above. Then the fiber through a point  $v \in E_x$  is characterized by the set of all points  $w \in E_x$  such that  $d(v, w) = 0$ . In particular we have:

(i)  $d = 0$  if and only if  $E_x = T_x M$  and it is a Euclidean space with respect to the induced metric.

(ii)  $d$  is a distance on  $E_x$  if and only if  $E_x$  is an affine homogeneous convex domain not containing any full straight line.

COROLLARY 3. — Let  $M$  be a connected homogeneous Hessian manifold. If there is no affine map of  $\mathbf{R}$  into  $M$  except for constant

maps, then the universal covering manifold of  $M$  is an affine homogeneous convex domain not containing any full straight line.

COROLLARY 4. — *If a connected Lie subgroup  $G$  of  $\text{Aut}(M)$  acts transitively on a Hessian manifold  $M$  and if the isotropy subgroup of  $G$  at a point in  $M$  is discrete, then  $G$  is a solvable Lie group.*

COROLLARY 5. — *If a connected homogeneous Hessian manifold  $M$  admits a transitive reductive Lie subgroup of  $\text{Aut}(M)$ , then the universal covering manifold of  $M$  is a direct product of a Euclidean space and an affine homogeneous convex self-dual cone not containing any full straight line.*

COROLLARY 6. — *A compact connected homogeneous Hessian manifold is a Euclidean torus.*

At the conclusion of this introduction we show the relation between Hessian manifolds and Kählerian manifolds. Let  $M$  be a flat affine manifold and let  $\pi: TM \rightarrow M$  be the tangent bundle over  $M$  with projection  $\pi$ . Then the space  $TM$  admits in a natural way a complex structure induced by the flat affine structure on  $M$ . Indeed, for an affine local coordinate system  $\{x^1, \dots, x^n\}$  we put  $z^i = y^i + \sqrt{-1} y^{n+i}$  where  $y^i = x^i \circ \pi$ ,  $y^{n+i} = dx^i$ ,  $i = 1, \dots, n$ . The systems  $\{z^1, \dots, z^n\}$  defined as above give a complex structure on  $TM$  (cf. [2]).

Let  $g$  be a Riemannian metric on  $M$ . If we set

$$g^T = \sum_{i,j=1}^n (g_{ij} \circ \pi) dz^i d\bar{z}^j,$$

then  $g^T$  is a Hermitian metric on  $TM$  (the definition of  $g^T$  is independent of the choice of affine local coordinate systems).

PROPOSITION 0.1. — *A Riemannian metric  $g$  on  $M$  is Hessian if and only if the corresponding Hermitian metric  $g^T$  on  $TM$  is Kählerian.*

*Proof.* — Since the fundamental 2-form  $\rho$  of the Hermitian metric  $g^T$  is expressed locally as

$$\rho = 2 \sum_{i,j=1}^n (g_{ij} \circ \pi) dy^i \wedge dy^{n+j},$$

we know that  $d\rho = 0$  if and only if  $\frac{\partial g_{ij}}{\partial x^k} = \frac{\partial g_{kj}}{\partial x^i}$ , which is equivalent to  $g$  being Hessian (cf. [8]). q.e.d.

1. Proof of Main Theorem 1).

In this section we prove the first part of Main Theorem along the same line as Koszul [6] [7]. Let  $M$  be a Hessian manifold with Hessian metric  $g$ . A  $C^\infty$ -function  $\phi$  defined on an open set  $U$  in  $M$  is called a *primitive* of  $g$  on  $U$  if it satisfies the condition  $g_{ij} = \frac{\partial^2 \phi}{\partial x^i \partial x^j}$  on a neighbourhood of each point in  $U$ .

From now on we always assume that  $M$  is a connected homogeneous Hessian manifold.

LEMMA 1.1. — *Let  $\{x^1, \dots, x^n\}$  be an affine local coordinate system in  $U$ . If  $\phi$  is a primitive of  $g$  on  $U$ , then  $\frac{\partial \phi}{\partial x^j}$  ( $j = 1, \dots, n$ ) are regular rational functions in  $x^1, \dots, x^n$  (\*).*

*Proof.* — Let  $\mathfrak{g}$  be the Lie algebra of the automorphism group  $\text{Aut}(M)$ . For  $X \in \mathfrak{g}$  we denote by  $X^*$  the vector field on  $M$  induced by  $\exp(-tX)$ . For fixed  $p \in U$  there exist a neighbourhood  $W$  of  $p$  in  $U$  and elements  $X_1, \dots, X_n$  in  $\mathfrak{g}$  such that the values of the vector fields  $X_1^*, \dots, X_n^*$  at each point  $q \in W$  form a basis of the tangent space of  $M$  at  $q$ . So we have  $\frac{\partial}{\partial x^j} = \sum_i \eta_j^i X_i^*$  on  $W$ , where each  $\eta_j^i$  is a  $C^\infty$ -function on  $W$ . Since  $X_i^*$  is an infinitesimal affine transformation, the components  $\xi_i^j$  of  $X_i^* = \sum_j \xi_i^j \frac{\partial}{\partial x^j}$  are affine functions in  $x^1, \dots, x^n$ . Therefore  $\eta_j^i$  are rational functions in  $x^1, \dots, x^n$ . Since  $X^* = \sum_j \xi^j \frac{\partial}{\partial x^j}$  ( $X \in \mathfrak{g}$ ) is an infini-

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(\*) The author learned this result from Professor Koszul.

tesimal isometry and its components are affine functions, we get

$$\frac{\partial^2 X^* \phi}{\partial x^i \partial x^j} = \sum_p \frac{\partial \xi^p}{\partial x^i} g_{pj} + \sum_p \frac{\partial \xi^p}{\partial x^j} g_{pi} + \sum_p \xi^p \frac{\partial g_{ij}}{\partial x^p} = 0,$$

and so  $X^* \phi$  is an affine function in  $x^1, \dots, x^n$ . Thus  $\frac{\partial \phi}{\partial x^j} = \sum_i \eta_j^i X_i^* \phi$  is a regular rational function in  $x^1, \dots, x^n$  on  $W$ , and also on  $U$  because  $p$  is an arbitrary point in  $U$ . q.e.d.

We now need the following lemma due to Koszul [7].

LEMMA 1.2. — *Let  $M$  be a connected flat affine manifold and let  $E_x$  be the domain of definition for the exponential mapping  $\exp_x$  at  $x \in M$  given by the flat affine structure. Then  $\exp_x$  is an affine mapping from  $E_x$  to  $M$  and its rank is maximum at every point in  $E_x$  and equal to  $\dim M$ . Moreover if  $E_x$  is convex it is the universal covering manifold of  $M$  with covering projection  $\exp_x$ .*

It follows from this lemma that the induced metric  $\tilde{g} = \exp_x^* g$  on  $E_x$  is Hessian.

LEMMA 1.3. — *There exists a primitive  $\psi$  of  $\tilde{g}$  on  $E_x$ .*

*Proof.* — Let  $\{y^1, \dots, y^n\}$  be an affine coordinate system on  $T_x M$ . Define a 1-form  $\gamma_i$  on  $E_x$  by  $\gamma_i = \sum_j \tilde{g}_{ij} dy^j$ . We have then  $d\gamma_i = \sum_{k < j} \left( \frac{\partial \tilde{g}_{ij}}{\partial y^k} - \frac{\partial \tilde{g}_{ik}}{\partial y^j} \right) dy^k \wedge dy^j = 0$ . Since  $E_x$  is star-shaped with respect to the origin  $0$ , by Poincaré Lemma there exists a  $C^\infty$ -function  $h_i$  on  $E_x$  such that  $\gamma_i = dh_i$ . If we define a 1-form  $\gamma$  on  $E_x$  by  $\gamma = \sum_i h_i dy^i$ , we get  $d\gamma = \sum_{j < i} \left( \frac{\partial h_i}{\partial y^j} - \frac{\partial h_j}{\partial y^i} \right) dy^j \wedge dy^i = 0$ . Again by Poincaré Lemma there exists a  $C^\infty$ -function  $\psi$  such that  $\gamma = d\psi$ . Thus we have  $\tilde{g}_{ij} = \frac{\partial^2 \psi}{\partial y^i \partial y^j}$ . q.e.d.

LEMMA 1.4 (Koszul [6]). — *Let  $a$  be an element in  $T_x M$  such that  $ta \in E_x$  for  $0 \leq t < 1$  and  $a \notin E_x$ . Then we have*

$$\lim_{t \rightarrow 1} \psi(ta) = \infty,$$

where  $\psi$  is a primitive of  $\tilde{g}$  on  $E_x$ .

*Proof.* – The length of the curve  $\exp_x(ta)$  ( $0 \leq t < \theta$ ) with respect to  $g$  is given by

$$l(\theta) = \int_0^\theta g(\exp_x(ta), \exp_x(ta))^{1/2} dt = \int_0^\theta \left(\frac{dF}{dt}\right)^{1/2} dt,$$

where  $F(t) = \frac{d}{dt} \psi(ta)$ . Since the Riemannian metric  $g$  on  $M$  is complete because  $M$  is homogeneous, we have

$$\lim_{\theta \rightarrow 1} l(\theta) = \lim_{\theta \rightarrow 1} \int_0^\theta \left(\frac{dF}{dt}\right)^{1/2} dt = \infty.$$

For each  $0 \leq t_0 < 1$  there exists a primitive  $\phi_{t_0}$  defined on a neighbourhood of  $\exp_x(t_0 a)$  such that  $\psi = \phi_{t_0} \circ \exp_x$  and so by Lemma 1.1 and 1.2  $F(t)$  is a regular rational function in  $t$  ( $0 \leq t < 1$ ).

This together with  $\lim_{\theta \rightarrow 1} \int_0^\theta \left(\frac{dF}{dt}\right)^{1/2} dt = \infty$  means that  $F(t)$  has a pole of order  $\geq 1$  at  $t = 1$ . Thus we get

$$\lim_{t \rightarrow 1} \psi(ta) = \lim_{\theta \rightarrow 1} \int_0^\theta F(t) dt + \psi(0) = \infty. \quad \text{q.e.d.}$$

According to Lemma 1.4, Lemma 4.2 in [6] and the fact that  $E_x$  is star-shaped with respect to the origin  $0$ ,  $E_x$  is a convex domain in  $T_x M$ . Moreover by Lemma 1.2  $E_x$  is the universal covering manifold of  $M$  with projection  $\exp_x: E_x \rightarrow M$ . Thus Main Theorem 1) is completely proved.

## 2. Normal Hessian algebras.

Let  $\Omega$  be an affine homogeneous domain in  $\mathbb{R}^n$  with an invariant Hessian metric  $g$ . In this section we first show that  $\Omega$  admits a simply transitive triangular subgroup of  $\text{Aut}(\Omega)$  and using this we construct a normal Hessian algebra (Definition 2.3). According to Theorem 2.1 the study of affine homogeneous domains with invariant Hessian metric is reduced to that of normal Hessian algebras.

Let  $A(n)$  denote the group of all affine transformations of  $\mathbb{R}^n$  and  $\text{Aff}(\Omega)$  the set of all elements in  $A(n)$  leaving  $\Omega$  invariant. Then it is easy to see that  $\text{Aff}(\Omega)$  is a closed subgroup of  $A(n)$ . Denoting by  $I(\Omega)$  the group of all isometries of  $\Omega$  with respect



to the Hessian metric  $g$  it follows  $\text{Aut}(\Omega) = \text{Aff}(\Omega) \cap \text{I}(\Omega)$ . A subgroup of  $\text{A}(n)$  is said to be algebraic if it is selected from  $\text{A}(n)$  by polynomial equations connecting the coefficients of an affine transformation in an affine coordinate system.

LEMMA 2.1. — *Let  $\text{N}$  be the normalizer of the identity component of  $\text{Aff}(\Omega)$  in  $\text{A}(n)$ . Then  $\text{N}$  is algebraic and  $\text{N}, \text{Aff}(\Omega)$  have the same identity component.*

For the proof see Vinberg [13].

PROPOSITION 2.1. — *The identity component  $\text{Aut}_0(\Omega)$  of  $\text{Aut}(\Omega)$  coincides with that of an algebraic group in  $\text{A}(n)$ .*

*Proof.* — Let  $\{x^1, \dots, x^n\}$  be an affine coordinate system on  $\mathbf{R}^n$ . For  $a \in \text{A}(n)$  we denote by  $\mathbf{f}(a) = [\mathbf{f}(a)_j^i]$  and  $\mathbf{q}(a) = [\mathbf{q}(a)^i]$  the linear part and the translation part of  $a$  respectively, where  $x^i \circ a = \sum_j \mathbf{f}(a)_j^i x^j + \mathbf{q}(a)^i$ . An element  $a \in \text{Aff}(\Omega)$  is contained in  $\text{I}(\Omega)$  if and only if  $\sum_{r,s} \mathbf{f}(a)_i^r \mathbf{f}(a)_j^s g_{rs}(ap) = g_{ij}(p)$  holds for all  $p \in \Omega$ . Let  $\phi$  be a primitive of  $g$  on  $\Omega$ . Then by Lemma 1.1 the functions  $g_{ij} = \frac{\partial^2 \phi}{\partial x^i \partial x^j}$  defined on  $\Omega$  are rational functions in  $x^1, \dots, x^n$ . Therefore we may regard  $g_{ij}$  as rational functions on  $\mathbf{R}^n$  with respect to  $x^1, \dots, x^n$ . Put

$$\text{H} = \left\{ a \in \text{A}(n) \mid \sum_{r,s} \mathbf{f}(a)_i^r \mathbf{f}(a)_j^s g_{rs}(ax) = g_{ij}(x) \text{ for all } x \in \mathbf{R}^n, \right. \\ \left. i, j = 1, \dots, n \right\}.$$

Then  $\text{H}$  is an algebraic group in  $\text{A}(n)$  and  $\text{Aut}(\Omega) = \text{Aff}(\Omega) \cap \text{H}$ . Therefore by Lemma 2.1  $\text{Aut}_0(\Omega)$  coincides with the identity component of the algebraic group  $\text{N} \cap \text{H}$ . q.e.d.

PROPOSITION 2.2. — *The isotropy subgroup of  $\text{Aut}_0(\Omega)$  at a point in  $\Omega$  is a maximal compact subgroup of  $\text{Aut}_0(\Omega)$ .*

*Proof.* — Let  $\text{K}$  be the isotropy subgroup of  $\text{Aut}_0(\Omega)$  at  $p \in \Omega$ . Since  $\text{Aff}(\Omega)$  and  $\text{H}$  are closed in  $\text{A}(n)$ ,  $\text{Aut}_0(\Omega)$  is closed in  $\text{A}(n)$  and so  $\text{K}$  is closed in  $\text{A}(n)$ . Let  $\{x^1, \dots, x^n\}$  be an affine coordinate system such that  $x^i(p) = 0$  and  $g_{ij}(p) = \delta_{ij}$

where  $\delta_{ij}$  is Kronecker's delta. Representing affine transformations in terms of  $x^1, \dots, x^n$  it follows  $K \subset O(n)$  where  $O(n)$  is the orthogonal matrix group. Therefore  $K$  is a compact subgroup of  $\text{Aut}_0(\Omega)$ . Let  $K'$  be a maximal compact subgroup of  $\text{Aut}_0(\Omega)$  containing  $K$ . Then there exists a fixed point  $p' \in \Omega$  for  $K'$  because  $\Omega$  is a convex domain. Taking  $a \in \text{Aut}_0(\Omega)$  such that  $ap' = p$  we get  $aK'a^{-1} \subset K$ . Since  $aK'a^{-1}$  is a maximal compact subgroup of  $\text{Aut}_0(\Omega)$  we obtain  $K = aK'a^{-1}$  and so  $K$  is a maximal compact subgroup of  $\text{Aut}_0(\Omega)$ . q.e.d.

A subgroup  $T$  of  $A(n)$  is said to be *triangular* if the linear parts of the transformation in  $T$  can be written as upper triangular matrices with respect to some affine coordinate system.

By Proposition 2.1 and by a theorem of Vinberg [12] we get a decomposition  $\text{Aut}_0(\Omega) = TK$ , where  $T$  and  $K$  are a maximal connected triangular subgroup and a maximal compact subgroup of  $\text{Aut}_0(\Omega)$  respectively, and  $T \cap K$  consists of the unit element only. Using this together with Proposition 2.2 we have

PROPOSITION 2.3. — *Let  $\Omega$  be an affine homogeneous domain in  $\mathbf{R}^n$  with an invariant Hessian metric. Then  $\Omega$  admits a simply transitive triangular subgroup of  $\text{Aut}(\Omega)$ .*

Choose a point  $o \in \Omega$  and an affine coordinate system  $\{x^1, \dots, x^n\}$  such that  $x^i(o) = 0$  ( $i = 1, \dots, n$ ). Let  $T$  be a connected triangular subgroup of  $\text{Aut}(\Omega)$  acting simply transitively on  $\Omega$  and  $\mathfrak{t}$  the Lie algebra of  $T$ . For  $X \in \mathfrak{t}$  we denote by  $X^*$  the vector field on  $\Omega$  induced by a one parameter subgroup of  $\exp(-tX)$ . We have then  $X^* = - \sum_i \left( \sum_j f(X)_j^i x^j + q(X)^i \right) \frac{\partial}{\partial x^i}$ , where  $f(X)_j^i$  and  $q(X)^i$  are constants determined by  $X$ . Let  $V$  be the tangent space of  $\Omega$  at  $o$ . Define mappings  $q: \mathfrak{t} \rightarrow V$  and  $f: \mathfrak{t} \rightarrow \mathfrak{gl}(V)$  by

$$q(X) = \sum_i q(X)^i \left( \frac{\partial}{\partial x^i} \right)_o,$$

$$f(X)q(Y) = \sum_{i,j} f(X)_j^i q(X)^j \left( \frac{\partial}{\partial x^i} \right)_o.$$

Then we have

- (1)  $f$  is a representation of  $\mathfrak{t}$  in  $V$ .  
 (2)  $q$  is a linear isomorphism from  $\mathfrak{t}$  onto  $V$  satisfying

$$q([X, Y]) = f(X)q(Y) - f(Y)q(X) \quad \text{for } X, Y \in \mathfrak{t}.$$

We now define an operation of multiplication in  $V$  by the formula

$$x \cdot y = f(q^{-1}(x))y \quad \text{for } x, y \in V. \quad (3)$$

The algebra  $V$  with this multiplication is called *the algebra of the affine homogeneous domain  $\Omega$  with respect to the point  $o \in \Omega$  and the simply transitive connected triangular group  $T$* . Using the notation

$$\begin{aligned} x \cdot y &= L_x y = R_y x, \\ [x \cdot y \cdot z] &= x \cdot (y \cdot z) - (x \cdot y) \cdot z, \end{aligned}$$

from (1) (2) we get

$$[L_x, L_y] = L_{x \cdot y - y \cdot x}, \quad (4)$$

$$[x \cdot y \cdot z] = [y \cdot x \cdot z], \quad (5)$$

$$[L_x, R_y] = R_{x \cdot y} - R_y R_x, \quad (6)$$

for  $x, y, z \in V$ . The conditions (4), (5) and (6) are mutually equivalent.

DEFINITION 2.1 – *An algebra satisfying one of the conditions (4) (5) (6) is said to be left symmetric (cf. Vinberg [13]).*

DEFINITION 2.2. – *A left symmetric algebra is said to be normal if all operators  $L_x$  have only real eigenvalues (cf. [13]).*

Let  $\langle, \rangle$  denote the inner product on  $V$  given by the Hessian metric. Then we have

$$\langle x \cdot y, z \rangle + \langle y, x \cdot z \rangle = \langle y \cdot x, z \rangle + \langle x, y \cdot z \rangle \quad (7)$$

for all  $x, y, z \in V$  (cf. [8]).

DEFINITION 2.3. – *A left symmetric algebra endowed with an inner product satisfying (7) is called a Hessian algebra.*

Summing up the obtained results, we have

PROPOSITION 2.4. — *Let  $\Omega$  be an affine homogeneous domain with an invariant Hessian metric. Then the algebra of  $\Omega$  with respect to a point in  $\Omega$  and a simply transitive connected triangular group is a normal Hessian algebra.*

Conversely we shall prove that a normal Hessian algebra determines an affine homogeneous domain with an invariant Hessian metric.

Let  $V$  be a normal Hessian algebra endowed with an inner product  $\langle , \rangle$ . Let  $\{e_1, \dots, e_n\}$  be an orthonormal basis of  $V$  with respect to  $\langle , \rangle$  and  $\{x^1, \dots, x^n\}$  the affine coordinate system on  $V$  given by  $v = \sum_i x^i(v) e_i$  for all  $v \in V$ . We denote by  $\mathbf{f}(a) \in GL(V)$  and  $\mathbf{q}(a) \in V$  the linear part and the translation part of  $a \in A(n)$  respectively;  $av = \mathbf{f}(a)v + \mathbf{q}(a)$ . For  $v \in V$  we define an infinitesimal affine transformation  $X_v^*$  by

$$X_v^* = - \sum_{i,j} (L_{v_j}^i x^j + v^i) \frac{\partial}{\partial x^i}, \tag{8}$$

where  $L_{v_j}^i, v^i$  are the components of  $L_v, v$  with respect to  $\{e_1, \dots, e_n\}$ ;  $L_v e_j = \sum_i L_{v_j}^i e_i, v = \sum_i v^i e_i$ . From (4) it follows

$$[X_v^*, X_w^*] = X_{v.w - w.v}^* \text{ for } v, w \in V, \tag{9}$$

and so  $\mathfrak{t}(V) = \{X_v^* \mid v \in V\}$  forms a Lie algebra. Let  $T(V)$  denote the connected Lie subgroup of  $A(n)$  generated by  $\mathfrak{t}(V)$ . We denote by  $\Omega(V)$  the open orbit of  $T(V)$  through the origin  $0$ ;  $\Omega(V) = T(V)0$ , which we call *the affine homogeneous domain corresponding to  $V$* .

We first show that  $T(V)$  acts simply transitively on  $\Omega(V)$ . By (8) the isotropy subgroup  $B$  of  $T(V)$  at  $0$  is discrete. Suppose  $b \in B$ . Since the exponential mapping  $\exp: \mathfrak{t}(V) \rightarrow T(V)$  is surjective because  $T(V)$  is triangular, there exists  $X_w^* \in \mathfrak{t}(V)$  such that  $b = \exp X_w^*$ . If we put  $b' = \exp 1/2 X_w^*$ , then we have  $0 = b0 = b'^2 0 = \mathbf{f}(b') \mathbf{q}(b') + \mathbf{q}(b')$  and so  $\mathbf{f}(b') \mathbf{q}(b') = -\mathbf{q}(b')$ . Since  $\mathbf{f}(b') = \exp(-1/2 L_w)$  and since  $L_w$  is triangular, the eigenvalues of  $\mathbf{f}(b')$  are all positive. This means  $b'0 = \mathbf{q}(b') = 0$  and so  $b' = \exp 1/2 X_w^* \in B$ . By the same argument we have  $\exp 1/2^n X_w^* \in B$  for all non-negative integer  $n$ . Thus  $X_w^* = 0$  because  $B$  is discrete. Therefore  $B$  consists of the unit element only and  $T(V)$  acts simply transitively on  $\Omega(V)$ .

Now we denote by  $g$  the  $T(V)$ -invariant Riemannian metric on  $\Omega(V)$  satisfying  $g_{ij}(0) = \delta_{ij}$  (Kronecker's delta). It follows then

$$g_{ij}(a0) = \sum_{\rho} \mathbf{f}(a^{-1})_i^{\rho} \mathbf{f}(a^{-1})_j^{\rho} \quad \text{for } a \in T(V) \quad (10)$$

where  $\mathbf{f}(a)_i^j$  are the components of  $\mathbf{f}(a)$  with respect to  $\{e_1, \dots, e_n\}$ . Denoting by  $\exp tX_v^*$  the one parameter group generated by  $X_v^*$  we get  $\left. \frac{d}{dt} \right|_{t=0} \mathbf{f}(\exp tX_v^*) = -L_v$  and  $\left. \frac{d}{dt} \right|_{t=0} \mathbf{q}(\exp tX_v^*) = -v$ . Choose an element  $a \in T(V)$  and define an isomorphism  $v \rightarrow v'$  of  $V$  by  $a^{-1} \exp tX_v^* a = \exp tX_{v'}^*$ . Then we have

$$\begin{aligned} v' &= \mathbf{f}(a)^{-1} L_v \mathbf{q}(a) + \mathbf{f}(a)^{-1} v = L_{v'} \mathbf{f}(a)^{-1} \mathbf{q}(a) + \mathbf{f}(a)^{-1} v, \\ L_{v'} &= \mathbf{f}(a)^{-1} L_v \mathbf{f}(a). \end{aligned} \quad (11)$$

Let  $D$  denote the natural flat linear connection on  $\Omega(V)$  given by  $Ddx^i = 0$ . Put  $A_{X^*} = L_{X^*} - D_{X^*}$  where  $L_{X^*}$  and  $D_{X^*}$  are the Lie differentiation and the covariant differentiation by a vector field  $X^*$  respectively. We have

$$(A_{X_u^*} X_v^*)_x = - \sum_i (L_u L_v x + L_u v)^i \left( \frac{\partial}{\partial x^i} \right)_x, \quad (12)$$

for all  $x \in \Omega(V)$ . Since  $A_{X_u^*}$  is a derivation of the algebra of tensor fields and maps every function into zero and since  $L_{X^*} g = 0$ , it follows

$$(D_{X_u^*} g)(X_v^*, X_w^*) = g(A_{X_u^*} X_v^*, X_w^*) + g(X_v^*, A_{X_u^*} X_w^*). \quad (13)$$

Using (10) (11) (12) we obtain

$$\begin{aligned} g(a0) ((A_{X_u^*} X_v^*)_{a0}, (X_w^*)_{a0}) &= \sum_{i,j,\rho} \mathbf{f}(a^{-1})_i^{\rho} \mathbf{f}(a^{-1})_j^{\rho} (L_u L_v a0 + L_u v)^i (L_w a0 + w)^j \\ &= \sum_{\rho} (\mathbf{f}(a^{-1}) (L_u L_v \mathbf{q}(a) + L_u v))^{\rho} (\mathbf{f}(a^{-1}) (L_w \mathbf{q}(a) + w))^{\rho} \\ &= \sum_{\rho} (L_{u'} L_{v'} \mathbf{f}(a)^{-1} \mathbf{q}(a) + L_{u'} \mathbf{f}(a)^{-1} v)^{\rho} (L_{w'} \mathbf{f}(a)^{-1} \mathbf{q}(a) \\ &\quad + \mathbf{f}(a)^{-1} w)^{\rho} \\ &= \sum_{\rho} (u' \cdot v')^{\rho} w'^{\rho} \\ &= \langle u' \cdot v', w' \rangle. \end{aligned}$$

This together with (7) (13) implies

$$(D_{X_u^*} g)(X_v^*, X_w^*) = (D_{X_v^*} g)(X_u^*, X_w^*),$$

and so  $g$  is a Hessian metric (cf. [8]).

Let  $\Omega$  be an affine homogeneous domain in  $\mathbf{R}^n$  with an invariant Hessian metric and  $V$  the normal Hessian algebra of  $\Omega$  with respect to  $0 \in \Omega$  and a simply transitive triangular group. Identifying the tangent space  $V$  of  $\Omega$  at  $0$  with  $\mathbf{R}^n$  the domain  $\Omega(V)$  corresponding to  $V$  coincides with  $\Omega$ . Therefore we have

**THEOREM 2.1.** — *Let  $V$  be a normal Hessian algebra. Then the domain  $\Omega(V)$  constructed as above is an affine homogeneous domain with invariant Hessian metric. All affine homogeneous domains with invariant Hessian metric are obtained in this way.*

**DEFINITION 2.4** (cf. [3]). — *A normal left symmetric algebra  $U$  is called a clan if it admits a linear function  $\omega$  satisfying the condition*

(i)  $\omega(x \cdot y) = \omega(y \cdot x)$  for all  $x, y \in U$ ,

(ii)  $\omega(x \cdot x) > 0$  for all  $x \neq 0 \in U$ .

*Remark.* — Let  $U$  be a clan with  $\omega$ . If we put  $\langle x, y \rangle = \omega(x \cdot y)$ , then  $\langle, \rangle$  is an inner product on  $U$  satisfying the condition (7) and so  $U$  is a normal Hessian algebra.

The following theorem is due to Vinberg [13].

**THEOREM 2.2.** — *Let  $V$  be a clan. Then the domain  $\Omega(V)$  is an affine homogeneous convex domain not containing any full straight line. All affine homogeneous convex domains not containing any full straight line are obtained in this way.*

### 3. Structure of normal Hessian algebras.

In this section we state a fundamental theorem for normal Hessian algebras. Let  $V$  be a normal Hessian algebra.

**DEFINITION 3.1.** — *Let  $W$  be a vector subspace of  $V$ .*

(a)  $W$  is called a commutative subalgebra of  $V$  if  $W \cdot W = \{0\}$ .

(b)  $W$  is said to be an ideal of  $V$  if  $W \cdot V \subset W$  and  $V \cdot W \subset W$ .

**THEOREM 3.1.** — *Let  $V$  be a normal Hessian algebra. Then  $V$  is decomposed into the semi-direct sum  $V = I + U$ , where  $I$  is a commutative ideal of  $V$  and  $U$  is a subalgebra with an element  $s$  satisfying the following properties:*

(i)  $s \cdot s = s$ ,

(ii) the restriction of  $L_s$  on  $U$  is diagonalizable and has eigenvalues  $1, 1/2$ ,

(iii)  $R_s = 2L_s - 1$  on  $U$ ,

where  $1$  is the identity transformation of  $U$ . (An element  $s$  in  $U$  satisfying the above conditions is called a principal idempotent of  $U$ .)

The proof of this theorem is carried out by induction on the dimension of normal Hessian algebras in an analogous way as Gindikin and Vinberg [1] [14].

For later use we prepare some lemmas.

**LEMMA 3.1.** — *Let  $W$  be an ideal of  $V$ . Then the orthogonal complement  $W^\perp$  of  $W$  in  $V$  is a subalgebra.*

*Proof.* — Let  $x, y \in W^\perp$  and  $a \in W$ . We have then

$$\langle a, x \cdot y \rangle = -\langle x \cdot a, y \rangle + \langle a \cdot x, y \rangle + \langle x, a \cdot y \rangle = 0.$$

This implies  $x \cdot y \in W^\perp$ .

q.e.d.

**LEMMA 3.2.** — *Let  $u$  be a non-zero element in  $V$  and let  $P = \{p \in V \mid p \cdot u = 0\}$ . Suppose  $P$  is invariant by  $L_u$ . Then for  $p \in P, x \in V$  we have*

(i)  $L_u(p \cdot x) = (L_u p) \cdot x + p \cdot (L_u x)$ ,

(ii)  $\exp tL_u(p \cdot x) = (\exp tL_u p) \cdot (\exp tL_u x)$ ,

(iii)  $\frac{d}{dt} \langle \exp tL_u p, \exp tL_u x \rangle = \langle u, \exp tL_u(p \cdot x) \rangle$ .

*Proof.* — (i) follows from

$$u \cdot (p \cdot x) = (u \cdot p) \cdot x + p \cdot (u \cdot x) - (p \cdot u) \cdot x.$$

(ii) is a consequence of (i). Using (7) in 2 and (ii) we obtain

$$\begin{aligned} \frac{d}{dt} \langle \exp tL_u p, \exp tL_u x \rangle &= \langle L_u \exp tL_u p, \exp tL_u x \rangle + \langle \exp tL_u p, L_u \exp tL_u x \rangle \\ &= \langle (\exp tL_u p) \cdot u, \exp tL_u x \rangle + \langle u, (\exp tL_u p) \cdot (\exp tL_u x) \rangle \\ &= \langle u, \exp tL_u(p \cdot x) \rangle. \end{aligned} \quad \text{q.e.d.}$$

LEMMA 3.3. – Let  $W$  be a subspace of  $V$ . Suppose that an element  $a \neq 0 \in V$  satisfies the following conditions :

- (a)  $a \cdot a = \epsilon a$ , where  $\epsilon = 0, 1$ ,
- (b)  $L_a$  and  $R_a$  leave  $W$  invariant,
- (c)  $a$  is orthogonal to  $W \cdot W$ .

Then we have:

(i) If  $\epsilon = 0$ ,  $L_a = R_a = 0$  on  $W$ .

(ii) If  $\epsilon = 1$ , the restriction of  $L_a$  on  $W$  is symmetric and its eigenvalues are  $0, 1/2$ . Moreover  $R_a = 2L_a$  on  $W$ .

*Proof.* – From (6) in 2, (a) and (b) it follows

$$[L_a, R_a] = \epsilon R_a - R_a^2 \text{ on } W. \tag{1}$$

By (c) we have

$$\langle a \cdot x, y \rangle + \langle x, a \cdot y \rangle = \langle x \cdot a, y \rangle + \langle a, x \cdot y \rangle = \langle x \cdot a, y \rangle$$

for all  $x, y \in W$ . This implies

$$L_a + {}^tL_a = R_a \text{ on } W. \tag{2}$$

Put  $S = \epsilon R_a - R_a^2$ .  $S$  being commutative with  $R_a$  we have  $\text{Tr}_W S^2 = \text{Tr}_W [L_a, R_a] S = \text{Tr}_W [L_a S, R_a] = 0$ . This means  $S = 0$  on  $W$  because  $S$  is symmetric on  $W$  by (2) and so

$$R_a^2 = \epsilon R_a \text{ on } W, [L_a, R_a] = 0 \text{ on } W. \tag{3}$$

Suppose  $\epsilon = 0$ . The facts that  $R_a$  is symmetric on  $W$  and that  $R_a^2 = 0$  on  $W$  imply  $R_a = 0$  on  $W$ . Using this and (2),  $L_a$  is skew symmetric on  $W$  and its eigenvalues are purely imaginary. Therefore we must have  $L_a = 0$  on  $W$ . Suppose  $\epsilon = 1$ . Since  $R_a^2 = R_a$  on  $W$  the eigenvalues of  $R_a$  on  $W$  are  $0, 1$ . From (2) it follows  $L_a - {}^tL_a = 2L_a - R_a$  on  $W$ . Since  $[L_a, R_a] = 0$



on  $W$  and since the eigenvalues of  $L_a, R_a$  on  $W$  are real, the eigenvalues of  $2L_a - R_a$  on  $W$  are real. On the other hand  $L_a - {}^tL_a$  is skew symmetric and its eigenvalues are purely imaginary. Therefore we have  $L_a - {}^tL_a = 2L_a - R_a = 0$  on  $W$  and so  ${}^tL_a = L_a$  on  $W$ ,  $R_a = 2L_a$  on  $W$ . This means (ii). q.e.d.

The following lemmas 3.4\*-3.7\* are immediate consequences of Theorem 3.1.

LEMMA 3.4.\* - Let  $U_\lambda$  denote the eigenspaces of  $L_s$  on  $U$  corresponding to  $\lambda$ . Then we have:

$$(i) \quad U = U_1 + U_{1/2},$$

$$U_\lambda \cdot U_\mu \subset U_{\mu-\lambda+1}.$$

(ii)  $U$  is a clan.

*Proof.* - For  $x \in U_\lambda, y \in U_\mu$  we have

$$\begin{aligned} s \cdot (x \cdot y) &= (s \cdot x) \cdot y + x \cdot (s \cdot y) - (x \cdot s) \cdot y \\ &= \lambda x \cdot y + \mu x \cdot y - (2\lambda - 1) x \cdot y = (\mu - \lambda + 1) x \cdot y \end{aligned}$$

and so  $x \cdot y \in U_{\mu-\lambda+1}$ . Define a linear function  $\omega$  on  $U$  by

$$\omega(x) = \frac{1}{\lambda} \langle s, x \rangle \quad \text{for } x \in U_\lambda.$$

Let  $x \in U_\lambda, y \in U_\mu$ . Using

$$\langle s \cdot x, y \rangle + \langle x, s \cdot y \rangle = \langle x \cdot s, y \rangle + \langle s, x \cdot y \rangle,$$

$\mu - \lambda + 1 \neq 0$  and  $x \cdot y \in U_{\mu-\lambda+1}$  we get

$$\langle x, y \rangle = \frac{1}{\mu - \lambda + 1} \langle s, x \cdot y \rangle = \omega(x \cdot y).$$

Thus we have  $\langle x, y \rangle = \omega(x \cdot y)$  for all  $x, y \in U$ . Therefore  $U$  is a clan. q.e.d.

LEMMA 3.5.\* - (i) The restriction of  $L_s$  on  $I$  is symmetric and its eigenvalues are  $0, 1/2$ .

(ii) Let  $I_\lambda$  denote the eigenspace of  $L_s$  on  $I$  corresponding to  $\lambda$ . Then we have  $I = I_0 + I_{1/2}$ ,

$$U_\lambda \cdot I_\mu \subset I_{\mu-\lambda+1}, \quad I_\lambda \cdot U_\mu \subset I_{\mu-\lambda}.$$

(iii)  $R_s = 2L_s$  on  $I$ .

*Proof.* — Since  $I$  is a commutative ideal of  $V$  and since  $s \cdot s = s$ , applying Lemma 3.3 it follows that the restriction of  $L_s$  on  $I$  is symmetric and its eigenvalues are  $0, 1/2$  and moreover  $R_s = 2L_s$  on  $I$ . Let  $x \in U_\lambda, a \in I_\mu$ . By Theorem 3.1 (iii) we obtain

$$\begin{aligned} s \cdot (x \cdot a) &= (s \cdot x) \cdot a + x \cdot (s \cdot a) - (x \cdot s) \cdot a \\ &= \lambda x \cdot a + \mu x \cdot a - (2\lambda - 1)x \cdot a = (\mu - \lambda + 1)x \cdot a \end{aligned}$$

and  $x \cdot a \in I_{\mu-\lambda+1}$ . Let  $a \in I_\lambda, x \in U_\mu$ . By (iii) we have

$$\begin{aligned} s \cdot (a \cdot x) &= (s \cdot a) \cdot x + a \cdot (s \cdot x) - (a \cdot s) \cdot x \\ &= \lambda a \cdot x + \mu a \cdot x - 2\lambda a \cdot x = (\mu - \lambda)a \cdot x \end{aligned}$$

and so  $a \cdot x \in I_{\mu-\lambda}$ . q.e.d.

LEMMA 3.6\*. — *The commutative ideal  $I$  of  $V$  is characterized by the set of all points  $x \in V$  such that  $x \cdot x = 0$ .*

*Proof.* — Suppose  $x \cdot x = 0$ . If  $x = a + y$  where  $a \in I$  and  $y \in U$ , we have  $0 = x \cdot x = a \cdot y + y \cdot a + y \cdot y$  and so  $y \cdot y = 0$ . By Lemma 3.4\* (ii) there exists a linear function  $\omega$  on  $U$  satisfying the conditions in Definition 2.4. Since  $\omega(y \cdot y) = 0$ , we have  $y = 0$  and  $x = a \in I$ . q.e.d.

LEMMA 3.7\*. — *The subspaces  $I_0, I_{1/2}$  and  $U$  are mutually orthogonal with respect to  $\langle, \rangle$ .*

*Proof.* — By Lemma 3.5\* (i)  $I_0$  and  $I_{1/2}$  are orthogonal. For  $a \in I_\lambda$  we have

$$0 = \langle s \cdot a, s \rangle + \langle a, s \cdot s \rangle - \langle a \cdot s, s \rangle - \langle s, a \cdot s \rangle = (-3\lambda + 1) \langle a, s \rangle$$

and so  $\langle a, s \rangle = 0$  because  $\lambda = 0, 1/2$ . This implies  $s$  and  $I$  are orthogonal. Applying this, for  $a \in I_\lambda, x \in U_\mu$  we obtain

$$0 = \langle s \cdot a, x \rangle + \langle a, s \cdot x \rangle - \langle a \cdot s, x \rangle - \langle s, a \cdot x \rangle = (\mu - \lambda) \langle a, x \rangle$$

$$\begin{aligned} \text{and } 0 &= \langle s \cdot x, a \rangle + \langle x, s \cdot a \rangle - \langle x \cdot s, a \rangle - \langle s, x \cdot a \rangle \\ &= (\lambda - \mu + 1) \langle a, x \rangle. \end{aligned}$$

This shows  $\langle a, x \rangle = 0$ . Therefore  $I$  and  $U$  are orthogonal. q.e.d.

#### 4. The case $u \cdot u = u$ .

Since  $V$  is a normal left symmetric algebra, by Lie's Theorem there exists an element  $u \neq 0 \in V$  such that  $x \cdot u = \kappa(x)u$  for all  $x \in V$ , where  $\kappa$  is a linear function on  $V$ . Multiplying  $u$  by non-zero scalar (if necessary) the following two cases are possible ;

$$\begin{aligned} u \cdot u &= u, \\ u \cdot u &= 0. \end{aligned}$$

In this section we consider the case  $u \cdot u = u$  and prove the following.

PROPOSITION 4.1. — *Suppose  $u \cdot u = u$ . Then the operator  $L_u$  is diagonalizable and has eigenvalues  $0, 1/2, 1$ . Denoting by  $V_\lambda$  the eigenspace of  $L_u$  corresponding to  $\lambda$  we have:*

- (i)  $V = V_1 + V_{1/2} + V_0$  (orthogonal decomposition).
- (ii)  $V_1 = \{u\}$ .
- (iii)  $u \cdot p = \frac{1}{2} p$ ,  $p \cdot u = 0$  for  $p \in V_{1/2}$ .
- (iv)  $u \cdot q = 0$ ,  $q \cdot u = 0$  for  $q \in V_0$ .
- (v)  $V_0 \cdot V_{1/2} \subset V_{1/2}$ ,  $V_{1/2} \cdot V_0 \subset V_{1/2}$ ,  
 $V_0 \cdot V_0 \subset V_0$ ,  $V_{1/2} \cdot V_{1/2} \subset V_1$ .

*In particular  $V_1 + V_{1/2}$  is an ideal of  $V$  with principal idempotent  $u$  and  $V_0$  is a subalgebra.*

Let  $P$  denote the kernel of  $R_u$  ;

$$P = \{p \in V \mid p \cdot u = 0\}. \quad (1)$$

Then we have

$$L_u P \subset P, \quad (2)$$

$$V = \{u\} + P. \quad (3)$$

Indeed for  $p \in P$  we have

$$(u \cdot p) \cdot u = u \cdot (p \cdot u) + (p \cdot u) \cdot u - p \cdot (u \cdot u) = 0,$$

which implies (2). (3) follows from  $x - \kappa(x)u \in P$  for all  $x \in V$ .

LEMMA 4.1. — *The restriction of  $L_u$  on  $P$  is diagonalizable and has eigenvalues  $0, 1/2$ .*

*Proof.* – By Lemma 3.2 for  $p \in P$  we have

$$\frac{d}{dt} \langle \exp tL_u p, \exp tL_u u \rangle = \langle u, \exp tL_u(p \cdot u) \rangle = 0,$$

and so

$$\langle \exp tL_u p, u \rangle = ae^{-t}, \quad (4)$$

where  $a$  is a constant determined by  $p$  not depending on  $t$ . Using this for  $x = cu + p \in V$  ( $c \in \mathbf{R}$ ,  $p \in P$ ) we obtain

$$\begin{aligned} \langle u, \exp tL_u x \rangle &= \langle u, ce^t u + \exp tL_u p \rangle \\ &= \langle u, \exp tL_u p \rangle + c \langle u, u \rangle e^t = ae^{-t} + be^t, \end{aligned} \quad (5)$$

where  $a, b$  are constants determined by  $x$  not depending on  $t$ . Applying Lemma 3.2 and (5) we have for  $p, q \in P$

$$\frac{d}{dt} \langle \exp tL_u p, \exp tL_u q \rangle = \langle u, \exp tL_u(p \cdot q) \rangle = ae^{-t} + be^t,$$

and consequently

$$\langle \exp tL_u p, \exp tL_u q \rangle = -ae^{-t} + be^t + c, \quad (6)$$

where  $a, b$  and  $c$  are constants determined by  $p, q$  not depending on  $t$ . From (6) it follows that  $L_u$  is diagonalizable on  $P$ . Indeed, if  $L_u$  is not diagonalizable on  $P$  there exist non-zero elements  $p, q \in P$  such that  $L_u p = \lambda p$ ,  $L_u q = \lambda q + p$ . We have then

$$\begin{aligned} \langle \exp tL_u p, \exp tL_u q \rangle &= \langle e^{\lambda t} p, e^{\lambda t} q + te^{\lambda t} p \rangle \\ &= te^{2\lambda t} \langle p, p \rangle + e^{2\lambda t} \langle p, q \rangle, \end{aligned}$$

which contradicts to (6). Let  $\lambda$  be an eigenvalue of  $L_u$  on  $P$  and  $p \neq 0 \in P$  an eigenvector corresponding to  $\lambda$ . It follows then

$$\frac{d}{dt} \langle \exp tL_u p, \exp tL_u p \rangle = 2\lambda \langle p, p \rangle e^{2\lambda t}.$$

On the other hand (6) implies

$$\frac{d}{dt} \langle \exp tL_u p, \exp tL_u p \rangle = ae^{-t} + be^t.$$

Therefore we obtain

$$2\lambda \langle p, p \rangle e^{2\lambda t} = ae^{-t} + be^t, \quad (7)$$

consequently  $\lambda = 0, 1/2, -1/2$ . By (4) we get  $\langle p, u \rangle e^{(\lambda+1)t} = a$ , so  $\langle p, u \rangle = 0$  and  $a = 0$  because  $\lambda + 1 \neq 0$ . Thus we have

$$\langle p, u \rangle = 0 \quad \text{for all } p \in P, \quad (4')$$

$$\langle u, \exp tL_u x \rangle = be^t \quad \text{for } x \in V, \quad (5')$$

$$2\lambda \langle p, p \rangle e^{2\lambda t} = be^t. \quad (7')$$

(7') shows  $\lambda = 0, 1/2$ .

q.e.d.

Let  $P_\lambda$  denote the eigenspace of  $L_u$  in  $P$  corresponding to  $\lambda$ . From Lemma 4.1 and (3) it follows

$$V = V_1 + V_{1/2} + V_0, \quad (8)$$

where  $V_1 = \{u\}$ ,  $V_{1/2} = P_{1/2}$  and  $V_0 = P_0$ .

LEMMA 4.2. — *The decomposition (8) is orthogonal and we have  $P_\lambda \cdot P_\mu \subset V_{\lambda+\mu}$ .*

*Proof.* — For  $p \in P_\lambda$  and  $q \in P_\mu$  we have

$$u \cdot (p \cdot q) = (u \cdot p) \cdot q + p \cdot (u \cdot q) - (p \cdot u) \cdot q = (\lambda + \mu) p \cdot q.$$

This implies  $P_\lambda \cdot P_\mu \subset V_{\lambda+\mu}$ . The orthogonality of  $\{u\}$  and  $P$  follows from (4'). Applying this for  $p \in P_{1/2}$  and  $q \in P_0$  we obtain  $1/2 \langle p, q \rangle = \langle u \cdot p, q \rangle = -\langle p, u \cdot q \rangle + \langle p \cdot u, q \rangle + \langle u, p \cdot q \rangle = 0$  because  $p \cdot q \in P_{1/2}$ . Thus  $P_{1/2}$  and  $P_0$  are orthogonal. q.e.d.

The assertion of Proposition 4.1 follows from Lemma 4.2 and (8).

## 5. The case $u \cdot u = 0$ .

The purpose of this section is to prove the following.

PROPOSITION 5.1. — *Suppose  $u \cdot u = 0$ . Then there exists a commutative ideal of  $V$  containing  $u$ .*

LEMMA 5.1. —  $L_u^2 = 0$ .

*Proof.* — Let  $P$  denote the kernel of  $R_u$ ;  $P = \{p \in V \mid p \cdot u = 0\}$ . Then we have

$$L_u V \subset P, \quad (1)$$

because  $(u \cdot x) \cdot u = u \cdot (x \cdot u) + (x \cdot u) \cdot u - x \cdot (u \cdot u) = 0$  for all  $x \in V$ . For  $p \in P$ ,  $x \in V$  it follows from (1) and Lemma 3.2

$$\begin{aligned} \frac{d^3}{dt^3} \langle \exp tL_u p, \exp tL_u x \rangle &= \frac{d^2}{dt^2} \langle u, \exp tL_u(p \cdot x) \rangle = \langle u, u \cdot p' \rangle \\ &= -\langle p', u \cdot u \rangle + \langle p' \cdot u, u \rangle + \langle u, p' \cdot u \rangle = 0, \end{aligned}$$

where  $p' = L_u \exp tL_u(p \cdot x) \in P$ , and consequently

$$\langle \exp tL_u p, \exp tL_u x \rangle = at^2 + bt + c, \tag{2}$$

where  $a, b, c$  are constants independent of  $t$ . Let  $\lambda$  be an eigenvalue of  $L_u$  on  $P$  and  $p \neq 0 \in P$  an eigenvector corresponding to  $\lambda$ . By (2) we get  $e^{2\lambda t} \langle p, p \rangle = at^2 + bt + c$ , and so  $\lambda = 0$ . This together with (1) implies that the eigenvalues of  $L_u$  are equal to 0. Assume  $L_u^2 \neq 0$ . Then there exist non-zero elements  $x, y, z \in V$  such that  $u \cdot x = 0, u \cdot y = x, u \cdot z = y$ . From this we have  $\exp tL_u y = y + tx, \exp tL_u z = z + ty + \frac{t^2}{2}x$ . Since  $y = u \cdot z \in P$ , applying (2) we obtain  $\langle y + tx, z + ty + \frac{t^2}{2}x \rangle = at^2 + bt + c$ . This is a contradiction because  $\langle x, x \rangle \neq 0$ . Thus we have  $L_u^2 = 0$ .  
 q.e.d.

Using  $L_u^2 = 0$  we define a filtration of  $V$ . Consider the subspaces of  $V$

$$\begin{aligned} V^{(-1)} &= V, \\ V^{(0)} &= \{x \in V \mid L_u x \in \{u\}\}, \\ V^{(1)} &= L_u V + \{u\}, \\ V^{(2)} &= \{u\}. \end{aligned}$$

Then we have

LEMMA 5.2. — *The subspaces  $V^{(i)}$  form a filtration of the algebra  $V$ ;*

- (i)  $V^{(-1)} \supset V^{(0)} \supset V^{(1)} \supset V^{(2)}$ ,
- (ii)  $V^{(i)} \cdot V^{(j)} \subset V^{(i+j)}$ .

Moreover we have

$$(iii) \quad V^{(1)} \cdot V^{(1)} = \{0\}.$$

*Proof.* – (i) follows from  $u \cdot u = 0$  and  $L_u^2 = 0$ . Note that

$$(u \cdot x) \cdot (u \cdot y) = 0 \quad \text{for all } x, y \in V. \quad (3)$$

In fact for  $x, y \in V$  we have

$$\begin{aligned} 0 &= u \cdot (u \cdot (x \cdot y)) = u \cdot ((u \cdot x) \cdot y + x \cdot (u \cdot y) - (x \cdot u) \cdot y) \\ &= u \cdot ((u \cdot x) \cdot y) + u \cdot (x \cdot (u \cdot y)) - \kappa(x) u \cdot (u \cdot y) = (u \cdot (u \cdot x)) \cdot y \\ &\quad + (u \cdot x) \cdot (u \cdot y) - ((u \cdot x) \cdot u) \cdot y + (u \cdot x) \cdot (u \cdot y) + x \cdot (u \cdot (u \cdot y)) \\ &\quad - (x \cdot u) \cdot (u \cdot y) = 2(u \cdot x) \cdot (u \cdot x) \end{aligned}$$

because  $L_u^2 = 0$ ,  $V \cdot u \subset \{u\}$  and  $L_u V \subset P$ . Let

$$u \cdot x + \lambda u, u \cdot y + \mu u \in V^{(1)} \quad (x, y \in V, \lambda, \mu \in \mathbf{R}).$$

Using (1) and (3) we get

$$\begin{aligned} (u \cdot x + \lambda u) \cdot (u \cdot y + \mu u) &= (u \cdot x) \cdot (u \cdot y) + \mu(u \cdot x) \cdot u \\ &\quad + \lambda u \cdot (u \cdot y) + \lambda \mu u \cdot u \\ &= 0. \end{aligned}$$

This implies (iii). Let  $x \in V^{(0)}$ ,  $u \cdot y + \mu u \in V^{(1)}$  ( $y \in V, \mu \in \mathbf{R}$ ). We have then  $u \cdot x = \nu u$  ( $\nu \in \mathbf{R}$ ) and

$$\begin{aligned} x \cdot (u \cdot y + \mu u) &= x \cdot (u \cdot y) + \mu x \cdot u = (x \cdot u) \cdot y + u \cdot (x \cdot y) \\ &\quad - (u \cdot x) \cdot y + \mu x \cdot u \\ &= \kappa(x) u \cdot y + u \cdot (x \cdot y) - \nu u \cdot y + \mu \kappa(x) u \in V^{(1)}. \end{aligned}$$

In the same way  $(u \cdot y + \mu u) \cdot x \in V^{(1)}$ . Therefore we have

$$V^{(0)} \cdot V^{(1)} \subset V^{(1)}, \quad V^{(1)} \cdot V^{(0)} \subset V^{(1)}. \quad (4)$$

Let  $u \cdot x + \mu u \in V^{(1)}$  ( $x \in V, \mu \in \mathbf{R}$ ) and  $y \in V^{(-1)}$ . By (iii) we have

$$\begin{aligned} u \cdot ((u \cdot x + \mu u) \cdot y) &= u \cdot ((u \cdot x) \cdot y) + \mu u \cdot (u \cdot y) = (u \cdot (u \cdot x)) \cdot y \\ &\quad + (u \cdot x) \cdot (u \cdot y) - ((u \cdot x) \cdot u) \cdot y + \mu u \cdot (u \cdot y) = 0 \end{aligned}$$

$$\begin{aligned} \text{and } u \cdot (y \cdot (u \cdot x + \mu u)) &= u \cdot (y \cdot (u \cdot x)) + \mu u \cdot (y \cdot u) \\ &= (u \cdot y) \cdot (u \cdot x) + y \cdot (u \cdot (u \cdot x)) - (y \cdot u) \cdot (u \cdot x) \\ &\quad + \mu u \cdot (y \cdot u) \\ &= 0. \end{aligned}$$

This implies

$$V^{(1)} \cdot V^{(-1)} \subset V^{(0)}, \quad V^{(-1)} \cdot V^{(1)} \subset V^{(0)}. \quad (5)$$

Let  $x, y \in V^{(0)}$ . We have then  $u \cdot x = \mu u$ ,  $u \cdot y = \nu u$  and so

$$\begin{aligned} u \cdot (x \cdot y) &= (u \cdot x) \cdot y + x \cdot (u \cdot y) - (x \cdot u) \cdot y = \mu \nu u \\ &\quad + \nu \kappa(x) u - \kappa(x) \nu u = \mu \nu u. \end{aligned}$$

This means

$$V^{(0)} \cdot V^{(0)} \subset V^{(0)}. \tag{6}$$

The other relations  $V^{(i)} \cdot V^{(j)} \subset V^{(i+j)}$  are trivial. q.e.d.

If  $V^{(0)} = V$ , then  $V^{(2)} = \{u\}$  is a commutative ideal of  $V$  and consequently Proposition 5.1 is proved. From now on we assume  $V^{(0)} \neq V$ . Since  $V^{(0)}$  is a subalgebra of dimension less than  $\dim V$ , by the inductive hypothesis we have  $V^{(0)} = I + U$ , where  $I$  is a commutative ideal of  $V^{(0)}$  and  $U$  is a subalgebra with a principal idempotent  $s$ .

LEMMA 5.3. —  $V^{(1)} \subset I$ .

*Proof.* — According to Lemma 3.6\* it follows

$$I = \{x \in V^{(0)} \mid x \cdot x = 0\}.$$

This and  $V^{(1)} \cdot V^{(1)} = \{0\}$  imply  $V^{(1)} \subset I$ . q.e.d.

LEMMA 5.4. —  $V \cdot I \subset V^{(0)}$ ,  $I \cdot V \subset V^{(0)}$ .

*Proof.* — Let  $x \in V$  and  $a \in I$ . Since  $I$  is commutative and since  $u, u \cdot x, x \cdot u \in I$  by Lemma 5.2 and 5.3, we have

$$u \cdot (x \cdot a) = (u \cdot x) \cdot a + x \cdot (u \cdot a) - (x \cdot u) \cdot a = 0$$

and  $u \cdot (a \cdot x) = (u \cdot a) \cdot x + a \cdot (u \cdot x) - (a \cdot u) \cdot x = 0$ .

This means  $x \cdot a, a \cdot x \in V^{(0)}$ . q.e.d.

If  $I = V^{(0)}$ , Lemma 5.4 implies that  $I$  is a commutative ideal of  $V$  containing  $u$  and Proposition 5.1 is proved. Henceforth we assume  $I \neq V^{(0)}$ , i.e.,  $U \neq \{0\}$ .

Let  $s$  be a principal idempotent of  $U$ . Since  $V^{(1)} \subset I$  and since  $V^{(1)}$  is invariant by  $L_s$  and  $R_s$ , by Lemma 3.3 we have:

The restriction of  $L_s$  on  $V^{(1)}$  is symmetric and its eigenvalues are  $0, 1/2$ . Therefore denoting by  $V_\lambda^{(1)}$  the eigenspace of  $L_s$  corresponding to  $\lambda$  we obtain the orthogonal decomposition

$$V^{(1)} = V_0^{(1)} + V_{1/2}^{(1)}. \tag{7}$$

$$R_s = 2L_s \quad \text{on} \quad V^{(1)}. \tag{8}$$

We set  $s \cdot u = \alpha u$ . From (8) it follows  $u \cdot s = 2s \cdot u = 2\alpha u$ . Thus



$$\begin{aligned} L_s u &= \alpha u, \\ R_s u &= 2\alpha u, \quad \text{where } \alpha = 0, 1/2. \end{aligned} \tag{9}$$

Consider the graded algebra  $\bar{V}$  associated to the filtered algebra  $V$ :  $\bar{V} = \bar{V}^{(-1)} + \bar{V}^{(0)} + \bar{V}^{(1)} + \bar{V}^{(2)}$ , where  $\bar{V}^{(i)} = V^{(i)} / V^{(i+1)}$  ( $-1 \leq i \leq 1$ ) and  $\bar{V}^{(2)} = V^{(2)}$ . For  $x \in V^{(i)}$  we denote by  $\bar{x}$  the element in  $\bar{V}^{(i)}$  corresponding to  $x$  and by  $L_{\bar{x}}$  (resp.  $R_{\bar{x}}$ ) the left (resp. right) multiplication by  $\bar{x}$ .

LEMMA 5.5. – (i) *The mapping  $L_{\bar{u}}: \bar{V}^{(-1)} \longrightarrow \bar{V}^{(1)}$  is an isomorphism.*

(ii)  $L_{\bar{s}} L_{\bar{u}} = L_{\bar{u}} (L_{\bar{s}} - \alpha)$  on  $\bar{V}^{(-1)}$ . *In particular the restriction of  $L_{\bar{s}}$  on  $\bar{V}^{(-1)}$  is diagonalizable and its eigenvalues are  $\alpha$ ,  $\alpha + 1/2$ .*

(iii)  $R_{\bar{s}} L_{\bar{u}} = L_{\bar{u}} R_{\bar{s}}$  on  $\bar{V}^{(-1)}$ .

*Proof.* – The mapping  $L_{\bar{u}}: \bar{V}^{(-1)} \longrightarrow \bar{V}^{(1)}$  is surjective because  $\bar{V}^{(1)} = L_{\bar{u}} V + \{u\} / \{u\}$ . Suppose  $L_{\bar{u}} \bar{x} = 0$  ( $x \in V^{(-1)}$ ). Then it follows  $u \cdot x \in \{u\}$ , consequently  $x \in V^{(0)}$  and  $\bar{x} = 0$ . Thus (i) is proved. By (9) we have

$$\begin{aligned} L_{\bar{s}} L_{\bar{u}} \bar{x} &= \overline{s \cdot (u \cdot x)} = \overline{(s \cdot u) \cdot x} + \overline{u \cdot (s \cdot x)} - \overline{(u \cdot s) \cdot x} \\ &= \overline{u \cdot (s \cdot x)} - \overline{\alpha u \cdot x} = L_{\bar{u}} (L_{\bar{s}} - \alpha) \bar{x} \end{aligned}$$

for all  $x \in V^{(-1)}$ , which implies  $L_{\bar{s}} L_{\bar{u}} = L_{\bar{u}} (L_{\bar{s}} - \alpha)$  on  $\bar{V}^{(-1)}$ . Using this together with (7) the restriction of  $L_{\bar{s}}$  on  $\bar{V}^{(-1)}$  is diagonalizable and has eigenvalues  $\alpha$ ,  $\alpha + 1/2$ . This shows (ii). By (9) we obtain

$$\begin{aligned} R_{\bar{s}} L_{\bar{u}} \bar{x} &= \overline{(u \cdot x) \cdot s} = \overline{u \cdot (x \cdot s)} + \overline{(x \cdot u) \cdot s} - \overline{x \cdot (u \cdot s)} = \overline{u \cdot (x \cdot s)} \\ &+ \kappa(x) \overline{u \cdot s} - 2\alpha x \cdot \bar{u} = \overline{u \cdot (x \cdot s)} = L_{\bar{u}} R_{\bar{s}} \bar{x} \quad \text{for all } x \in V^{(-1)}, \end{aligned}$$

which means (iii).

q.e.d.

According to Lemma 3.5\*, (7) and Lemma 5.5 the operator  $L_{\bar{s}}$  leaves each subspace  $\bar{V}^{(i)}$  invariant and is diagonalizable on  $\bar{V}^{(i)}$ . We denote by  $\bar{V}_{\lambda}^{(i)}$  the eigenspace of  $L_{\bar{s}}$  in  $\bar{V}^{(i)}$  corresponding to  $\lambda \in \mathbb{R}$ .

LEMMA 5.6. – *Let  $\bar{a} \in \bar{V}_{\lambda}^{(-1)}$ . Then we have*

- (i)  $L_{\bar{s}} \bar{a} = \lambda \bar{a}$ ,
- (ii)  $R_{\bar{s}} \bar{a} = 2(\lambda - \alpha) \bar{a}$ .

*Proof.* – Using Lemma 5.4 and (8) we obtain

$$\begin{aligned} L_{\bar{u}} R_{\bar{s}} \bar{a} &= R_{\bar{s}} L_{\bar{u}} \bar{a} = \overline{R_s L_u a} = \overline{2L_s L_u a} = 2L_{\bar{s}} L_{\bar{u}} \bar{a} \\ &= 2L_{\bar{u}} (L_{\bar{s}} - \alpha) \bar{a} = L_{\bar{u}} (2(\lambda - \alpha) \bar{a}). \end{aligned}$$

This implies  $R_{\bar{s}} \bar{a} = 2(\lambda - \alpha) \bar{a}$  because  $L_{\bar{u}} : \bar{V}^{(-1)} \rightarrow \bar{V}^{(1)}$  is an isomorphism. q.e.d.

For simplicity we denote by  $a' \in V^{(1)}$  the element  $u \cdot a$  where  $a \in V^{(-1)}$ .

LEMMA 5.7. –

- (i) If  $\bar{a} \in V_{\lambda}^{(-1)}$ , then  $\bar{a}' \in \bar{V}_{\lambda-\alpha}^{(1)}$ .
- (ii) Let  $\bar{a} \in V_{\lambda}^{(-1)}$ ,  $\bar{b} \in \bar{V}_{\mu}^{(-1)}$ . Then we have  $\bar{a}' \cdot \bar{b}$ ,  $\bar{a} \cdot \bar{b}' \in \bar{V}_{-\lambda+\mu+\alpha}^{(0)}$ .

*Proof.* – From Lemma 5.5 (ii) it follows

$$L_{\bar{s}} \bar{a}' = L_{\bar{s}} L_{\bar{u}} \bar{a} = L_{\bar{u}} (L_{\bar{s}} - \alpha) \bar{a} = (\lambda - \alpha) L_{\bar{u}} \bar{a} = (\lambda - \alpha) \bar{a}' ,$$

which implies (i). Using (i), (8) and Lemma 5.6 (ii) we obtain

$$\begin{aligned} \bar{s} \cdot (\bar{a}' \cdot \bar{b}) &= (\bar{s} \cdot \bar{a}') \cdot \bar{b} + \bar{a}' \cdot (\bar{s} \cdot \bar{b}) - (\bar{a}' \cdot \bar{s}) \cdot \bar{b} = (\lambda - \alpha) \bar{a}' \cdot \bar{b} \\ &\quad + \mu \bar{a}' \cdot \bar{b} - 2(\lambda - \alpha) \bar{a}' \cdot \bar{b} = (-\lambda + \mu + \alpha) \bar{a}' \cdot \bar{b} \end{aligned}$$

and

$$\begin{aligned} \bar{s} \cdot (\bar{a} \cdot \bar{b}') &= (\bar{s} \cdot \bar{a}) \cdot \bar{b}' + \bar{a} \cdot (\bar{s} \cdot \bar{b}') - (\bar{a} \cdot \bar{s}) \cdot \bar{b}' = \lambda \bar{a} \cdot \bar{b}' \\ &\quad + (\mu - \alpha) \bar{a} \cdot \bar{b}' - 2(\lambda - \alpha) \bar{a} \cdot \bar{b}' = (-\lambda + \mu + \alpha) \bar{a} \cdot \bar{b}' . \end{aligned}$$

This shows (ii). q.e.d.

According to Lemma 3.5\* and Lemma 5.3 we get

$$\begin{aligned} V_{\lambda}^{(0)} \cdot V_{\mu'}^{(1)} &\subset V^{(1)} \cap (I_{\lambda} + U_{\lambda}) \cdot I_{\mu'} = V^{(1)} \cap U_{\lambda} \cdot I_{\mu'} \subset V^{(1)} \cap I_{\mu'-\lambda+1} \\ &= V_{\mu'-\lambda+1}^{(1)} \end{aligned}$$

and

$$\begin{aligned} V_{\lambda'}^{(1)} \cdot V_{\mu}^{(0)} &\subset V^{(1)} \cap I_{\lambda'} \cdot (I_{\mu} + U_{\mu}) = V^{(1)} \cap I_{\lambda'} \cdot U_{\mu} \subset V^{(1)} \cap I_{\mu-\lambda'} \\ &= V_{\mu-\lambda'}^{(1)} . \end{aligned}$$

Thus we have

$$\begin{aligned} V_{\lambda}^{(0)} \cdot V_{\mu'}^{(1)} &\subset V_{-\lambda+\mu'+1}^{(1)} , \\ V_{\lambda'}^{(1)} \cdot V_{\mu}^{(0)} &\subset V_{-\lambda'+\mu}^{(1)} . \end{aligned} \tag{10}$$

Consider the subspace  $W^{(1)}$  of  $V^{(1)}$  defined by

$$W^{(1)} = \{a \in V^{(1)} \mid \langle a, u \rangle = 0\}.$$

The subspace  $W^{(1)}$  is invariant by  $L_s$ . In fact for  $a \in W^{(1)}$  using (8), (9) and  $V^{(1)}$ .  $V^{(1)} = \{0\}$  we have

$$\begin{aligned} \langle s \cdot a, u \rangle &= -\langle a, s \cdot u \rangle + \langle a \cdot s, u \rangle + \langle s, a \cdot u \rangle = -\alpha \langle a, u \rangle \\ &\quad + 2 \langle s \cdot a, u \rangle = 2 \langle s \cdot a, u \rangle \end{aligned}$$

and  $\langle s \cdot a, u \rangle = 0$ , consequently  $s \cdot a \in W^{(1)}$ . We denote by  $W_\lambda^{(1)}$  the eigenspace of  $L_s$  in  $W^{(1)}$ .

LEMMA 5.8. — Suppose  $\rho' = \nu' - \beta + 1$ . If  $W_{\rho'}^{(1)} \cdot V_\beta^{(0)} \subset \{u\}$ , then  $V_\beta^{(0)} \cdot W_{\nu'}^{(1)} \subset \{u\}$ .

*Proof.* — Let  $a_1 \in W_{\nu'}^{(1)}$ ,  $b_1 \in W_{\rho'}^{(1)}$  and  $x \in V_\beta^{(0)}$ . By (10) we have  $x \cdot a_1 \in V_{\rho'}^{(1)}$  and  $x \cdot b_1 \in V_{\rho' - \beta + 1}^{(1)}$ . Since  $b_1 \cdot x \in \{u\}$  and  $W^{(1)} \cdot W^{(1)} = \{0\}$ , we obtain

$$\langle x \cdot b_1, a_1 \rangle + \langle b_1, x \cdot a_1 \rangle = \langle b_1 \cdot x, a_1 \rangle + \langle x, b_1 \cdot a_1 \rangle = 0.$$

If  $\rho' - \beta + 1 \neq \nu'$ , the orthogonality of the decomposition  $V^{(1)} = V_0^{(1)} + V_{1/2}^{(1)}$  implies  $\langle b_1, x \cdot a_1 \rangle = 0$  and consequently  $x \cdot a_1 \in \{u\}$ . If  $\rho' - \beta + 1 = \nu'$ , then  $\beta = 1$  and  $\rho' = \nu'$ . From this it follows  $L_x W_{\nu'}^{(1)} \subset V_{\nu'}^{(1)}$ . Define the mapping

$$A_x = pr \circ L_x : W^{(1)} \longrightarrow W^{(1)}$$

where  $pr$  is the projection from  $V^{(1)} = W^{(1)} + \{u\}$  onto  $W^{(1)}$ . Then we have  $\langle A_x b_1, a_1 \rangle + \langle b_1, A_x a_1 \rangle = 0$  for all  $a_1, b_1 \in W_{\nu'}^{(1)}$  and so  $A_x$  is skew symmetric on  $W_{\nu'}^{(1)}$ . On the other hand  $A_x$  has only real eigenvalues because the eigenvalues of  $L_x$  are real. This means  $A_x = 0$  on  $W_{\nu'}^{(1)}$  and  $L_x W_{\nu'}^{(1)} \subset \{u\}$ . Thus the proof of this lemma is completed. q.e.d.

LEMMA 5.9. — Let  $a, b, c \in V^{(-1)}$ . Then the products of  $\bar{a}, \bar{b}'$  and  $\bar{c}'$  are equal to 0 where  $b' = u \cdot b$  and  $c' = u \cdot c$ .

*Proof.* — For each  $b \in V^{(-1)}$  we denote by  $b_1$  the element in  $W^{(1)}$  such that  $\bar{b}_1 = \bar{b}'$ . Let  $\bar{a} \in \bar{V}_\lambda^{(-1)}$ ,  $\bar{b} \in \bar{V}_\mu^{(-1)}$  and  $\bar{c} \in \bar{V}_\nu^{(-1)}$ . By Lemma 5.7 we see  $\bar{b}' = \bar{b}_1 \in \bar{V}_{\mu - \alpha}^{(1)}$ ,  $\bar{c}' = \bar{c}_1 \in \bar{V}_{\nu - \alpha}^{(-1)}$  and  $\bar{a} \cdot \bar{b}' \in \bar{V}_{-\lambda + \mu + \alpha}^{(0)}$ . We first prove

$$(i) \quad (\bar{a} \cdot \bar{b}') \cdot \bar{c}' = 0.$$

According to Lemma 5.8, for the proof of (i) it suffices to show

$$(i)' \quad \bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = 0 \quad \text{for all } \bar{d} \in \bar{V}_\rho^{(-1)},$$

where  $\rho = \lambda - \mu + \nu - \alpha + 1$ . From  $W^{(1)} \cdot W^{(1)} = \{0\}$  it follows

$$\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = (\bar{d}_1 \cdot \bar{a}) \cdot \bar{b}_1 - (\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1.$$

Using Lemma 5.7 and (10) we have

$$\begin{aligned} \bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) &\in \bar{V}_{-2\lambda+2\mu-\nu+3\alpha-1}^{(1)}, \\ (\bar{d}_1 \cdot \bar{a}) \cdot \bar{b}_1 &\in \bar{V}_{\nu-3\alpha+2}^{(1)}, \\ (\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1 &\in \bar{V}_{2\mu-\nu-\alpha}^{(1)}. \end{aligned}$$

(A) the case  $\alpha = 0$ . By Lemma 5.5 we know  $\lambda, \mu, \nu = 0, 1/2$ . This implies  $\nu - 3\alpha + 2 = \nu + 2 = 2, 5/2$ . Consequently by (7) we have  $(\bar{d}_1 \cdot \bar{a}) \cdot \bar{b}_1 = 0$  and  $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = -(\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1$ . If  $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) \neq 0$ , then we obtain  $-2\lambda + 2\mu - \nu + 3\alpha - 1 = 2\mu - \nu - \alpha$  and so  $\lambda = -\frac{1}{2}$ , which is a contradiction. Thus (i)' holds.

(B) the case  $\alpha = \frac{1}{2}$ . By Lemma 5.5 we have  $\lambda, \mu, \nu = \frac{1}{2}, 1$ .

Therefore we obtain  $\nu - 3\alpha + 2 = \nu + \frac{1}{2} = 1, \frac{3}{2}$ , so by (7)  $(\bar{d}_1 \cdot \bar{a}) \cdot \bar{b}_1 = 0$  and

$$(a) \quad \bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = -(\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1.$$

This shows  $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = 0$  if  $-2\lambda + 2\mu - \nu + 3\alpha - 1 \neq 2\mu - \nu - \alpha$ . Thus we may assume  $-2\lambda + 2\mu - \nu + 3\alpha - 1 = 2\mu - \nu - \alpha$ . Then it follows

$$(b) \quad \alpha = \frac{1}{2}, \quad \lambda = \frac{1}{2}, \quad \rho = -\mu + \nu + 1.$$

Let  $h_1 \in W_{2\mu-\nu-\frac{1}{2}}^{(1)}$ . Since  $W^{(1)} \cdot W^{(1)} = \{0\}$ , we have

$$(c) \quad \langle (a \cdot d_1) \cdot b_1, h_1 \rangle = -\langle b_1, (a \cdot d_1) \cdot h_1 \rangle + \langle b_1 \cdot (a \cdot d_1), h_1 \rangle.$$

Applying Lemma 5.7 and (10) we obtain

$$(\bar{a} \cdot \bar{d}_1) \cdot \bar{h}_1 \in \bar{V}_{3\mu-2\nu-\frac{1}{2}}^{(1)}, \quad \bar{b}_1 \cdot (\bar{a} \cdot \bar{d}_1) \in \bar{V}_{-2\mu+\nu+\frac{3}{2}}^{(1)}.$$

Therefore we have  $\langle b_1, (a \cdot d_1) \cdot h_1 \rangle = 0$  if  $\mu - \alpha \neq 3\mu - 2\nu - \frac{1}{2}$ , i.e.,

$$(d) \quad \langle b_1, (a \cdot d_1) \cdot h_1 \rangle = 0 \quad \text{if } \mu \neq \nu.$$

If  $-2\mu + \nu + \frac{3}{2} \neq 2\mu - \nu - \frac{1}{2}$ , then  $\langle b_1 \cdot (a \cdot d_1), h_1 \rangle = 0$ .  
 Suppose  $-2\mu + \nu + \frac{3}{2} = 2\mu - \nu - \frac{1}{2}$ . Then we get  $\nu = 2\mu - 1$   
 and so  $\mu = 1, \nu = 1$  or  $\mu = \frac{1}{2}, \nu = 0$ . The case  $\mu = \frac{1}{2}, \nu = 0$   
 is impossible because  $\nu = \frac{1}{2}, 1$ . Consequently we have

(e)  $\langle b_1 \cdot (a \cdot d_1), h_1 \rangle = 0$  except for  $\mu = \nu = 1$ .

(B') The case  $\mu \neq \nu$ . By (c) (d) (e) we have  $(a \cdot d_1) \cdot b_1 \in \{u\}$   
 and so by (a)  $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = -(\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1 = 0$ .

(B'') The case  $\mu = \nu = \frac{1}{2}$ . It follows then  $b_1, h_1, (a \cdot d_1) \cdot b_1,$   
 $(a \cdot d_1) \cdot h_1 \in V_0^{(1)}$  and  $L_{a \cdot d_1} W_0^{(1)} \subset V_0^{(1)}$ . Define the mapping  
 $A_{a \cdot d_1} = pr \circ L_{a \cdot d_1} : W_0^{(1)} \rightarrow W_0^{(1)}$  where  $pr$  is the projection from  
 $V_0^{(1)} = W_0^{(1)} + \{u\}$  onto  $W_0^{(1)}$ . (c) and (e) imply

$$\langle A_{a \cdot d_1} b, h_1 \rangle = -\langle b_1, A_{a \cdot d_1} h_1 \rangle$$

and so  $A_{a \cdot d_1}$  is skew symmetric. Since the eigenvalues of

$$A_{a \cdot d_1} = pr \circ L_{a \cdot d_1}$$

are all real, we obtain  $A_{a \cdot d_1} = 0$ ,  $(a \cdot d_1) \cdot b_1 \in \{u\}$ , and so by (a)  
 $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = -(\bar{a} \cdot \bar{d}_1) \cdot \bar{b}_1 = 0$ .

Summing up the results mentioned above (A), (B') and (B'')  
 we have

$$(f) \quad \begin{aligned} \bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) &= 0, \\ (\bar{a} \cdot \bar{b}_1) \cdot \bar{c}_1 &= 0 \end{aligned}$$

except for the case  $\alpha = \frac{1}{2}, \lambda = \frac{1}{2}, \mu = \nu = 1, \rho = 1$ .

(B''') The case  $\mu = \nu = 1$ . Then it follows  $\alpha = \frac{1}{2}, \lambda = \frac{1}{2},$   
 $\mu = \nu = 1, \rho = 1$ . Using  $a \cdot b' + a' \cdot b, d \cdot b' + d' \cdot b \in V^{(1)}$  and  
 $V^{(1)} \cdot V^{(1)} = \{0\}$ , we get

$$\begin{aligned} \bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) &= \bar{d}' \cdot (\bar{a} \cdot \bar{b}') = -\bar{d}' \cdot (\bar{a}' \cdot \bar{b}) = -(\bar{d}' \cdot \bar{a}') \cdot \bar{b} \\ &\quad - \bar{a}' \cdot (\bar{d}' \cdot \bar{b}) + (\bar{a}' \cdot \bar{d}') \cdot \bar{b} = -\bar{a}' \cdot (\bar{d}' \cdot \bar{b}) = \bar{a}' \cdot (\bar{d} \cdot \bar{b}') = \bar{a}_1 \cdot (\bar{d} \cdot \bar{b}_1). \end{aligned}$$

For  $h_1 \in W_{1/2}^{(1)}$  we obtain

$$\langle a_1 \cdot (d \cdot b_1), h_1 \rangle = -\langle d \cdot b_1, a_1 \cdot h_1 \rangle + \langle (d \cdot b_1) \cdot a_1, h_1 \rangle \\ + \langle a_1, (d \cdot b_1) \cdot h_1 \rangle = \langle (d \cdot b_1) \cdot a_1, h_1 \rangle$$

because  $a_1 \cdot h_1 = 0$  and  $(\bar{d} \cdot \bar{b}_1) \cdot \bar{h}_1 \in \bar{V}_1^{(1)} = \{0\}$ . Since  $\bar{a}_1 \cdot (\bar{d} \cdot \bar{b}_1)$ ,  $(\bar{d} \cdot \bar{b}_1) \cdot \bar{a}_1 \in \bar{V}_{1/2}^{(1)}$ , we have  $a_1 \cdot (d \cdot b_1) - (d \cdot b_1) \cdot a_1 \in \{u\}$  and  $\bar{d}_1 \cdot (\bar{a} \cdot \bar{b}_1) = \bar{a}_1 \cdot (\bar{d} \cdot \bar{b}_1) = (\bar{d} \cdot \bar{b}_1) \cdot \bar{a}_1$ . (f) implies  $(\bar{d} \cdot \bar{b}_1) \cdot \bar{a}_1 = 0$ . Thus (i)' holds.

Therefore the proof of (i)' is completed.

Finally we show

$$(ii) \quad \bar{c}' \cdot (\bar{a} \cdot \bar{b}') = 0,$$

$$(iii) \quad (\bar{b}' \cdot \bar{a}) \cdot \bar{c}' = 0,$$

$$(iv) \quad \bar{c}' \cdot (\bar{b}' \cdot \bar{a}) = 0.$$

Using (i) and  $V^{(1)} \cdot V^{(1)} = \{0\}$ , for  $d_1 \in W^{(1)}$  we get

$$\langle c_1 \cdot (a \cdot b_1), d_1 \rangle = -\langle a \cdot b_1, c_1 \cdot d_1 \rangle + \langle (a \cdot b_1) \cdot c_1, d_1 \rangle \\ + \langle c_1, (a \cdot b_1) \cdot d_1 \rangle \\ = 0.$$

This implies (ii). From (i),  $b' \cdot a + b \cdot a' \in V^{(1)}$  and  $V^{(1)} \cdot V^{(1)} = \{0\}$  we obtain  $(\bar{b}' \cdot \bar{a}) \cdot \bar{c}' = -(\bar{b} \cdot \bar{a}') \cdot \bar{c}' = 0$ . In the same way (iv) follows from (ii).

According to (i) – (iv) and  $V^{(1)} \cdot V^{(1)} = \{0\}$ , the proof of this lemma is completed. q.e.d.

**LEMMA 5.10.** – *Let  $a, b \in V^{(-1)}$ . Then the products of  $u, a', b$  are equal to 0 where  $a' = u \cdot a$ .*

*Proof.* – By  $V^{(1)} \cdot V^{(1)} = \{0\}$  we obtain

$$(i) \quad u \cdot (a' \cdot b) = 0,$$

$$(ii) \quad u \cdot (b \cdot a') = 0.$$

In fact we have  $u \cdot (a' \cdot b) = (u \cdot a') \cdot b + a' \cdot (u \cdot b) - (a' \cdot u) \cdot b = 0$  and  $u \cdot (b \cdot a') = (u \cdot b) \cdot a' + b \cdot (u \cdot a') - (b \cdot u) \cdot a' = 0$ . From (i) it follows

$$\langle (a' \cdot b) \cdot u, u \rangle + \langle u, (a' \cdot b) \cdot u \rangle = \langle u \cdot (a' \cdot b), u \rangle + \langle a' \cdot b, u \cdot u \rangle = 0,$$

so  $\langle (a' \cdot b) \cdot u, u \rangle = 0$ . This implies

$$(iii) \quad (a' \cdot b) \cdot u = 0.$$

In the same way by (ii) we get

$$(iv) (b \cdot a') \cdot u = 0.$$

The other cases easily follow from  $V^{(1)} \cdot V^{(1)} = \{0\}$ . q.e.d.

From Lemma 5.10 we have

LEMMA 5.10'. — Let  $a \in V^{(-1)}$  and  $b^1 \in V^{(1)}$ . Then the products of  $a, b^1, u$  are equal to 0.

LEMMA 5.11. — Let  $a \in V^{(-1)}$  and  $b^1, c^1 \in V^{(1)}$ . Then the products of  $a, b^1, c^1$  are equal to 0.

*Proof.* — By Lemma 5.9 we have  $(a \cdot b^1) \cdot c^1 \in \{u\}$ . Using Lemma 5.10' and  $V^{(1)} \cdot V^{(1)} = \{0\}$  we get

$$\begin{aligned} \langle u, (a \cdot b^1) \cdot c^1 \rangle &= -\langle (a \cdot b^1) \cdot u, c^1 \rangle + \langle u \cdot (a \cdot b^1), c^1 \rangle \\ &\quad + \langle a \cdot b^1, u \cdot c^1 \rangle = 0. \end{aligned}$$

Thus we have  $(a \cdot b^1) \cdot c^1 = 0$ . By the same way we obtain

$$c^1 \cdot (a \cdot b^1) = 0, \quad (b^1 \cdot a) \cdot c^1 = 0, \quad c^1 \cdot (b^1 \cdot a) = 0.$$

The other cases follow from  $V^{(1)} \cdot V^{(1)} = \{0\}$ . q.e.d.

Consider the centralizer  $Z$  of  $V^{(1)}$  in  $V$ ;

$$Z = \{z \in V \mid z \cdot a^1 = a^1 \cdot z = 0 \text{ for all } a^1 \in V^{(1)}\}.$$

Then we have

LEMMA 5.12. —  $Z$  is an ideal of  $V$ .

*Proof.* — Let  $z \in Z, a \in V$ . We have

$$u \cdot (z \cdot a) = (u \cdot z) \cdot a + z \cdot (u \cdot a) - (z \cdot u) \cdot a = 0,$$

$$u \cdot (a \cdot z) = (u \cdot a) \cdot z + a \cdot (u \cdot z) - (a \cdot u) \cdot z = 0$$

and so  $z \cdot a, a \cdot z \in V^{(0)}$ . From this  $V^{(1)}$  is invariant by  $L_{z \cdot a}, R_{z \cdot a}, L_{a \cdot z}$  and  $R_{a \cdot z}$ . Using Lemma 5.11 and  $V^{(1)} \cdot V^{(1)} = \{0\}$ , for  $b^1, c^1 \in V^{(1)}$  we get

$$\begin{aligned} \langle L_{z \cdot a} b^1, c^1 \rangle + \langle b^1, L_{z \cdot a} c^1 \rangle &= \langle b^1 \cdot (z \cdot a), c^1 \rangle + \langle z \cdot a, b^1 \cdot c^1 \rangle \\ &= \langle b^1 \cdot (z \cdot a), c^1 \rangle = \langle (b^1 \cdot z) \cdot a + z \cdot (b^1 \cdot a) - (z \cdot b^1) \cdot a, c^1 \rangle \\ &= \langle z \cdot (b^1 \cdot a), c^1 \rangle = -\langle z \cdot c^1, b^1 \cdot a \rangle + \langle c^1 \cdot z, b^1 \cdot a \rangle \\ &\quad + \langle z, c^1 \cdot (b^1 \cdot a) \rangle \\ &= 0. \end{aligned}$$

This means that  $L_{z \cdot a}$  is skew symmetric. On the other hand the eigenvalues of  $L_{z \cdot a}$  are all real. Therefore it must be  $L_{z \cdot a} = 0$  on  $V^{(1)}$ , i.e.,  $(z \cdot a) \cdot b^1 = 0$  for all  $b^1 \in V^{(1)}$ . From this it follows

$$\langle b^1 \cdot (z \cdot a), c^1 \rangle = -\langle z \cdot a, b^1 \cdot c^1 \rangle + \langle (z \cdot a) \cdot b^1, c^1 \rangle \\ + \langle b^1, (z \cdot a) \cdot c^1 \rangle = 0 \text{ for all } b^1, c^1 \in V^{(1)}$$

and so  $b^1 \cdot (z \cdot a) = 0$  for all  $b^1 \in V^{(1)}$ . Thus we get

(a) 
$$z \cdot a \in Z.$$

Applying Lemma 5.11 and  $V^{(1)} \cdot V^{(1)} = \{0\}$ , we obtain

$$\langle L_{a \cdot z} b^1, c^1 \rangle + \langle b^1, L_{a \cdot z} c^1 \rangle = \langle b^1 \cdot (a \cdot z), c^1 \rangle + \langle a \cdot z, b^1 \cdot c^1 \rangle \\ = \langle b^1 \cdot (a \cdot z), c^1 \rangle = \langle (b^1 \cdot a) \cdot z + a \cdot (b^1 \cdot z) - (a \cdot b^1) \cdot z, c^1 \rangle \\ = \langle (b^1 \cdot a - a \cdot b^1) \cdot z, c^1 \rangle = -\langle (b^1 \cdot a - a \cdot b^1) \cdot c^1, z \rangle \\ + \langle c^1 \cdot (b^1 \cdot a - a \cdot b^1), z \rangle + \langle b^1 \cdot a - a \cdot b^1, c^1 \cdot z \rangle = 0$$

for all  $b^1, c^1 \in V^{(1)}$ . Consequently  $L_{a \cdot z}$  is skew symmetric on  $V^{(1)}$ . Since the eigenvalues of  $L_{a \cdot z}$  are real, we have  $L_{a \cdot z} = 0$  on  $V^{(1)}$ , i.e.,  $(a \cdot z) \cdot b^1 = 0$  for all  $b^1 \in V^{(1)}$ . Using this and  $V^{(1)} \cdot V^{(1)} = \{0\}$  we get

$$\langle b^1 \cdot (a \cdot z), c^1 \rangle = -\langle a \cdot z, b^1 \cdot c^1 \rangle + \langle (a \cdot z) \cdot b^1, c^1 \rangle + \langle b^1, (a \cdot z) \cdot c^1 \rangle \\ = 0$$

for all  $b^1, c^1 \in V^{(1)}$  and hence

(b) 
$$b^1 \cdot (a \cdot z) = 0 \text{ for all } b^1 \in V^{(1)}.$$

Therefore we have  $a \cdot z \in Z$ . (a) and (b) imply that  $Z$  is an ideal of  $V$ . q.e.d.

Let  $C$  denote the center of  $Z$ ;

$$C = \{c \in Z \mid c \cdot z = z \cdot c = 0 \text{ for all } z \in Z\}.$$

Then we have

LEMMA 5.13. —  $C$  is a commutative ideal of  $V$  containing  $u$ .

*Proof.* — From  $C \supset V^{(1)}$  it follows  $u \in C$ . Let  $c \in C, x \in V$ . Since  $Z$  is an ideal of  $V$ , we have

$$z \cdot (c \cdot x) = (z \cdot c) \cdot x + c \cdot (z \cdot x) - (c \cdot z) \cdot x = 0$$

and  $z \cdot (x \cdot c) = (z \cdot x) \cdot c + x \cdot (z \cdot c) - (x \cdot z) \cdot c = 0$  for all  $z \in Z$ .

This implies



$$(a) \quad R_a = 0 \text{ on } Z$$

where  $a = c \cdot x$  or  $x \cdot c$ . Using this and Lemma 3.2, for  $z, z' \in Z$  we get

$$(b) \quad \begin{aligned} \frac{d^2}{dt^2} \langle \exp tL_a z, \exp tL_a z' \rangle &= \frac{d}{dt} \langle a, \exp tL_a(z \cdot z') \rangle \\ &= \langle a, L_a(\exp tL_a(z \cdot z')) \rangle = -\langle w, a \cdot a \rangle + \langle w \cdot a, a \rangle \\ &\quad + \langle a, w \cdot a \rangle = 0, \end{aligned}$$

where  $w = \exp tL_a(z \cdot z') \in Z$ . Let  $\lambda$  be an eigenvalue of  $L_a$  on  $Z$  and  $z$  an eigenvector corresponding to  $\lambda$ . Then we have

$$\frac{d^2}{dt^2} \langle \exp tL_a z, \exp tL_a z \rangle = \frac{d^2}{dt^2} \langle z, z \rangle e^{2\lambda t} = (2\lambda)^2 e^{2\lambda t} \langle z, z \rangle$$

and by (b)  $\lambda = 0$ . Thus the eigenvalues of  $L_a$  on  $Z$  are equal to 0.

We show

$$(c) \quad L_a = 0 \text{ on } Z.$$

Suppose  $L_a \neq 0$  on  $Z$ . Then there exist elements  $z, w \in V$  such that  $L_a w = 0$ ,  $w = L_a z \neq 0$ . Since  $\exp tL_a z = z + tw$ , we have

$$\begin{aligned} \frac{d^2}{dt^2} \langle \exp tL_a z, \exp tL_a z \rangle &= \frac{d^2}{dt^2} \langle z + tw, z + tw \rangle \\ &= 2 \langle w, w \rangle t + 2 \langle z, w \rangle, \end{aligned}$$

which contradicts to (b). Thus (c) holds. (a) and (c) imply  $a \in C$  and consequently  $c \cdot x, x \cdot c \in C$ . Therefore  $C$  is an ideal of  $V$ .

q.e.d.

Proposition 5.1 follows from Lemma 5.13.

## 6. Proof of Theorem 3.1.

We first consider the case  $u \cdot u = u$ . By Proposition 4.1 we have the orthogonal decomposition  $V = \{u\} + V_{1/2} + V_0$ . Since  $V_0$  is a subalgebra, by the inductive assumption we get  $V_0 = I + U_0$ , where  $I$  is a commutative ideal of  $V_0$  and  $U_0$  is a subalgebra with principal idempotent  $s_0$ . Put  $E = \{u\} + V_{1/2}$ . Then  $E$  is an ideal of  $V$ . Let  $a \in I$ . Since  $E$  is invariant under  $L_a, R_a$  and is orthogonal to  $a$  and since  $a \cdot a = 0$ , by Lemma 3.3 we obtain  $L_a = R_a = 0$  on  $E$ . From this we know that  $I$  is a commutative ideal of  $V$ . Put

$$U = E + U_0,$$

$$s = u + s_0,$$

Using Proposition 4.1 (iv),  $u \cdot u = u$  and  $s_0 \cdot s_0 = s_0$ , we have

(i)  $s \cdot s = s$ .

By Proposition 4.1 (iv) we have  $L_u = R_u = 0$  on  $U_0$ . Therefore  $L_s = L_{s_0}$  is diagonalizable on  $U_0$  and its eigenvalues on  $U_0$  are equal to  $1/2, 1$  and moreover  $R_s = R_{s_0} = 2L_{s_0} - 1 = 2L_s - 1$  on  $U_0$ . Since  $E$  is invariant under  $L_{s_0}, R_{s_0}$  and is orthogonal to  $s_0$  and since  $s_0 \cdot s_0 = s_0$ , applying Lemma 3.3 it follows that the restriction of  $L_{s_0}$  on  $E$  is diagonalizable and its eigenvalues are  $0, 1/2$  and that  $R_{s_0} = 2L_{s_0}$  on  $E$ . Therefore using  $L_{s_0}u = 0, L_{s_0}V_{1/2} \subset V_{1/2}$  and  $L_u = 1/2$  on  $V_{1/2}, L_s = L_u + L_{s_0}$  is diagonalizable on  $E$  and its eigenvalues on  $E$  are equal to  $1/2, 1$ . Since  $R_u = 2L_u - 1$  and  $R_{s_0} = 2L_{s_0}$  hold on  $E$ , we have  $R_s = 2L_s - 1$  on  $E$ . Thus we obtain

(ii) The restriction of  $L_s$  on  $U$  is diagonalizable and its eigenvalues on  $U$  are equal to  $1/2, 1$ .

(iii)  $R_s = 2L_s - 1$  on  $U$ .

(i) (ii) (iii) imply that  $s$  is a principal idempotent of  $U$ . Thus in the case  $u \cdot u = u$  the proof of Theorem 3.2 is completed.

Next we consider the case  $u \cdot u = 0$ . By Proposition 5.1 there exists a commutative ideal  $C$  of  $V$  containing  $u$ . Let  $V'$  be the orthogonal complement of  $C$  in  $V$ . By Lemma 3.1  $V'$  is a subalgebra. From the inductive assumption we get  $V' = I' + U$ , where  $I'$  is a commutative ideal of  $V'$  and  $U$  is a subalgebra with principal idempotent  $s$ . Let  $a' \in I'$ . Since  $C$  is invariant under  $L_{a'}, R_{a'}$  and  $C \cdot C = \{0\}$  and since  $a' \cdot a' = 0$ , by Lemma 3.3 we obtain  $L_{a'} = R_{a'} = 0$  on  $C$ . This shows that  $I = C + I'$  is a commutative ideal of  $V$ . Thus the decomposition  $V = I + U$  has the desired properties.

Therefore the proof of Theorem 3.1 is completed. q.e.d.

### 7. Proof of Main Theorem 2) and Corollaries.

Let  $V$  be the tangent space of  $M$  at  $x$ . In view of Main Theorem 1), Proposition 2.4 and Theorem 2.1  $V$  admits a structure of normal Hessian algebra and  $E_x = T(V)0$ .

*Proof of Main Theorem 2).* — According to Theorem 3.1 the normal Hessian algebra  $V$  is decomposed in  $V = I + U$ , where  $I$  is a commutative ideal of  $V$  and  $U$  is a clan. Denote by  $T(I)$  the commutative normal subgroup of  $T(V)$  generated by  $\{X_a^* \mid a \in I\}$  and  $T(U)$  the subgroup of  $T(V)$  generated by  $\{X_w^* \mid w \in U\}$ . Then we get  $T(V) = T(I)T(U)$ . Let  $E_x^+$  denote the orbit of  $T(U)$  through the origin  $0$ ;  $E_x^+ = T(U)0$ . For  $a \in I, v^+ \in E_x^+$  we have  $\exp X_a^* v^+ = v^+ + \sum_{k=0}^{\infty} \frac{L_a^{k+1}}{(k+1)!} (L_a v^+ + a) = a + a \cdot v^+ + v^+$  because  $I$  is a commutative ideal of  $V$ . Thus  $T(I)v^+ \subset I + v^+$ . Suppose  $v^+ = h0$  where  $h \in T(U)$ . Since

$$T(I)v^+ = T(I)h0 = hh^{-1}T(I)h0 = hT(I)0 = hI$$

and since  $h$  is an affine transformation of  $V$ , we obtain  $T(I)v^+ = I + v^+$ .

Therefore, putting  $E_x^0 = I$  we get

$$E_x = T(V)0 = T(I)T(U)0 = T(I)E_x^+ = E_x^0 + E_x^+.$$

Let  $p : E_x \rightarrow E_x^+$  denote the projection from  $E_x = E_x^0 + E_x^+$  onto  $E_x^+$ . Then  $E_x$  admits a fibering with projection  $p$ . Since  $U$  is a clan, applying Theorem 2.2 (Vinberg's result) the base space  $E_x^+$  is an affine homogeneous convex domain not containing any full straight line. The fiber  $p^{-1}(v^+) = T(I)v^+ = E_x^0 + v^+$  over  $v^+ \in E_x^+$  is an affine subspace of  $V$  and a Euclidean space with respect to the induced metric because  $T(I)$  is commutative. It is clear that the fiber  $E_x^0 + v$  through  $v \in E_x$  is characterized as the set of all points which can be joined with  $v$  by full straight lines contained in  $E_x$ . This implies that our fibering of  $E_x$  is unique and that every affine transformation of  $E_x$  is fiber preserving. q.e.d.

*Proof of Corollary 1.* — If we put  $\alpha_x(v) = \text{Tr } L_v$  for  $v \in V$ , the value  $\beta_x$  of the canonical bilinear form  $\beta$  at  $x$  has an expression (cf. [8])  $\beta_x(v, w) = \alpha_x(v \cdot w)$  for  $v, w \in V$ . By Theorem 3.1  $V$  is decomposed in  $V = I + U$ , where  $I$  is a commutative ideal of  $V$  and  $U$  is a clan.  $I$  being a commutative ideal of  $V$  we get

$$\begin{aligned} \alpha_x(a) &= 0, \\ \beta_x(a, v) &= 0, \quad \text{for } a \in I, v \in V. \end{aligned} \tag{1}$$

Because  $\langle v \cdot a, b \rangle + \langle a, v \cdot b \rangle = \langle a \cdot v, b \rangle + \langle v, a \cdot b \rangle = \langle a \cdot v, b \rangle$  for  $a, b \in I$  and  $v \in V$ , we have

$$L_v + {}^tL_v = R_v \quad \text{on } I. \tag{2}$$

Since  $U$  is a clan, it follows

$$\text{Tr}_U L_{v.v} > 0 \quad \text{for } v \neq 0 \in U. \tag{3}$$

Using  $R_{v.v} = R_v R_v + [L_v, R_v]$  and (2) we obtain

$$\text{Tr}_I L_{v.v} = \frac{1}{2} \text{Tr}_I R_{v.v} = \frac{1}{2} \text{Tr}_I R_v {}^t R_v \geq 0.$$

From this and (3) we get  $\beta_{x_0}(v, v) = \text{Tr}_I L_{v.v} + \text{Tr}_U L_{v.v} > 0$  for all  $v \neq 0 \in U$ . This together with (1) implies that  $\beta_x$  is positive semi-definite and that the null space of  $\beta_x$  coincides with  $E_x^0 = I$ .  
 q.e.d.

*Proof of Corollary 2.* — Let  $v \in E_x$ . Since the fiber  $E_x^0 + v$  through  $v$  is an affine subspace of  $V$ , it follows  $d(v, w) = 0$  for all  $w \in E_x^0 + v$  (cf. [5]). Conversely, suppose  $d(v, w) = 0$ . Then we get  $0 \leq c_{E_x^+}^a(p(v), p(w)) \leq c_{E_x}^a(v, w) \leq d(v, w) = 0$  because the projection  $p: E_x \rightarrow E_x^+$  is an affine mapping. By a result of Vey [11]  $c_{E_x^+}^a$  is a distance on  $E_x^+$ . This implies  $p(v) = p(w)$ . Therefore we get  $E_x^0 + v = \{w \in E_x \mid d(v, w) = 0\}$ .  
 q.e.d.

*Proof of Corollary 3.* — Our assertion follows from the facts that the covering projection  $\exp_x: E_x = E_x^0 + E_x^+ \rightarrow M$  is an affine mapping and that  $E_x^0$  is an affine subspace of  $V$ .  
 q.e.d.

Let  $G$  be a connected Lie subgroup of  $\text{Aut}(M)$  which acts transitively on  $M$  and  $B$  the isotropy subgroup of  $G$  at a point  $x$  in  $M$ ;  $M = G/B$ . We denote by  $\tilde{G}$  the universal covering group of  $G$  and by  $\pi: \tilde{G} \rightarrow G$  the covering projection. Then  $\tilde{M} = \tilde{G}/\tilde{B}$  is the universal covering manifold of  $M = G/B$ , where  $\tilde{B}$  is the identity component of  $\pi^{-1}(B)$ . Let  $\tilde{N}$  be the normal subgroup of  $\tilde{G}$  consisting of all elements in  $\tilde{G}$  which induce the identity transformation of  $\tilde{M}$ . We put  $G^* = \tilde{G}/\tilde{N}$ ,  $B^* = \tilde{B}/\tilde{N}$ . According to Main Theorem 1) it follows that  $\tilde{M} = G^*/B^*$  is a convex domain in  $\mathbb{R}^n$  and that  $G^*$  is a subgroup of the affine transformation group  $A(n)$  of  $\mathbb{R}^n$ .

*Proof of Corollary 4.* — Assume  $G$  is not solvable. Since  $G^*$  is not solvable, there exists a connected semi-simple Lie subgroup  $S^*$  of  $G^*$ . Let  $K^*$  be a maximal compact subgroup of  $S^*$ . Since  $\tilde{M}$  is a convex domain in  $\mathbb{R}^n$ ,  $K^*$  has a fixed point  $\tilde{y}$  in  $\tilde{M}$ .

Therefore we have

$$\dim G^* = \dim \tilde{M} = \dim G^* \tilde{y} \leq \dim G^* - \dim K^* < \dim G^*,$$

which is a contradiction. Thus  $G$  must be a solvable Lie group. q.e.d.

*Proof of Corollary 5.* — Let  $G$  be a transitive reductive Lie subgroup of  $\text{Aut}(M)$  and let  $\mathfrak{g}$  be the Lie algebra of  $G$ . Then  $\mathfrak{g}$  is decomposed into the direct sum  $\mathfrak{g} = \mathfrak{c} + \mathfrak{s}$  where  $\mathfrak{c}$  is the center of  $\mathfrak{g}$  and  $\mathfrak{s}$  the semi-simple part of  $\mathfrak{g}$ . Denoting by  $C^*$  and  $S^*$  the connected Lie subgroup of  $G^*$  corresponding to  $\mathfrak{c}$  and  $\mathfrak{s}$  respectively, we have  $G^* = C^*S^*$ . Since  $S^*$  is a connected semi-simple Lie subgroup of  $A(n)$ ,  $S^*$  is closed in  $A(n)$  (cf. [15]). Let  $\overline{C}^*$  denote the closure of  $C^*$  in  $A(n)$ . Then the subgroup  $\overline{C}^*S^*$  is closed in  $A(n)$  (cf. [3]) and so coincides with the closure  $\overline{G}^*$  of  $G^*$  in  $A(n)$ . It is easy to see that every element in  $\overline{G}^*$  preserves the domain  $\tilde{M}$  and leaves invariant the Hessian metric on  $\tilde{M}$ . Denoting by  $K_c^*$  and  $K_s^*$  maximal compact subgroups of  $\overline{C}^*$  and  $S^*$  respectively, the group  $K^* = K_c^*K_s^*$  is a maximal compact subgroup of  $\overline{G}^* = \overline{C}^*S^*$  because the center of  $S^*$  is finite. Since  $\tilde{M}$  is a convex domain in  $\mathbf{R}^n$ ,  $K^*$  has a fixed point  $\tilde{o}$  in  $\tilde{M}$ . We may assume that  $\tilde{o}$  is the origin in  $\mathbf{R}^n$ . The isotropy subgroup  $K^{**}$  of  $\overline{G}^*$  at  $\tilde{o}$  is contained in an orthogonal group and is closed in  $\overline{G}^*$ . Thus  $K^{**}$  is a compact subgroup of  $\overline{G}^*$  containing  $K^*$  and so  $K^{**} = K^*$ . Since  $\overline{G}^*$  acts effectively on  $\tilde{M}$ ,  $\overline{K}_c^*$  is reduced to the identity and so  $K^{**} = K^* = K_s^*$ . We denote by  $\overline{\mathfrak{g}}^*$ ,  $\overline{\mathfrak{c}}^*$ ,  $\mathfrak{s}^*$  and  $\mathfrak{k}_s^*$  the Lie algebras of  $\overline{G}^*$ ,  $\overline{C}^*$ ,  $S^*$  and  $K_s^*$  respectively, and by  $\mathfrak{p}_s^*$  the orthogonal complement of  $\mathfrak{k}_s^*$  in  $\mathfrak{s}^*$  with respect to the Killing form of  $\mathfrak{s}^*$ . Putting  $\mathfrak{t}^* = \mathfrak{k}_s^*$  and  $\mathfrak{p}^* = \overline{\mathfrak{c}}^* + \mathfrak{p}_s^*$ , we have

$$\overline{\mathfrak{g}}^* = \mathfrak{t}^* + \mathfrak{p}^*, \quad [\mathfrak{t}^*, \mathfrak{t}^*] \subset \mathfrak{t}^*, \quad [\mathfrak{t}^*, \mathfrak{p}^*] \subset \mathfrak{p}^*, \quad [\mathfrak{p}^*, \mathfrak{p}^*] \subset \mathfrak{t}^*.$$

From this using the same argument as in [9] it follows that  $\tilde{M} = G^*/K^*$  is the direct product of a Euclidean space and an affine homogeneous convex self-dual cone not containing any full straight line. q.e.d.

*Proof of Corollary 6.* — Since  $M$  is compact, the automorphism group  $G = \text{Aut}(M)$  is compact. Therefore the Lie algebra  $\mathfrak{g}$  of  $G$  is decomposed into the direct sum  $\mathfrak{g} = \mathfrak{c} + \mathfrak{s}$  where  $\mathfrak{c}$  is the center of  $\mathfrak{g}$  and  $\mathfrak{s}$  is a compact semi-simple subalgebra of  $\mathfrak{g}$ . Denote by

$C^*$  and  $S^*$  the connected Lie subgroups of  $G^*$  corresponding to  $\mathfrak{c}$  and  $\mathfrak{s}$  respectively. Then  $G^* = C^*S^*$ , and  $S^*$  is compact by a theorem of Weyl. Since  $\tilde{M} = G^*/B^*$  is a convex domain,  $S^*$  has a fixed point in  $M$ . Therefore  $B^* \supset S^*$  and so  $S^*$  is a normal subgroup of  $G^*$  contained in  $B^*$ . From this and the effectiveness,  $S^*$  is reduced to the identity. Thus we have  $\mathfrak{g} = \mathfrak{c}$ . Consequently  $G$  is commutative and  $M$  is a Euclidean torus. q.e.d.

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